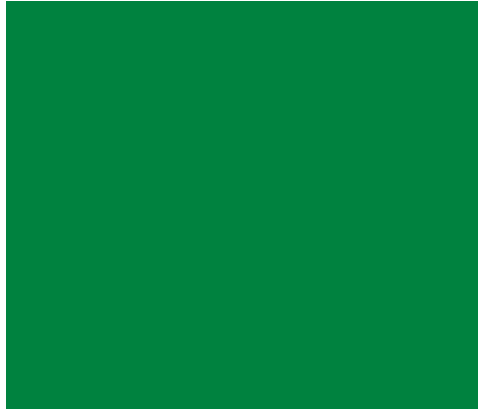


Local Administered Project Re-Certification



Georgia Department of Transportation Local Administered Projects Re-Certification

The Georgia Department of Transportation (GDOT) has developed a Local Administered Projects (LAP) re-certification process to ensure the resource capacity and ability of the Local Public Agency (LPA) to successfully manage, administer and execute the policies and procedures for Federal and State compliance in order to receive federal funding participation. It is an opportunity for GDOT and the Local Public Agency to evaluate their partnership.

The LAP Re-certification application will be used to determine if Local Public Agencies will remain qualified to administer federal-aid projects. The GDOT serves as the prime recipient of federal transportation funds. In accordance with 23 Code of Federal Regulation Part 635.105, GDOT is the supervising agency; as such, it is responsible for authorizing performance of the work by the Local Agency on all Federal-aid projects. Each Local Agency is required to recertify after a period of three years. Each local Agency is also required to take the following training and submit a certificate of completion: Local Administered Projects Training, Right-of-Way Acquisition Training for LAPs, Title VI Training, Project Development Process Training, and Engineering and Design Procurement Training. Please complete the questions provided in this application to ensure a complete review of submitted materials.

If you have any questions about the application, please contact the Office of Program Control at 404-631-1830. For additional information please visit the [LAP Program website](#).

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Acronyms

AASHTO – American Association of State Highway and Transportation Officials
(<http://www.transportation.org>)

ADA – Americans with Disabilities Act

ASNT – American Society of Nondestructive Testing

CA – Certification Acceptance

CFR – Code of Federal Regulations

CWP – (GDOT) Construction Work Program

FFPR – (GDOT) Final Field Plan Review

FHWA – Federal Highway Administration (<http://www.fhwa.dot.gov>)

FRA – Federal Railroad Administration (<http://www.fra.dot.gov/>)

GDOT – Georgia Department of Transportation (<http://www.dot.ga.gov>)

GRTA – Georgia Regional Transportation Authority (<http://www.grta.org/>)

LAP – Local Administered Project

LPA/LG – Local Public Agency/Local Government

MPO – Metropolitan Planning Organization

MUTCD – Manual on Uniform Traffic Control Devices (FHWA)

NHS – National Highway System

OCGA – Official Code of Georgia (<http://www.lexisnexis.com/hottopics/gacode/Default.asp>)

OES – (GDOT) Office of Environmental Services

OMAT – (GDOT) Office of Materials and Testing

PCI – Precast-Prestressed Concrete Inspection

PDP – (GDOT) Plan Development Process

PE – Preliminary Engineering

PFPR – Preliminary Field Plan Review

QPL – (GDOT) Qualified Products List

ROW – Right-of-Way

RTT – Roadway Testing Technician as certified by GDOT

SRTA – State Road and Tollway Authority

STI – GDOT Sampling, Testing and Inspection Manual which is located on the GDOT website under “The Source”.

STIP – State Transportation Improvement Plan.

SWTP – Statewide Transportation Plan (<http://www.dot.ga.gov/IS/SSTP#tab-2>)

TIP – Transportation Improvement Program

TMOS- Testing Management Operations Supervisor

UAM – (GDOT) Utility Accommodation Policy and Standards Manual.

http://www.dot.ga.gov/PartnerSmart/utilities/Documents/2016_UAM.pdf

VT – Verification Testing

Certification Applications

1. Full Certification Acceptance (CA) Status

This status delegates some or all authority to a qualified local agency for approving project development and construction administration.

Required Training for Certification

These courses will be required every 3 years for certification and re-certification:

- Local Administered Projects Training
- Plan Development Process Training
- Right-of-Way Acquisition for Local Public Agencies Training
- Title VI/ADA Training
- Engineering and Design Procurement Training

Documents to be Submitted

Templates can be found on the [LAP Webpage](#)

- Organizational Chart (update chart that identifies by name and title/position of non-consultant staff that will participate in LAP certification)
- Copy of all five (5) Training Certificates
- Title VI Plan or Non-Discrimination Agreement with Assurance
- Procurement Policy Template Letter of Agreement

LAP Re-Certification Information

Date: _____

Agency Name: _____

GDOT District: _____ Congressional District: _____

Interview Conducted By (GDOT) Name: _____

Title: _____

Agency Representative (Local) Name: _____

Title: _____

How Long in Current Position? _____

Phone Number: _____ Fax Number: _____

Email Address: _____

Street Address: _____

City: _____

State: _____ County: _____ Zip Code: _____

Alternate Agency Full-time Representative (Local) Name: _____

Title: _____

How Long in Current Position? _____

Phone Number: _____ Fax Number: _____

Email Address: _____

Local Administered Projects Responsible Charge Worksheet

List the name and title responsible for the following functions. Only list Non-Consultant staff positions within your organization. (Attach the most current organizational chart. Each person must have a training certificate applicable for their role as it applies the LAP Program. If the LPA has any name/title changes, a compliance plan along with an updated organization chart must be submitted within 30 days. If not, then the LPA will be viewed as non-compliant and may lose their LAP certification status.)

Compliance Plan can be found on the [LAP Webpage](#).

Statewide Transportation Improvement Program: _____

Selection of Annual Program: _____

Location/Design Approval: _____

Environmental Documents: _____

PS&E Approval: _____

Tied Bids: _____

Approval of Materials Sources: _____

Construction Administration: _____

Construction Inspection: _____

Acceptance Sampling/Testing: _____

Change Orders: _____

Project Files: _____

OEO Interviews/Monitoring: _____

Training Goal Attainment: _____

DBE Compliance/Monitoring: _____

Utility Certification: _____

Row Certification: _____

Consultants

For what areas does the agency expect to use consultants?

___ Environmental

___ Design

___ PS&E Preparation

___ Right-of-Way Appraisal

___ Right-of-Way Negotiation

___ Utilities

___ Right-of-Way Relocation

___ Construction Administration

___ Construction Inspection

___ Surveying

___ Sampling and Testing

Section A - Title VI - Civil Rights Performance & Assessment Questionnaire

Note: Title VI Non-Discrimination Agreements must be submitted once **EVERY** year.

1. Do you have a Title VI Policy, Title VI Notice to the Public, Title VI Assurances and Title VI Plan or non-discrimination agreement in place? Provide proof of your Title VI policy (via web or printed materials)

2. Please provide a copy of your Title VI complaint procedure for discrimination complaints? What extent is the community aware of it?

3. Have you received any Title VI related complaints during the past two years? If so, how many? (Please attach the complaint form) What were the outcomes? Where there any Title VI complaints lodged by beneficiaries or participants? If so, explain the issues involved.

4. What is the name and title of the person who attended the GDOT Title VI training? Please provide the date and a copy of the training certificate?

5. Are minority members of the community invited to participate in public hearings? If yes, how do you identify potential EJ groups? How do you ensure they attend? If not, what measures have been taken to ensure public participation in public hearings?

- 6. Are DBE goals being monitored, included and met for contracts on a programmatic level? If yes, please provide a brief explanation. If not, what provisions have been taken to monitor and meet them?

- 7. Are minority contractors and subcontractors being informed about contracting opportunities with your organization? If yes, provide proof of contracting opportunities to minority contractors. If not, what provisions have been taken to inform minority contractors of contracting opportunities?

- 8. Are Appendix A of the Title VI assurances and the FHWA 1273 being included in all contracts, subcontracts, and material supply agreements? Provide a sample contract of the inclusion of Appendix A of the Title VI Assurances & FHWA 1273.

Section B - Environmental Questionnaire

1. How many types of Environmental Documents listed below are active under development?
 Programmatic Categorical Exclusions (PCE – approved by GDOT)
 Categorical Exclusions (CE – approved by FHWA)
 Environmental Assessments/Findings of No Significant Impact (EA/FONSI – approved by FHWA)
 Environmental Impact Statement (EIS – approved by FHWA)

2. How many of the following environmental document types were approved during the past three years?
 Programmatic Categorical Exclusions (PCE – approved by GDOT)
 Categorical Exclusions (CE – approved by FHWA)
 Environmental Assessments/Findings of No Significant Impact (EA/FONSI – approved by FHWA)
 Environmental Impact Statement (EIS – approved by FHWA)

3. For each document type noted above, what was the average number of review cycles required with GDOT staff to receive NEPA approval or submittal to FHWA? (A cycle is considered each time comments are received without GDOT approval or forwarding to FHWA.)
 PCE CEs EA/FONSI EIS

4. For the approved documents noted in #2 above, how many approvals were received?
 On schedule or ahead of schedule as per the approved schedule required by the Project Framework Agreement
 After the baseline schedule deadline and less than 3 months late
 After the baseline schedule deadline and between 3 and 6 months late
 After the baseline schedule deadline and between 6 and 12 months late
 After the baseline schedule deadline and more than 12 months late

Please describe the specific reasons for the approval delays noted above. Use additional sheets as necessary.

- 5. List any and all public involvement methods utilized during the environmental process. Use additional sheets as necessary.

- 6. How many applications were submitted by the LPA for approval by the Army Corps of Engineers (USACE) and/or Georgia Department of Natural Resources, Environmental Protection Division (EPD)?

Section 404 Permits from USACE Individual Regional Nationwide
 Stream Buffer Variances from Georgia Environmental Protection Division (EPD)

- 7. For each permit type noted above, what was the average number of review cycles required with GDOT staff for submittal to the Army Corps or EPD? (A cycle is considered each time comments are received from GDOT without forwarding to the Corps or EPD.)

Section 404 Permit from USACE Individual Regional Nationwide
 Stream Buffer Variances from Georgia Environmental Protection Division (EPD)

- 8. For the approved permits noted in #7 above, how many approvals were received?

11 or more weeks prior to the GDOT baseline let date
 5-11 weeks prior to the GDOT baseline let date
 After 5 weeks prior to the GDOT baseline let date

Please describe the specific reasons for the approval delays noted above. Use additional sheets as necessary.

- 9. Please describe any improvements that your agency or GDOT can implement to improve the delivery of environmental approvals or permits. Use additional sheets as necessary.

Section C - Right of Way Questionnaire

1. Any consultant CONTRACTED for negotiation services for the acquisition of right of way for the County/City must either:

- Hold an active Real Estate license in the State of Georgia or
- Hold an active Real Estate broker’s license in the State of Georgia or
- Be identified as an exception under OCGA 43-40-29

2. Any contracted CONSULTANT for negotiation services or staff negotiator performing negotiation services must have attended the GDOT/FHWA training class every 3 years and hold an active certificate. Provide a copy of the certificate.

3. Please describe your quality assurance and quality control methods to manage the ROW in the following areas:

a. ROW Project Activity Milestone Delivery: (i.e. schedule development and management recovery)

b. ROW Project Budget (i.e. development, monitoring and overruns)

c. ROW Project Risks associated with adhering to scope, schedule and budget (i.e. mitigation plan)

d. ROW Consultant Services (i.e. development and monitoring) when applicable

4. Identify the responsible party and title of staff certifying ROW.

5. Has your LPA received any non-compliance letters or corrective actions? If yes, explain?

6. Who performed the Right of Way Acquisition services? (Please check all that apply)

Staff

Consultants

Section D - Utility Division Questionnaire

Local Utility Compliance

1. Name and Title of individual or individuals that will be or have been responsible for Utility Coordination work.

2. In brief and concise sentences, please describe your knowledge of the GDOT’s Utility Accommodation Policies and Standards Manual in relation to the Utility Coordination work on projects (use additional sheets).

3. In brief and concise sentences, please describe your work experiences that demonstrate your ability to coordinate with utilities during the preconstruction phase on transportation projects (use additional sheets).

4. In brief and concise sentences, please describe your ability to provide professional engineering services necessary to ensure utility impacts do not delay the project schedule on both the preconstruction phase or construction phase (use additional sheets).

Section E - Construction Division Questionnaire

Note: If you are utilizing consultants, they must be GDOT certified.

Please answer the following questions for your 3 most recent Federal-Aid projects

Project 1 PI# _____

Bidding, Letting & Award Compliance

1. What was the date of initial authorization of construction funds?

2. What was the date of advertisement for the letting and how long was it advertised?

3. What was the name(s) of the newspaper/publication in which the project was advertised?

4. How many bids were accepted? How many bids were rejected? What was the reason for the rejection?

5. Explain the process for evaluating bids. Was the lowest bidder awarded the project? If not, please provide documentation supporting the Local Government’s reason(s) for not awarding to lowest bidder. (i.e. Responsive or Un-Balanced Bids, etc.).

6. Were performance/payment bonds received? _____

7. When was the project awarded to the low bid contractor (date)?

8. When was the Notice to Proceed issued to the contractor (date) and was it more than 270 days from GDOT authorization?

9. Was a preconstruction conference held? If so, please provide documentation of the meeting. If not, please provide justification for not conducting?

DBE Goals

What was the DBE Goal for the Project? _____

Who were the DBE subcontractors? _____

Was the DBE goal met? Explain? _____

If so, what was the final goal? _____

If not, what was the final participation amount and reason(s) for not meeting the Goal?

Construction Process

Please provide a copy of the Construction Agreement between the local government and the contractor for the Department to review

Specification Compliance

Provide a project description.

Please describe major items of work.

What was the specification section numbers used for major work items listed above?

Schedule

Was the project completed on time by the date provided in the construction agreement?

Was the Project ever greater than 15% behind schedule overall? _____

If so, please provide details including, but not limited to, percent behind schedule, reasons for not meeting schedule, actions taken to remedy, etc.

Please provide a copy of the QA agreement/OMAT-LAP Form (current version). Was the form signing date before or after NTP?

Measurements & Payments (Change Orders and Claims, etc.)

How was measurement and payment made and documented for the Project?

Were the quantities verified or approved by the Department within the time specified in the agreement, if no please explain? _____

Were there any payments that weren't prompt? _____

Project Close-out

Was a closing conference held? _____

Was a final inspection held? _____

Was a Punch List developed? If so, please provide a copy. _____

Materials Certificate date? _____

Were any issues noted on the materials certificate? _____

Was there a requirement for a Disposition Form for substandard materials or work? If so what was not to standard? _____

Note: If issues were noted a disposition is required (please attach disposition if this applies). Letters of disposition will not substitute for missing tests across the board.

When was Final Acceptance granted by the Department? _____

What was the amount of time from the date of work complete/time stop to the Final Acceptance date? If greater than 6 months, please provide reason(s).

Project 2 **PI#** _____

Bidding, Letting & Award Compliance

1. What was the date of initial authorization of construction funds?

2. What was the date of advertisement for the letting and how long was it advertised?

3. What was the name(s) of the newspaper/publication in which the project was advertised?

4. How many bids were accepted? How many bids were rejected? What was the reason for the rejection?

5. Explain the process for evaluating bids. Was the lowest bidder awarded the project? If not, please provide documentation supporting the Local Government's reason(s) for not awarding to lowest bidder. (i.e. Responsive or Un-Balanced Bids, etc.).

6. Were performance/payment bonds received? _____
7. When was the project awarded to the low bid contractor (date)?

8. When was the Notice to Proceed issued to the contractor (date) and was it more than 270 days from GDOT authorization?

9. Was a preconstruction conference held? If so, please provide documentation of the meeting. If not, please provide justification for not conducting?

DBE Goals

What was the DBE Goal for the Project? _____

Who were the DBE subcontractors? _____

Was the DBE goal met? Explain? _____

If so, what was the final goal? _____

If not, what was the final participation amount and reason(s) for not meeting the Goal?

Construction Process

Please provide a copy of the Construction Agreement between the local government and GDOT for review.

Specification Compliance

Provide a project description.

Please describe major items of work.

What were the specification section numbers used for major work items listed above?

Schedule

Was the project completed on time by the date provided in the construction agreement?

Was the Project ever greater than 15% behind schedule overall? _____

If so, please provide details including, but not limited to, percent behind schedule, reasons for not meeting schedule, actions taken to remedy, etc.

Please provide a copy of the QA agreement/OMAT-LAP Form (current version). Was the form signing date before or after NTP?

Measurements & Payments (Change Orders and Claims, etc.)

How was measurement and payment made and documented for the Project?

Were the quantities verified or approved by the Department within the time specified in the agreement, if no please explain? _____

Were there any payments that weren't prompt? _____

Project Close-out

Was a closing conference held? _____

Was a final inspection held? _____

Was a Punch List developed? If so, please provide a copy. _____

Materials Certificate date? _____

Were any issues noted on the materials certificate? _____

Was there a requirement for a Disposition Form for substandard materials or work? If so what was not to standard? _____

Note: If issues were noted a disposition is required (please attach disposition if this applies). Letters of disposition will not substitute for missing tests across the board.

When was Final Acceptance granted by the Department? _____

What was the amount of time from the date of work complete/time stop to the Final Acceptance date? If greater than 6 months, please provide reason(s).

Project 3 **PI#** _____

Bidding, Letting & Award Compliance

1. What was the date of initial authorization of construction funds?

2. What was the date of advertisement for the letting and how long was it advertised?

3. What was the name(s) of the newspaper/publication in which the project was advertised?

4. How many bids were accepted? How many bids were rejected? What was the reason for the rejection?

5. Explain the process for evaluating bids. Was the lowest bidder awarded the project? If not, please provide documentation supporting the Local Government’s reason(s) for not awarding to lowest bidder. (i.e. Responsive or Un-Balanced Bids, etc.).

6. Were performance/payment bonds received? _____

7. When was the project awarded to the low bid contractor (date)?

8. When was the Notice to Proceed issued to the contractor (date) and was it more than 270 days from GDOT authorization?

9. Was a preconstruction conference held? If so, please provide documentation of the meeting. If not, please provide justification for not conducting?

DBE Goals

What was the DBE Goal for the Project? _____

Who were the DBE subcontractors? _____

Was the DBE goal met? Explain? _____

If so, what was the final goal? _____

If not, what was the final participation amount and reason(s) for not meeting the Goal?

Construction Process

Please provide a copy of the Construction Agreement between the local government and the contractor for the Department to review

Specification Compliance

Provide a project description.

Please describe major items of work.

What were the specification section numbers used for major work items listed above?

Schedule

Was the project completed on time by the date provided in the construction agreement?

Was the Project ever greater than 15% behind schedule overall? _____

If so, please provide details including, but not limited to, percent behind schedule, reasons for not meeting schedule, actions taken to remedy, etc.

Please provide a copy of the QA agreement/OMAT-LAP Form (current version). Was the form signing date before or after NTP?

Measurements & Payments (Change Orders and Claims, etc.)

How was measurement and payment made and documented for the Project?

Were the quantities verified or approved by the Department within the time specified in the agreement, if no please explain? _____

Were there any payments that weren't prompt? _____

Project Close-out

Was a closing conference held? _____

Was a final inspection held? _____

Was a Punch List developed? If so, please provide a copy. _____

Materials Certificate date? _____

Were any issues noted on the materials certificate? _____

Was there a requirement for a Disposition Form for substandard materials or work? If so what was not to standard? _____

Note: If issues were noted a disposition is required (please attach disposition if this applies). Letters of disposition will not substitute for missing tests across the board.

When was Final Acceptance granted by the Department? _____

What was the amount of time from the date of work complete/time stop to the Final Acceptance date? If greater than 6 months, please provide reason(s).

Note: Attach a copy of the construction audit report for all 3 projects listed above.

Section F - Procurement of Engineering and Design Related Services
Questionnaire

Please answer the following questions specific to “Procurement, Management, and Administration of Engineering and Design Related Services” for Federal-Aid projects to enable the Department to determine compliance with 23 CFR 172.

Note: Procurement will not be approved unless training has been completed. All staff involved in the procurement process **must** attend training.

- Any person(s) responsible for the solicitation, facilitating Evaluations, Negotiations and Contract Management must attend and pass the Procurement training
- Policies shall only be submitted once training has been completed. If the LPA’s staff has not attended training, they shall not contact Procurement with questions related to the language, submittal of policy
- The application will be used to review the LPA’s policy and knowledge of the process and all attachments are required with application (solicitation, evaluation, scoring, comments, etc...)

Also, if the LPA would like to adopt GDOT’s procurement policy, please reference the additional resources on the LAP webpage for the documentation.

1. Please list all staff (names and titles) that has taken the procurement class and provide dates the class was completed. Please provide a copy of the training certificates.

2. How many procurements for architecture and engineering services were performed for contracts to be funded with Federal Aid Highway Program funds within the past three years? Provide a list of all and answer the project specific questions below for the three most recent procurements using Federal-Aid funds.

3. Provide the written policies and procedures used to procure architecture and engineering services for the three most recent procurements using Federal-Aid funds, which are in accordance with §172.5(b)(1). If none are available, describe the process followed from beginning to end.

Please answer the following questions for your 3 most recent Federal-Aid projects to enable the Department to determine if compliance with 23 CFR 172, entitled “Procurement, Management, and Administration of Engineering and Design Related Services”. Provide all necessary documentation to support your answers and refer to the page number the information can be found.

Project 1

1. Prequalification

- a. What area classes were used for;
 - i. Prime _____
 - ii. Team _____
- b. Provide a copy of the prequalification certification to verify awarded consultant met all required area classes.

2. Development

- a. Which of the three (3) contract types did you select for the project and why?

- b. Which payment type(s) did you indicate in the solicitation could be used? Indicate page in the solicitation where this is mentioned.

- c. Provide the list of the selection criteria your entity used to score and the associated weights.

3. Advertisement

- a. How was the solicitation announced, advertised, or published in a public forum or method that assured qualified in-state and out-of-state consultants were given a fair opportunity to be considered? (Provide a link to the advertisement)

- b. How long was the advertisement? (Provide dates)

4. Evaluation

a. Selection Committee:

- i. How many members? _____
- ii. List the names and qualifications of committee members

b. Describe the evaluation process provide evaluation documents of short listed firms

c. Provide a copy of instructions provided to selection members

d. What is your scoring methodology?

e. Were individuals required to provide scores and comments? Please provide documentation.

- f. Provide phase 1 committee scores and comments
- g. Provide phase 2 committee scores and comments
- h. Provide rationale for final award

5. Negotiations

- a. Provide scope of contract and supporting task list to start negotiations
- b. Provide independent estimate prior to receiving consultant cost proposal
- c. Provide consultant cost proposal
- d. Provide final cost proposal agreed upon and process used for negotiating differences between independent estimate and consultant cost proposal
- e. Verification of Overhead Rate
 - i. How did you achieve verification that consultant is using currently approved audited rate?

6. Award

- a. Copy of contract which contain required provisions from CFR §172.9(c)
- b. Where was the award noticed posted? Provide a copy of the printed page confirming the posting.

7. Contract

- a. Were there any modifications to the agreement after execution of the contract? If yes, provide a summary and rationale and copies.

- b. Provide a copy of the Notice To Proceed (NTP)
- c. Provide a copy of the Stop Work Notice

Project 2

1. Prequalification

- a. What area classes were used for;

- i. Prime _____
 - ii. Team _____

- b. Provide a copy of the prequalification certification to verify awarded consultant met all required area classes.

2. Development

- a. Which of the three (3) contract types did you select for the project and why?

- b. Which payment type(s) did you indicate in the solicitation could be used? Indicate page in the solicitation where this is mentioned.

- c. Provide the list of the selection criteria your entity used to score and the associated weights.

3. Advertisement

- a. How was the solicitation announced, advertised, or published in a public forum or method that assured qualified in-state and out-of-state consultants were given a fair opportunity to be considered? (Provide a link to the advertisement)

b. How long was the advertisement? (Provide dates)

4. Evaluation

a. Selection Committee:

i. How many members? _____

ii. List the names and qualifications of committee members

b. Describe the evaluation process provide evaluation documents of short listed firms

c. Provide a copy of instructions provided to selection members

d. What is your scoring methodology?

e. Were individuals required to provide scores and comments? Please provide documentation.

f. Provide phase 1 committee scores and comments

g. Provide phase 2 committee scores and comments

h. Provide rationale for final award

5. Negotiations

a. Provide scope of contract and supporting task list to start negotiations

b. Provide independent estimate prior to receiving consultant cost proposal

c. Provide consultant cost proposal

d. Provide final cost proposal agreed upon and process used for negotiating differences between independent estimate and consultant cost proposal

e. Verification of Overhead Rate

i. How did you achieve verification that consultant is using currently approved audited rate?

6. Award

- a. Copy of contract which contain required provisions from CFR §172.9(c)
- b. Where was the award noticed posted? Provide a copy of the printed page confirming the posting.

7. Contract

- a. Were there any modifications to the agreement after execution of the contract? If yes, provide a summary and rationale and copies.

- b. Provide a copy of the Notice To Proceed (NTP)
- c. Provide a copy of the Stop Work Notice

Project 3

1. Prequalification

- a. What area classes were used for;
 - i. Prime _____
 - ii. Team _____
- b. Provide a copy of the prequalification certification to verify awarded consultant met all required area classes.

2. Development

- a. Which of the three (3) contract types did you select for the project and why?

- b. Which payment type(s) did you indicate in the solicitation could be used? Indicate page in the solicitation where this is mentioned.

- c. Provide the list of the selection criteria your entity used to score and the associated weights.

3. Advertisement

- a. How was the solicitation announced, advertised, or published in a public forum or method that assured qualified in-state and out-of-state consultants were given a fair opportunity to be considered? (Provide a link to the advertisement)

- b. How long was the advertisement? (Provide dates)

4. Evaluation

- a. Selection Committee:

- i. How many members? _____
- ii. List the names and qualifications of committee members

- b. Describe the evaluation process provide evaluation documents of short listed firms

- c. Provide a copy of instructions provided to selection members

- d. What is your scoring methodology?

- e. Were individuals required to provide scores and comments? Please provide documentation.

- f. Provide phase 1 committee scores and comments

- g. Provide phase 2 committee scores and comments

- h. Provide rationale for final award

5. Negotiations

- a. Provide scope of contract and supporting task list to start negotiations
- b. Provide independent estimate prior to receiving consultant cost proposal
- c. Provide consultant cost proposal

- d. Provide final cost proposal agreed upon and process used for negotiating differences between independent estimate and consultant cost proposal
- e. Verification of Overhead Rate
 - i. How did you achieve verification that consultant is using currently approved audited rate?

6. Award

- a. Copy of contract which contain required provisions from CFR §172.9(c)
- b. Where was the award noticed posted? Provide a copy of the printed page confirming the posting.

7. Contract

- a. Were there any modifications to the agreement after execution of the contract? If yes, provide a summary and rationale and copies.

- b. Provide a copy of the Notice To Proceed (NTP)
- c. Provide a copy of the Stop Work Notice

Section G - Re-Certification for a 3-Year Period

The Office of Inspector Generals (OIG) issued a report dated July 15, 2011, titled Federal Highway Administration’s Oversight of Federal –Aid and recovery Act Projects Administered by Local Public Agencies Need Strengthening. The report identified seven project activities where the OIG found a high level of non-compliance with Federal requirements. The goal is to increase our state oversight compliance in all areas through additional training for both GDOT and Locals, while specifically addressing the seven project activities with significant reoccurring non-compliance listed below:

1. Change Order and Claims
2. Project Bidding/contractor selection/unbalanced bid analysis
3. Utility agreements/reimbursements
4. Consultant selection and billings
5. Construction pay quantities and progress payments
6. Project reporting and tracking
7. Quality assurance procedures

The report can be found on the OIG’s website: <https://www.oig.dot.gov/library-item/29674>

The Georgia Department of Transportation, in collaboration with the Georgia Division Federal Highway Administration (FHWA), has developed a 3-year Local Administered Project (LAP) Re-Certification Process. This process is established to ensure the capacity and ability of the Local Public Agency (LPA) to successfully manage, administer and execute the policies and procedures for Federal and State compliance in order to receive federal funding through one of the three qualified certification options available to participants.

The Departments’ goals are to obtain updated information through this process; assess the Local Agencies’ past performance; gather feedback through a series of questions and written responses; provide guidance on areas of high-level non-compliance and other deficient areas, while providing additional training to increase delivery. In addition, the Department is interested in obtaining feedback from the Local Agencies on streamlining other processes and sharing best practices and innovative ideas. Finally, components of the 3-year recertification process are aligned with the Department’s “Stewardship Agreement”, which seeks to ensure oversight and compliance with State and Federal regulations.

Each Local Agency is required to recertify after a period of 3 years. During the recertification process, the LAP Program Manager and the LAP Committee shall evaluate the Local Agency’s past performance and any staffing changes. As part of the recertification process, the Local Agency completes a sub-recipient compliance non-discrimination review. Recertification provides an opportunity for the Department and the Local Agency to evaluate their partnership. Additionally,

as a part of the recertification review process, a Local Agency may be recertified to a different certification type or have the certification removed. The Department and the Local Agency should determine what aspects of the Local Agency’s efforts are working well, what needs to be improved and whether the LAP certification should continue.

Local Agency’s certification may be removed for failure to comply with State and Federal regulations, the requirements of this Manual, and the Local Agency Program Agreement. The certification removal may also occur for unsatisfactory performance, which includes, but is not limited to: failure to deliver projects, and failure to meet the commitments of the LAP program. The LAP Coordinator and LAP Committee will recommend certification removal to the Chief Engineer. The recommendation will include performance reports and documentation of any factors relevant to the decision. A Local Agency may appeal the certification removal by requesting a meeting with the Chief Engineer.

Recommendation(s) of action to be taken by Agency from GDOT Reviewer:

_____ Full administration by agency of all projects

_____ Deny approval for Certification Acceptance

Interview Conducted By: _____
District Planning Programming Liaison Date

Section H - Re-Certification Appeal Process

If re-certification has been denied, the Local Public Agency will then receive a letter explaining the reasons for denial. The LPA can then appeal any deficiencies found within 30 days. GDOT will then approve or deny the appeal based on additional information provided by the LPA.

Removal from the certification program may also occur at any time for unsatisfactory performance, which includes, but is not limited to:

- Failure to comply with applicable laws, regulations and policies
- Failure to meet the commitments of the LAP Program
- Failure to meet required timeframes for project delivery

This signature ensures that the agency agrees to comply with the previous requirements when developing all Federal Highway Administration projects under GDOT’s Qualification Certification Agreement. **FAILURE TO COMPLY** may require repayment for all or a portion of Federal funds. This applies to all successors from here.

LOCAL GOVERNMENT, Georgia

Approved By: _____

Title: _____

Signed, sealed and delivered

This ____ day of _____,

20____, in the presence of:

Witness

Notary Public

GEORGIA DEPARTMENT OF TRANSPORTATION

Approved By: _____

Program Control Administrator

Date