FEDERAL TRANSIT ADMINISTRATION

SECTION 5307

GRANT APPLICATION PACKAGE

DEADLINE: NOVEMBER 29, 2017
(CLOSE OF BUSINESS)

Please Mail the Completed Grant Application to:

Georgia Department of Transportation
Intermodal Division - Transit Program
600 W. Peachtree Street NW
Atlanta, Georgia 30308
Attn: Mark Lambert

Please send the electronic copy of the completed application via Email to:

mlambert@dot.ga.gov
November 18, 2017

Ms. Jamie Cochran, FAICP
Transit Programs Manager – Intermodal Office
Georgia Department of Transportation
One Georgia Center
600 W. Peachtree Street – 9th Floor
Atlanta, Georgia 30308

Dear Ms. Cochran:

The City of Reddick is applying for FY 2019 financial assistance under Title 49 U.S. Section 5307 Formula Assistance for Urbanized Areas.

For our capital funding request, $365,504 in federal funds and $45,688 in state funds are being requested. For our transit capital project, local funds in the amount of $45,688 representing a 10% share of the total capital project cost will be provided by The City of Reddick. The total funding amount from all funds for our capital funding request is $456,880.

For our transit operating funding request, $662,407 in federal funds is being requested. For transit operating support, $662,407 in local matching funds will be provided by The City of Reddick, for a total of $1,324,814 for transit operating support.

For our planning funding request, $55,000 in federal funds and $6,500 in state funds are being requested. For our transit planning project, local funds in the amount of $6,500 representing a 10% share of the total capital project cost will be provided by The City of Reddick. The total funding amount from all funds for our capital funding request is $65,000.

We are requesting a total of $1,079,911 in federal funding assistance and $52,188 in state funding assistance for the project. A total of local funds in the amount of $714,595 will be provided by The City of Reddick to match the federal and state funding.

The City of Reddick certifies that it has the technical, financial, and managerial capabilities to carry out the work described in this grant application. The enclosed information in support of the request for funding is submitted for your consideration.

If you have any questions on these matters, please contact Colin Eckert at 706.433.5641 and teckert@reddickcity.org.

Sincerely,

Melissa Flint, city Manager
### PART B: GRANT APPLICANT INFORMATION PROFILE

<table>
<thead>
<tr>
<th>Legal Name of Applicant Organization</th>
<th>City of Reddick</th>
</tr>
</thead>
<tbody>
<tr>
<td>Physical Address</td>
<td>21 Oak St, Reddick, GA 30885</td>
</tr>
<tr>
<td>Mailing Address</td>
<td>21 Oak St, Reddick, GA 30885</td>
</tr>
</tbody>
</table>

**Authorized Grant Submitter**

<table>
<thead>
<tr>
<th>Name</th>
<th>Colin Eckert</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title</td>
<td>Transit Director</td>
</tr>
<tr>
<td>Phone #</td>
<td>706.433.5641</td>
</tr>
<tr>
<td>Email</td>
<td><a href="mailto:TEckert@ReddickCity.org">TEckert@ReddickCity.org</a></td>
</tr>
</tbody>
</table>

**DUNS #**

| 21-404-6889 |

**E-Verify #**

| 12-8100732 |

**Congressional District(s)**

| 2, 8 |

**Authorized Official who will Execute the Contract**

<table>
<thead>
<tr>
<th>Name</th>
<th>Melissa Flint</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title</td>
<td>City Manager</td>
</tr>
<tr>
<td>Phone #</td>
<td>706.433.5611</td>
</tr>
<tr>
<td>Email</td>
<td><a href="mailto:MFlint@ReddickCity.org">MFlint@ReddickCity.org</a></td>
</tr>
</tbody>
</table>

**Designated Staff Person who will Attest the Executing Official's Signature and Affix the Government's Seal**

<table>
<thead>
<tr>
<th>Name</th>
<th>Robbie Koplinski</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title</td>
<td>City Clerk</td>
</tr>
<tr>
<td>Phone #</td>
<td>706.404.5615</td>
</tr>
<tr>
<td>Email</td>
<td><a href="mailto:RKoplinski@ReddickCity.org">RKoplinski@ReddickCity.org</a></td>
</tr>
</tbody>
</table>

**Designated Notary who will Notarize the Executing and Attesting Officials' Signatures**

<table>
<thead>
<tr>
<th>Name</th>
<th>Taylor Meredith</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title</td>
<td>Director of Administration</td>
</tr>
<tr>
<td>Phone #</td>
<td>706.404.5630</td>
</tr>
<tr>
<td>Email</td>
<td><a href="mailto:TMeredith@ReddickCity.org">TMeredith@ReddickCity.org</a></td>
</tr>
</tbody>
</table>

**Does your transit system employ 100 or more employees?**

Yes ___ No XX
PART D: AUTHORIZING RESOLUTION
(This Authorizing Resolution must be enacted by the governing body of the Applicant organization and signed by the Chair of the County Commission or Mayor of the City Council, as appropriate. Please make sure the authorizing resolution is properly witnessed and notarized, including the date the notary commission expires. It should also be stamped with the notary seal and the certificate of the attesting officer must also be completed).

Resolution Inserted Here.
RESOLUTION

Resolution authorizing the filing of an application with the Department of Transportation, United States of America, and Georgia Department of Transportation, for a grant under Title 49 U.S.C., Section 5307.

WHEREAS, the Secretary of the US Department of Transportation and the Commissioner of the Georgia Department of Transportation are authorized to make grants for mass transportation projects;

WHEREAS, the contract for financial assistance will impose certain obligations upon the applicant, including the provision by it of the local project costs;

WHEREAS, it is required by the U.S. Department of Transportation in accord with the provisions of Title VI of the Civil Rights Act of 1964, that in connection with the filing of an application for assistance under Title 49 U.S.C. Section 5303 the Applicant gives an assurance that it will comply with Title VI of the Civil Rights Act of 1964 and other pertinent directives and the U.S. Department of Transportation requirements there under; and

WHEREAS, it is the goal of the Applicant that Minority Business Enterprise (Disadvantaged Business Enterprise and Women's Business Enterprise) be utilized to the fullest extent possible in connection with this project, and that definitive procedures shall be established and administered to ensure that minority business shall have the maximum feasible opportunity to compete for contracts and purchase orders when procuring construction contracts, supplies, equipment contracts, or consultant and other services.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Reddick:

1. That the Designated Official – Melissa Flint, City Manager (hereinafter referred to as The Official) is authorized to execute and file an application on behalf of The City of Reddick with the Georgia Department of Transportation, to aid in the purchase of bus transit vehicles, and/or the planning, development, and construction of bus transit-related facilities.

2. That the Official is authorized to execute and file with such application and assurance or any other document required by U.S. Department of Transportation and Georgia Department of Transportation effectuating the purposes of this grant.
3. That the Official is authorized to furnish such additional information as the U.S. Department of Transportation and the Georgia Department of Transportation may require in connection with the application or the project.

4. That the Official is authorized to set forth and execute Minority Business Enterprise, DBE (Disadvantaged Business Enterprise) and WBE (Women Business Enterprise) policies and procedures in connection with the project's procurement needs.

5. That the Official is authorized to execute a grant agreement on behalf of The City of Reddick with the Georgia Department of Transportation to aid in the purchase of bus transit vehicles and/or the planning, development, and construction of bus transit-related facilities.

CERTIFICATE

The undersigned duly qualified and acting City Clerk of the City of Reddick certifies that the foregoing is a true and correct copy of a resolution, adopted at a legally convened meeting of the City Council held on November 15, 2017.

(if the applicant has an official seal, it should be impressed here)

[Rubin Koplish]
Signature of Recording Officer

City Clerk
Title of Recording Officer

November 15, 2017
Date
PART E: STATUS OF CURRENT FTA-FUNDED ASSETS

(In this grant application package, you will find a separate Excel workbook – entitled “FY 2019 - FTA 5307 - Vehicle-Equip-Facilities Inventory - (name of organization)” – for you to provide information on ALL FTA-funded assets, including transit (revenue-producing) vehicles, service/administrative vehicles, equipment (with a procurement value of $ 50,000 or more), and facilities (i.e. buildings, etc.) Please use the following guidance in filling out your FTA-Funded Asset Spreadsheet.)

Part E-1: FTA-Funded Transit Vehicle Inventory (Transit Vehicles Only)

This is the first spreadsheet in the asset workbook. It is on tab “Transit Vehicle Inventory.” The columns and what information you need to populate are described below:

- **Model Year, Make, and Model** – These data points are shown in separate columns to ensure consistency in describing the vehicle. For the Model column – please describe the vehicle fully, including the use ‘Cutaway’, ‘30’ Bus’, ‘Van’, ‘ADA Van with Lift’, etc. to give a more detailed vehicle description.

- **VIN Number** – The Vehicle Identification Number for the vehicle.

- **System Vehicle ID #** – This item is the unique inventory number you assign to the vehicle. This could be the ‘asset number,’ a number printed on the back of the vehicle.

- **Acquisition Date** – This is the date you placed the order for the vehicle.

- **Cost** – This is the amount you paid for the bus ONLY. You may have written a check for an invoice that included fareboxes, cameras, or other “add-ons”, but we need only the cost of the bus itself in this cell.

- **Federal Percentage** – This is the percent of Federal share of funding you received for the vehicle. Most of the time it is 80%. However, some ARRA-funded vehicles were purchased at 100% federal percentage.

- **Grant Number** – This is the Federal grant number that included the purchase of this vehicle. This is **not** the GDOT Project ID number (T00XXXX). It normally looks like ‘GA-90-XXXX’.

- **Location:** This is the location, i.e. County or City name, where the vehicle is based.

- **Mileage** – This is the odometer reading of the vehicle, as of the date you submit this grant application.

- **Disposition Action** – please indicate whether the vehicle is ‘Active,’ ‘Surplused,’ or ‘Waiting for Disposal.’

- **Vested Title** – please indicate the name of the organization holds the title to the vehicle (i.e. City, County, or Authority that governs your system).
- **Useful Life** – This is the useful life in force when you purchased the vehicle. Please use the format seen in the example. For help on this, please reach out to your Program manager.

- **District** - This is simply which GDOT District is your system located.

- **Vehicle Length (Feet)** – This is the length of the vehicle in terms of wheel-base.

- **Delivery Date** – This is the date you took possession of the vehicle.

- **Comments** – This is where you tell us anything important about the vehicle not included in the other columns.

---

**Part E-2: FTA-Funded Equipment Inventory (Including Admin/Support Vehicles)**

This is the second spreadsheet in the asset workbook. It is on tab “Equipment Inventory.” This spreadsheet will capture your FTA-funded equipment (over $50,000 in procurement cost) plus your administrative and support vehicles – sometimes referred to as ‘non-revenue vehicles’. As in Part E-1 above, the columns and fields are described below:

- **Equipment Name/Description** – As the column suggests, this is where you populate the name or type of equipment you are listing. If you have the brand name or model, please include it. The fuller description you can provide the better.

- **Model Year, Make, and Model** – These data points are shown in separate columns to ensure consistency in describing the vehicle. For the Model column – please describe the vehicle fully, including the use ‘Cutaway’, ‘30’ Bus’, ‘Van’, ‘ADA Van with Lift’, etc. to give a more detailed vehicle description.

- **VIN Number** – The Vehicle Identification Number for the vehicle.

- **Mileage** – This is the odometer reading of the vehicle, as of the date you submit this grant application.

- **Asset ID #** - This item is the unique inventory number you assign to the piece of equipment or the vehicle. This could be the ‘asset number,’ or a number printed on the back of the vehicle. For equipment, there may be an inventory plate or sticker on the item.

- **Acquisition Date** – This is the date you placed the order for the item or vehicle.

- **Cost** – This is the amount you paid for the item or vehicle.

- **Federal Percentage** – This is the percent of Federal share of funding you received for the item or vehicle. Most of the time it is 80%. However, some ARRA-funded assets were purchased at 100% federal percentage.
- **Grant #** – This is the Federal grant number that included the purchase of this item or vehicle. This is **not** the GDOT Project ID number (T00XXXX). It normally looks like ‘GA-90-XXXX’.

- **Location** – This is the location, i.e. County or City name, where the item or vehicle is based.

- **Useful Life** – This is the useful life in force when you purchased the item or vehicle. For vehicles, please use the format used in Part E-1. For Equipment items, please use XXXXXXXXXXXXXXX as the life. For additional help on this, please reach out to your Program manager.

- **Comments** – This is where you tell us anything important about the item or vehicle not included in the other columns.

**Part E-3: FTA-Funded Transit Facility Inventory**

This is the final spreadsheet in the asset workbook. It is on tab “Facility Inventory.” This spreadsheet will capture your FTA-funded transit facilities. As in Parts E-1 and E-2 above, the columns and fields are described below:

- **Facility Name** – This is the general name of the building, i.e, Maintenance Building, Passenger Terminal, Wash Bay Building, etc.

- **Facility Location (Physical Address)** – This is, quite simply the physical address of the building.

- **Detailed Facility Description** – Please be as descriptive as you can, i.e., ‘Two-story, brick office building’, ‘Corrugated steel, industrial-style shop building’, or ‘Multi-purpose training and customer support building’.

- **Acquisition Date** – In this case, this is the date you purchased the property. In case you purchased additional property adjacent to the original, but it is all now used for the same purpose, just use the date of the original purchase.

- **Replacement Cost ($)** – This is the cost estimate to replace the structure if it were to be destroyed by a natural disaster.

- **Facility Condition** – just like when you assessed the building during your TAM inventory, assign a general rating of Excellent, Good, Fair, Poor, Unusable.

- **Comments** – This is where you tell us anything important about the item or vehicle not included in the other columns.
Part E-4: Status of Other FTA Grants - Pending and in Process

(Applicant should describe all additional FTA grant applications that are either (1) pending (not submitted to GDOT yet) or (2) “In Process” (submitted to GDOT and awaiting a funding agreement to be executed. All grant applications, including Sections 5307 and 5339, should be listed.

In addition, please use this form to list any procurements (from contracts you already have with GDOT) that you have ORDERED, but have not yet RECEIVED.)

<table>
<thead>
<tr>
<th>FTA Program No. (5307 or 5339)</th>
<th>GDOT Project Number</th>
<th>FY of Grant Application</th>
<th>Vehicle, Equipment, or Facility Improvement Requested in Grant (vehicle types, equipment type, facility improvement, etc.)</th>
<th>Status (i.e. grant not yet submitted to GDOT; awaiting GDOT executed contract, awaiting delivery, etc.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>5307</td>
<td>T004923</td>
<td>FY2017</td>
<td>New 24’ Cut-a-Way Bus to replace Asset # 1016</td>
<td>In Process – Delivery estimate of Feb 2018</td>
</tr>
<tr>
<td>MODEL</td>
<td>YEAR</td>
<td>MAKE</td>
<td>MODEL</td>
<td>VIN NUMBER</td>
</tr>
<tr>
<td>-----------</td>
<td>------</td>
<td>----------</td>
<td>-------</td>
<td>------------</td>
</tr>
<tr>
<td>2010 Ford</td>
<td></td>
<td>Goshen</td>
<td>Van</td>
<td>1FDEE3FS6ADA69931</td>
</tr>
<tr>
<td>2012 Ford</td>
<td></td>
<td>Goshen</td>
<td>Cutaway</td>
<td>1FDFE4FS2BDA2978</td>
</tr>
<tr>
<td>2012 Ford</td>
<td></td>
<td>Goshen</td>
<td>Cutaway</td>
<td>1FDFE4FS2BDA29901</td>
</tr>
<tr>
<td>2012 Ford</td>
<td></td>
<td>Goshen</td>
<td>Cutaway</td>
<td>1FDFE4FS2BDA2978</td>
</tr>
<tr>
<td>2015 New Flyer</td>
<td>40'</td>
<td>Transi</td>
<td>Flyer 40'</td>
<td>1FDFE4FS2BDA2978</td>
</tr>
<tr>
<td>2015 New Flyer</td>
<td>40'</td>
<td>Transi</td>
<td>Flyer 40'</td>
<td>1FDFE4FS2BDA2978</td>
</tr>
</tbody>
</table>

**General Instructions:** Please do not enter any data in the **SHADOED or COLORED CELLS.** The first item in **ORANGE** is an example line for you to follow for your entries. Please see the GRANT APPLICATION, Part E, for additional instructions.
## Equipment Inventory

### FTA Section 5307 - FY 2019 Application

<table>
<thead>
<tr>
<th>LINE NO.</th>
<th>EQUIP NAME/DESCRIPTION</th>
<th>MODEL</th>
<th>YEAR</th>
<th>MAKE</th>
<th>MODEL</th>
<th>VIN NUMBER</th>
<th>MILEAGE</th>
<th>ACQUISITION DATE</th>
<th>COST</th>
<th>FEDERAL PERCENTAGE</th>
<th>GRANT #</th>
<th>LOCATION</th>
<th>USEFUL LIFE</th>
<th>COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Bus Wash Bay</td>
<td>2012</td>
<td>Ford</td>
<td>F250</td>
<td>Pickup Truck</td>
<td>1DFTF322F861227741</td>
<td>24,559</td>
<td>6/1/2012</td>
<td>$72,550.00</td>
<td>80% GA-90-034</td>
<td>Reddick Transit</td>
<td>5 Years</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Franklin Hydraulic Bus Lift</td>
<td>2012</td>
<td>Ford</td>
<td>F150</td>
<td>Pickup Truck</td>
<td>1FHRU2743612286452</td>
<td>50,883</td>
<td>8/15/2012</td>
<td>$32,000.00</td>
<td>80% GA-90-034</td>
<td>Reddick Transit</td>
<td>5 Years</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Fusion Hatchback</td>
<td>2013</td>
<td>Ford</td>
<td>Fusion Hatchback</td>
<td>1FTLJ8Z44WS526731</td>
<td>48,226</td>
<td>4/10/2013</td>
<td>$19,675.00</td>
<td>80% GA-90-0334</td>
<td>Reddick Transit</td>
<td>5 Years</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Non-Revenue (Support) Vehicles Only

<table>
<thead>
<tr>
<th>LINE NO.</th>
<th>EQUIP NAME/DESCRIPTION</th>
<th>MODEL</th>
<th>YEAR</th>
<th>MAKE</th>
<th>MODEL</th>
<th>VIN NUMBER</th>
<th>MILEAGE</th>
<th>ACQUISITION DATE</th>
<th>COST</th>
<th>FEDERAL PERCENTAGE</th>
<th>GRANT #</th>
<th>LOCATION</th>
<th>USEFUL LIFE</th>
<th>COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>17</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>18</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>19</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>22</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>23</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>24</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>25</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>26</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>27</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>28</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>29</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>30</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>31</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>32</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>33</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>34</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>35</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>36</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>37</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>38</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>39</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>40</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>41</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
## Part E-3 - FTA-Funded Transit Facility Inventory

### FTA Section 5307 - FY 2019 Application

**Organization Name:**
City of Reddick

---

**General Instructions:** Please do not enter any data in the **SHADEd or COLORED CELLS.** The first item in **ORANGE** is an example line for you to follow for your entries. Please see **E** for additional instructions.

### FTA-Funded Transit Facility Inventory Table

<table>
<thead>
<tr>
<th>LINE NO.</th>
<th>FACILITY NAME</th>
<th>FACILITY LOCATION ADDRESS</th>
<th>(PHYSICAL ADDRESS)</th>
<th>DETAILED FACILITY DESCRIPTION</th>
<th>ACQUISITION DATE</th>
<th>REPLACEMENT COST ($)</th>
<th>FACILITY CONDITION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sample</td>
<td>Maintenance Building</td>
<td>21 Oak St, Reddick, GA 30885</td>
<td>6000 Sq Ft Maintenance building - 4 Bays</td>
<td>7/20/2008</td>
<td>$370,000.00</td>
<td>Good</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>Reddick Transit Administrative Building</td>
<td>21 Oak St, Reddick, GA 30885</td>
<td>3,000 Sq Ft Administrative Building</td>
<td>2/1/2012</td>
<td>$365,900.00</td>
<td>Good</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Reddick Transit Maintenance Building</td>
<td>21 Oak St, Reddick, GA 30885</td>
<td>6,000 Sq Ft Maintenance Facility - 4 Bays</td>
<td>2/1/2012</td>
<td>$425,000.00</td>
<td>Good</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>17</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>18</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>19</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Part E-5: Certification of FTA-Funded Assets (Vehicles, Equipment, and Facilities)
(Applicant should prepare this certification on the Applicant Organization’s letterhead and include the signature of the Authorized Official).

Letter Inserted Here.
November 12, 2017

Ladies and Gentlemen:

As the Authorized Official for The City of Reddick, I certify that a complete physical inventory of all FTA-funded vehicles (revenue-producing transit vehicles, administrative/support vehicles, equipment (with a replacement cost of $ 50,000 or more, and all FTA-funded transit facilities has been conducted for our transit system. All FTA-funded vehicles, equipment, and facilities, are accounted for, are in serviceable condition, and have been listed in the attached grant application spreadsheets for our organization.

[Signature]
Colin Eckert
Signature of Authorized Official

[Date]
11.12.2017
Date
PART F: CAPITAL BUDGET AND JUSTIFICATION

(The proposed project to be funded under this grant application should be fully described including the overall project goals and objectives and how the project will contribute positively to the transit system. This section should also identify major project activities, capital expenditures, etc. and the appropriate FTA milestones associated with your proposed activities. All projects must be eligible under FTA regulations for Section 5307 funding.

Please note that all proposed project activities must be derived from an approved Statewide Transportation Improvement Program (STIP) or Transportation Improvement Program (TIP) prepared by the metropolitan planning organization (MPO) for your urbanized area. There must be a reasonable notice and opportunity to comment on the proposed project by the public and interested parties through the STIP and/or MPO processes. Please obtain an excerpt from your MPO area’s approved TIP and include it in your grant application package.

Part F-1: Capital Budget

Figure 2 - Illustration of tabs in the Budget Spreadsheet Workbook

Please complete the Project Budget located in the application Excel workbook entitled “FY 2019 - FTA 5307 - Budget Workbook - (name of organization)” on the tab labeled “F-1 – Capital Budget”. There is a guidance document in the application package entitled “FY 2019 GDOT Section 5307 Workbook Guidance Document” to assist you in preparing this spreadsheet. This is a pdf document and will provide you step-by-step instructions for you to create your project budget.

Part F-2: Project Milestones and Implementation Schedule

Please complete the Project Milestones located in the application Excel workbook entitled “FY 2019 - FTA 5307 - Budget Workbook - (name of organization)” on the tab labeled “E-5 – Project Milestones”. There is a guidance document in the application package entitled “FY 2019 GDOT 5307 Workbook Guidance Document” This is a pdf document and will provide you step-by-step instructions for you to create your project budget.
Part F-3: Overall Project Goals and Objectives

(In this section, please discuss how the project budget you developed will help grow, enhance, improve, and/or maintain your transit system. Please relate the project elements/activities to current and future needs, greater efficiencies, safety, and/or better service levels).

The City of Reddick, incorporated back in 1918, is a city of 68,461 residents and occupies approximately 25 square miles in central Georgia. Our main industries are timber, peanuts, two paper mills, and a variety of food and paper-related manufacturers. Reddick is also home to a satellite branch of Fall Line Community College and brings approximately 300 to 375 students and staff to the City every day.

The City currently owns and operates three 35 Ft. main line buses on two routes and six 24 Ft. Cut-a-way buses for ADA and demand-response service. All vehicles are equipped with ADA Lifts. The City operates its fleet, but contracts out to a third-party operator to handle maintenance of all rolling stock, including the system’s support vehicles. The maintenance facility is owned by the City who is also responsible for maintaining the structure and systems within the facility.

There are two big challenges facing the City at this time: a continued aging population and a proposal to build and new four-lane highway between us and the neighboring two large cities. This proposed highway has created a renewed interest in possibly expanding the community college branch and a variety of land purchases along the periphery of the City that will almost certainly result in additional retail opportunities as well as a consistent increase in tax base.

To embrace these opportunities, this application seeks to include a planning component which is beyond the financial capacity of the Metropolitan Planning Organization. In addition, we would like to take this opportunity to do some necessary facility upgrades. By doing these upgrades now, we are positioned to focus our energy and funding resources in the next two years to purchasing a fourth main line bus. Finally, this application enables us to add a much needed administrative position to better support the human resource and compliance needs of the system. It is our hope that – similar to the facility upgrades – if we start enhancing our compliance capacity now, we will be in a great position come 3-5 years in the future; especially as we face potential growth in ridership, but more importantly the needs of the elderly and physically challenged.

Part F-4: Justification of Major Project Elements and Activities

(Please describe each project element/activity included in your Budget Spreadsheet and provide detailed information on activities to be conducted, items to be procured, contracting opportunities (i.e. consultants and/or other vendors), etc. Given that Section 5307 funds are not always available to cover all the funding needs of our Section 5307 systems in Georgia, your description should include a discussion of which activities or project elements are the highest priority. Please feel free to cite or refer to any local area plans, analyses, assessments, reviews or other documents that can substantiate the need for Section 5307 funding to support your identified project activities.)
In addition, any equipment you plan to purchase under this application which will cost more than $5,000, you need to list it separately. Also, for any replacement vehicles (transit or admin/support) you must tell us which existing vehicle is being replaced. These points are required when GDOT writes our grant to FTA.)

11.32.07 – Surveillance/Security Equipment – 1 @ $5080.00 – We will be replacing several cameras in and around our more remote bus shelters because the existing ones are using an older technology, are of lower resolution, and are in black and white. These new ones will be in color and provide a greatly improved picture quality. This is one (1) contract purchasing 6 new cameras with installation and cabling.

11.32.09 – Bus Route Signage – 100 @ $150.00 – These are standard replacement signs and poles to replace existing faded and weathered signs. The City has about 300 signs along all of its routes and not all signs are simply “Bus Stop”, but a range of informational signage.

11.32.10 – Bus Shelters – 3 @ $10,000.00 – We will be replacing 3 shelters that have been banged up over the years. The design is will not be changing. Cameras are included in the pricing as is installation.

11.44.01 – Admin Building – 1 @ $22,500.00 – In FY 2019, we will be performing several upgrades to the Admin and Maintenance buildings. Under this ALI, we will be doing some reconfiguring of the space to enable better staff flow, improve the ready room for the drivers and Maintenance crew, to place the HR /Compliance Manager and Driver Supervisor’s offices closer to the ready-room (this will enable better access to the drivers to perform ‘reasonable suspicion’ observations), and to remove two dead trees near the front of the building (this is a safety concern for the staff), in addition to other minor improvements. The breakdown is as follows (approx.):
- Electrical – $3000
- Framing/Sheetrock - $9000
- Plumbing - $3000
- Painting and flooring - $4500
- Tree Removal - $3000

11.44.02 – Maintenance Building – 3 @ $43,500.00 – In FY 2019, we will be performing several upgrades to the Admin and Maintenance buildings. Under this ALI, we will be replacing the HVAC/Ventilation system, re-roofing the building, upgrading some fire suppression system components (to comply with current building codes for automotive service facilities), and replace two of the roll-up doors that have been broken since last year. The breakdown of projects is below:
- Roofing – $40,000
- Heating Systems - $20,000
- Cooling Systems - $23,000
- Fire Sprinkler System - $10,500
- Electrical Improvements - $11,000
- Plumbing Improvements - $10,600
Roll-up Door Replacement - $15,400 ($10,000 of this item is coded to SUPPLEMENTAL FUNDING)

Instead of 1 @ $130,500, we are choosing to break this up into three phases spending approximately $43,500 in each phase. The first phase is the roof, the second will be the HVAC along with some of the electrical work and the final phase will be the doors and sprinkler system along with the remaining plumbing.

11.44.05 – Yards and Shops – 1 @ $12,000.00 – Reddick purchased its maintenance building and storage yard about 6 years ago and at that time simply used the existing chain link fencing that came with the property. We will finally be replacing the fencing and adding an access system that allows the gates to open and close automatically. The buses will be equipped with proximity cards which will enable them to come in and out of the yard without having to exit the vehicle. There will be reduced chances of gates being left open at night and on the weekends.

11.42.06 – Shop Equipment – 12 (months) @ $1700.00 – This line item is for small tools and various shop equipment, some of which is ready to be replaced, while the remaining dollars will be for unforeseen breakdowns and repairs necessary for existing equipment. Two of the items to be replaced are the bench-top grinder and the petroleum solvent parts washing basin/station. At this time, there are no plans to procure shop equipment in excess of $5000.

11.42.07 – ADP Hardware – 2 @ $1000.00 – One PC need to be replaced (Financial Manager) and one needs to be purchased for the new Compliance/HR staff person. We will probably purchase laptops so that staff can work from home as needed and generally to provide flexibility in usage.

11.42.08 – ADP Software – 1 @ $20,000.00 – This line item is for our annual licenses for RouteMatch. This is over $5000, but it is for a one-year software license (multi-user) so there is no useful life.

11.42.08 – ADP Software – 8 @ $500.00 – This item is for a new version of Adobe Creative Suite, which gets used quite often by multiple staff. Note – Creative Suite comes with Acrobat Pro and is used by all admin staff. THESE ITEMS ARE REQUESTED UNDER SUPPLEMENTAL FUNDING.

11.62.03 - Radios – 4 @ $400.00 – Over the last year or so, 4 of our two-way radios have either gotten damaged or were stolen. This line item replaces those radios.

11.71.12 – Capital Cost of Contracting – 1 @ $180,000.00 – This item is for the capital portion of our contract with Southern GA Fleet Maintenance for the maintenance of our bus fleet. This is a capped amount and represents the maximum amount available for the Preventive Maintenance portion of the contract. The contract is up for re-procurement in early 2020.

11.7D.02 – Employee Education/Training – 12 (months) @ $1,150.00 – This is the average amount spent for general staff training, conferences and required meetings, defensive driving courses for the Drivers, and maintenance training for the Maintenance Crew. This line does not include mileage that
may be incurred for daily business travel using non-City vehicles; this is charged under Ops Assistance. This does, however, include specialized training for the new HR/Compliance Manager position. This portion of funding is coded to the SUPPLEMENTAL FUNDING category.

11.62.03 - Radios – 4 @ $400.00 – Over the last year or so, 4 of our two-way radios have either gotten damaged or were stolen. This line item replaces those radios.

Total Capital Budget from all sources - $456,880; Priority funding - $415,680 and Supplemental Funding - $41,200.

Part F-5: Benefits to Your Transit System

(Please discuss the intended positive benefits and outcomes to your transit system resulting from the requested funding and be as specific as you can).

Reddick Transit prides itself on operating lean, but effective at all times. The items in this application further that principle in many ways.

First, by acknowledging that an expansion of service may be viable in the coming three years, the shift to spending on the existing facilities makes the system more able to grow when the time is right. A side benefit of focusing on facilities now is that we minimize the chance for major system failures in the coming decade. The fact that failures may occur is not the issue; the demands on limited funding in the future to address those failures while also trying to expand service is the challenge.

Second, there are energy and cost savings linked to replacing the maintenance building’s HVAC and ventilation systems. And, the new fence will provide a level of safety and security that protects our transit investments. The new fence will also support compliance with Transit Asset Management (TAM) rules as they come into force in October 2018.

As for the Admin building and ready-room improvements, we have always felt that providing comfortable and energizing facilities for staff make them better and more productive workers. Nothing we have done in the past or will do now and in the future would be considered - by reasonable citizens - to be posh or over-the-top in design or substance. Sturdy and stylish are not bad words and done well, can benefit the taxpayer in the end.

Finally, a word about the Bus Shelters, Signage, and Surveillance investments: we support the philosophy that passive efforts to create a well-maintained, inviting, and functional community reduce crime and increase feelings of happiness and a willingness to engage others at a different level than in a poorly maintained municipality. We need to replace and maintain the bus shelter system because that is the front facing impression visitors and citizens get of our transit system.
Part F-6: State of Good Repair and Maintenance

(Please describe your transit system’s comprehensive approach to keeping your FTA-funded vehicles, equipment, and facilities in a state of good repair. In addition, please answer the following questions:

- Please list any maintenance or transit asset management training that your transit employees have attended in the past 12 months to improve your maintenance program, if any.
- Please confirm that you have submitted a Maintenance Plan to GDOT for approval that covers your transit vehicles, administrative/support vehicles, equipment (over $50K in procurement costs), and transit facilities.
- What is the date of your Maintenance Plan that fulfills this requirement?

Transit Asset Management (TAM) presents Reddick Transit with some challenges. Not in that ‘barrier’ sort of way, the opposite in fact. We love a good challenge and so does our amazing team from Southern GA Fleet Management (SGFM).

They have a wonderful system in place where we sit down every quarter and review the costs to maintain each vehicle in the fleet as well as the cost to operate the revenue vehicles. Everyone gets a voice in discussing which vehicle make/model perform really well and which are not very cost efficient.

From here we make decisions about whether it is better to simply procure a new vehicle or continue to maintain a poor performing vehicle. They have given us their commitment to ensuring that regular maintenance is performed on time and to pointing out experiences from other sites that SGFM operates a maintenance crew. We think more information is as important as simply listening to the manufacturer’s maintenance recommendations.

As for facilities and equipment, we try to get as much life out of each asset as possible. This is not to say that equipment does not break down prematurely or that items do not from time to time sprout legs, but we take seriously our responsibility to protect and maintain our assets, because that is what enables us to have the resources to provide more services and trips.

Part F-7: Procurement of Capital Items and Compliance with FTA Circular 4220.1F

(Please discuss the standard operating procedure for how your transit system handles its FTA-funded procurements such that it complies with FTA Circular 4220.1F).

Proper procurement procedures have always been important to the City and to the transit department. That said, perfection is hard to achieve and even harder to sustain. The Reddick Transit System will continue to partner with the City’s Purchasing Department to facilitate most of its procurements. There are of course, times when the department needs a particular thing right away. In these cases, the purchase is usually written up on a manual purchase order and reasonable effort is made to get at least two quotes. In the past year, we can count two occasions when a repair was required on short notice and was done without due regard to procurement rules. That is two out of an estimated 485 formal PO’s created.
It is also worth noting that the RTS has an extensive and well supported DBE mailing list and routinely holds gatherings of DBE vendors to discuss planned procurements coming in the next three to six months. For regular, routine purchases, we try to establish contracts to minimize the chances of a tainted procurement.

In addition, we ask our City’s audit firm to perform a procurement test from our previous years’ purchase order report. This has helped us identify flaws in the system and to correct them.

Add to the mix a generally small number of administrative staff who are authorized to approve purchases and the purchase of two new document scanners (a godsend for storing quotes electronically) and we believe we are maintaining a diligent and appropriately controlled procurement system that ensures fair and open competition and documents procurements to the best of our ability.
PART G: Section 5307 Planning Assistance Funding
(GDOT provides FTA Section 5303 transit planning funds to most of the MPOs in Georgia and expects area-wide transit planning for your area to be accomplished with those funds. However, if there are specific transit corridor or operational planning needs for your system and you are applying for Section 5307 planning assistance for this purpose, please describe (1) the activities you plan to undertake, (2) identify any contracting opportunities (i.e. consultants or vendors) included in these activities; (3) the benefits of these planning activities; and (4) how the planning work performed under this grant will be coordinated with other MPO Plans).

Please answer question 1-4 from above in this space (if applicable):

As we indicated in the section E-1, there are proposals on the table to construct a new four-lane highway linking the two, larger cities to our West and East, passing just to the North of the City of Reddick. As a result, the City Council has been talking about planning for the future in and around this new facility and we in the Transit Department feel the same.

We approached our partners at the Central Georgia MPO to see if they would take on the expense and work of conducting a new transit study. They were willing to provide technical expertise and resources, but their annual budget for transit planning work is simply not large enough to provide the type of study required in this case.

So, we are requesting money under Section 5307 to fund a study that looks at how well we are performing today and how we need to grow and modernize to provide the kind of transit services needed ten years from now. The consultant will also be required, as part of their deliverables, to incorporate plans at similarly situated municipalities and to create a plan that could be modularized in a way that enables us to “snap in” a new route as the demographics change and evolve.

Note: The total funding for Planning is $65,000. This is part of the Priority Funding Category.

Part G-1: Planning Assistance Budget

Figure 3 - Illustration of tab for this section of the application

If you are requesting planning funds under your Section 5307 grant application, please complete the Planning Assistance Budget located in the application Excel workbook entitled “FY 2019 - FTA 5307 - Budget Workbook - (name of organization)” on the tab labeled “G-1 – Planning Budget”. There is a guidance document in the application package entitled “FY 2019 GDOT Section 5307 Workbook Guidance Document” This is a pdf document and will provide you step-by-step instructions for you to create your project budget.
PART H: Section 5307 Operating Assistance Budget and Justification

(In this section, please provide supporting information on your proposed funding request for operating assistance. The Budget Spreadsheet Workbook has been designed to show your operating assistance budget as well as additional information pertaining to any third party operators (TPOs) used by your transit system to deliver Section 5307 transit services.)

Part H-1: Operating Assistance Budget for Your Organization

Under the H-1 tab in the Budget Spreadsheet, please complete the Operating Assistance Budget for expenses incurred by the Applicant organization. These expenses should be entered into the application Excel workbook entitled “FY 2019 - FTA 5307 - Budget Workbook - (name of organization)” on the tab labeled “H-1 – Ops Assist for Your Org.” There is a guidance document in the application package entitled “FY 2019 GDOT Section 5307 Workbook Guidance Document.” This is a pdf document and will provide you step-by-step instructions for you to create your project budget.

Part H-2: Third Party Operator (TPO) Budget Disclosure

In order for GDOT to confirm your proposed transit operating costs for FY 2019, please complete the spreadsheet entitled “Third Party Operator (TPO) Budget Disclosure” which can be found in the application Excel workbook entitled “FY 2019 - FTA 5307 - Budget Workbook - (name of organization)” on the tab labeled “H-2 – TPO Budget Disclosure”. There is a guidance document in the application package entitled “FY 2019 GDOT Section 5307 Workbook Guidance Document.” This is a pdf document and will provide you step-by-step instructions for you to complete your spreadsheet.

Part H-3: Operating Request Summary

Once you have completed your Operating Assistance Budget worksheets H-1 and H-2, please complete the Summary Request located in the application Excel workbook entitled “FY 2019 - FTA 5307 - Budget Workbook - (name of organization)” on the tab labeled “H-3 – Operating Request Summary.” There is a guidance document in the application package entitled “FY 2019 GDOT Section 5307 Workbook Guidance Document.” This is a pdf document and will provide you step-by-step instructions for you to create your project budget.
Part H-4: Description and Justification for Operating Assistance Funding

(The Applicant should describe the service to be provided (i.e. fixed route, route deviation, etc. with the operating assistance, extent of the service area, hours of service, days of service, etc. The Applicant should also discuss whether the funding request is to continue existing service or expand service into new areas, or both).

Reddick Transit provides two main-line routes from 6:30 am until 7:00 pm Monday through Friday. We do not offer main-line service on the weekends or holidays (with some exceptions allowed for large community events, where appropriate). We operate complementary ADA services during the same times. Our demand-response services are provided from 7:30 am until 6:30 pm Monday through Friday.

This application is not requesting funds for an expansion of service, however there is a request for planning funds to conduct a new Transit Study. The results of that study may lead us to request an increase in operating assistance for an expansion route in the future.

This operating assistance request, in the amount of $1,586,314 (gross operating expenses), is for the continued operation of the existing system. This request does include a new position for HR and Compliance management.

Part H-5: Basis of Operating Cost Estimates

(In this section, the Applicant should describe the basis of its operating cost estimate (for its own organization and/or TPO in G-1 and G2), such as historical trend analysis, recent procurements of TPO services, analysis of operating efficiencies, etc.)

Personnel – Total Cost, $895,830 – This Operating plan includes eleven drivers (1 Supervisor, 1 Lead, 7 regular full-time drivers, and 2 part-time drivers), two Dispatchers/RouteMatch Schedulers (both full-time), a Transit Director, an HR/Compliance Manager, a Financial Manager (all three managers are full-time), and a part-time administrative assistant. Fringe Rate is calculated at 36% (made up of FICA/Medicare – 7.65%, Health Ins – 19%, Unemployment Ins – 2.65%, Workers’ Compensation – 1.7%, and Pension – 5% = 36%). The Transit Director is shown at 26% because the higher salary depresses the actual fringe percent somewhat. If you were to state the Director’s fringe at 36% we would be overstating the actual expected fringe expense for that position only.

Operations and Maintenance – Total Cost, $120,000 – Reddick Transit has a Third-Party Operator (TPO) that provides all maintenance services. The City funds all of the fuel used by the Reddick System for the fleet. The usage mix between diesel fuel and gasoline varies from month to month.

Materials and Supplies – Total Cost, $23,460 – This category includes: Uniforms (for the Drivers) at approx. $420/month), Office Supplies at $150/month, Postage at $600/year, Freight at $75/month (a freight carrier is sometimes used to return cores [used automotive parts that will be refurbished.
and re-sold later] to the manufacturer), Building Maintenance at $200/month, General Operating at 
$400/month, Telephone Service at $400/month, and Cell Phone and Paging Service at $260/month.

**Space – Total Cost, $24,780** – This category includes all utilities for the administrative and 
maintenance buildings: Electricity at $750/month, Natural Gas at $290/month, Water and Sewer at 
$900/month, and Trash Collection at $125/month.

Reddick Transit does not pay rent because we own the facilities and property.

**Insurance and Compliance – Total Cost, $80,200** – Reddick Transit is responsible for providing all 
vehicle insurance as well as the insurance for the buildings and property. These include Public 
Liability Insurance at $23,000/year, Public Damage Insurance at $24,000/year, Fire and Extended 
Insurance at $6,000/year, and Claims and Damage Insurance at $10,000/year.

Funds are also included for compliance requirements, including Drug and Alcohol Testing at 
$5,000/year (200 tests per year @ $25 each), ADA Compliance at $100/month, Public Hearings 
(meeting space, AV rental, and printing) at $1000/year, and Annual Audit at $10,000/year.

**Miscellaneous – Total Cost, $442,044** – This category includes all of the small stuff that systems 
need in order to operate smoothly, but does not have a natural category of its own. These include: 
Dues and Subscriptions at $250/year (10 @ $25 each), Business Travel (mileage for local, daily needs) 
at $200/month, Legal Advertising (ads placed in the local newspaper announcing meetings, hearings, 
procurements, etc.) at $50/month, Printing at $100/month, Training and Education (non-capital) at 
$200/month, Legal Services at $1000/month, Professional/IT Services at $800/month, and 
Marketing at $1000/month.

This category is also where the Operating Assistance portion of our TPO contract gets posted. The 
operating portion for Southern GA Fleet Management is $401,824.00. Please see the TPO Budget 
Disclosure on Spreadsheet G-2.

The total funds requested for Operating Assistance is $1,586,314 and is all considered Priority 
Funding. Total Net Operating Assistance is $1,324,814 after deducting Program Revenues of 
$261,500.
Part H-6: Section 5307 Compliance Activities
(In this section, the Applicant’s Authorized Official should certify on its organization’s letterhead that sufficient funding under this FTA Section 5307 grant application (federal and local funds) has been identified in the grant application budget to carry out the necessary FTA compliance activities for which the transit system is responsible, including the FTA compliance oversight of your TPO(s).

Letter inserted here
November 12, 2017

Georgia Department of Transportation
Intermodal Division – Transit Program
600 W. Peachtree Street
Atlanta, Georgia 30308

Ladies and Gentlemen:

I, Colin Eckert certify that The City of Reddick has identified sufficient funding in this FY 2019 FTA Section 5307 grant application to conduct and complete the necessary FTA program compliance activities, including the oversight of our third party operators, Southern GA Fleet Management.

Colin Eckert
Signature of Authorized Official

Colin Eckert
Printed Name

11·18·2017
Date
Part H-7: Confirm the Requested Formula Funding (Federal) Amount and 1% Transit Security Requirement
(The Applicant should refer to its completed budget spreadsheet to verify that requested formula fund amount (federal funds) is equal to or less than the formula fund amount shown in Table 1 (page 2 of this application). Additionally, the Applicant should confirm that 1% of the total formula funds requested has been identified for transit security-related items).

The applicant should complete the four sub-tables located in the application Excel workbook entitled “FY 2019 - FTA 5307 - Budget Workbook - (name of organization)” on the tab labeled “H-7 – TOTAL Request and 1% Sec.” There is a guidance document in the application package entitled “FY 2019 GDOT Section 5307 Workbook Guidance Document.” This is a pdf document and will provide you step-by-step instructions for you to create your project budget.

Figure 5 - Illustration of tab for Requested Formula Funding and 1% Security spending

Part H-7A: Validation of Formula Budget Compared to FTA Formula Funding Allocation
(This table will enable you to compare the amount of total funding requested for Formula Funded items against the ACTUAL FTA Formula Funding Allocation for your system. Remember that you have been asked to assign a funding designation to each capital and planning item you are requesting. This designation will be used to total up the requested funding and show whether you are over or under your apportionment. For reference there is a second total line for the requested funding assigned to the Supplemental Needs category.)

Part H-7B: Summary of Total Project Funding Assistance
(The Applicant should manually check/calculate the total amount of funding requested (all federal, state, and local funds) to confirm your FY 2019 Section 5307 grant application request. This total amount and the federal, state, and local share should MATCH the language in the cover letter from your Authorized Official to GDOT submitting your grant application).

Part H-7C: Sources of Local Matching Funds and Amounts
(In the Budget Spreadsheet, you are asked to identify your source(s) of local matching funds. A line has already been included for “General Fund Revenues” plus four (4) additional lines for you to specify other local funding sources and their associated amounts. If you need more lines in the table, please insert them and fill in the data to complete this section of the application. The total of the local funds in the budget spreadsheet should be the same amount as the Local Match amount described under H-7B.)
Part H-7D: One Percent (1%) Safety and Security Projects
(In this table, the Applicant should indicate how the Section 5307 transit system will meet its 1% Transit Security Requirement. Under FTA’s policy you are required to make these expenditures unless you can justify why they are not needed. If this is the case, please indicate your reason(s) for not meeting the 1% security requirement included in this grant application).

Please insert your justification for not needing to spend the 1% here (if applicable):

The City of Reddick will be spending all of its 1% requirement on security-related items in FY 2019.
PART I: TITLE VI DATA COLLECTION AND REPORTING

(All applicants for FTA Section 5307 funding are required to maintain and provide GDOT with certain information pertaining to Title VI as part of their grant applications. Please answer the following Title VI questions below.

Part I-1: General Reporting Requirements

Please list any active lawsuits or complaints naming the Applicant which allege discrimination on the basis of race, color, or national origin with respect to service or other transit benefits. The list should include:

- The date the lawsuit was filed;
- A summary of the allegation; and,
- The status of the lawsuit or complaint.

Reddick Transit does not have any pending Title VI litigation against it and has not had any within the past two year.

Please provide a description of (1) all pending applications for FTA financial assistance and (2) financial assistance currently provided by other federal agencies.

GDOT/FTA funding is the sole provider of funding assistance to Reddick Transit. The local match comes from General Funds/Taxes. There are special situations where some SPLOST dollars may be applied for through the County and with the City Council’s approval.

Please provide a summary of all civil rights compliance review activities conducted at your transit system in the last three years. The summary should include:

- The purpose or reason for the review:
- The name of the agency or the organization that performed the review:
- A summary of the findings and recommendations of the review:
- A summary report on the status and/or disposition of such findings and recommendations.
Reddick Transit has submitted a full record of its policies and procedures within the last year regarding our ADA Access policy, Fare and Service Change Policy, Public Involvement Policy, Equipment Asset Management Policy.

In addition, we have included a provision in our TPO Contract that mandated a Maintenance policy for rolling-stock.

All of these policies were submitted to GDOT for the Interim Review in 2017 and all were deemed to meet the standards set by GDOT and FTA, with the exception of the ADA Access Policy.

The recommendation was to include various paragraphs of new or revised language that we were not aware had changed.

Since that time, we have resubmit the ADA Access Policy and are now in compliance.
November 18, 2017

Regarding Part I-2: Data Collection and Monitoring

For the purpose of this section, FTA requires that all subrecipients, including The City of Reddick, provide public transit service development and implementation procedures to monitor their level and quality of transit service to determine compliance with Title VI. These comparisons of the level and quality of transit service provided to the minority community, against overall system averages, will measure the actual realization of established service policies and standards.

[Signature]
Signature of Authorized Official

11.18.2017
Date
PART J: FY 2017 FTA CERTIFICATIONS AND ASSURANCES
(As part of this Section 5307 grant application package for FY 2019, please include a set of original signature, signed copies of the most recent [2017] FTA Certifications and Assurances (please see attached pdf of these documents).

These documents must be signed by BOTH the Chief Executive of the Legal Entity AND the organization’s Attorney.

- On the FY 2017 FTA Certifications and Assurance page listing all the categories, the Applicant must check the box for ALL categories (categories 01 – 23).

- The Applicant must insert the ORIGINAL SIGNATURE sheet in this section of the completed grant application.
Before the Federal Transit Administration (FTA or We) may award federal assistance for public transportation in the form of a federal grant, cooperative agreement, loan, line of credit, loan guarantee, master credit agreement, or State Infrastructure Bank (SIB) cooperative agreement certain pre-award Certifications and Assurances are required, except as FTA determines otherwise in writing. The Applicant must authorize a representative (Authorized Representative) to select and sign its Certifications and Assurances and bind the Applicant’s compliance. You, as your Applicant’s Authorized Representative, must select and sign all Certifications and Assurances that your Applicant must provide to support each application it submits to FTA for federal assistance during federal fiscal year (FY) 2017.

We request that you read each Certification and Assurance and select those that will apply to any application for which your Applicant might seek FTA assistance during FY 2017. As provided by federal laws, regulations, and requirements, FTA may award federal assistance only if the Applicant’s Authorized Representative selects adequate Certifications and Assurances.

We have consolidated our Certifications and Assurances into twenty-three (23) Categories. At a minimum, you must select the Assurances in Category 01. If your Applicant requests more than $100,000 in federal assistance, you must select the “Lobbying” Certification in Category 02, except if your Applicant is an Indian tribe, Indian organization, or an Indian tribal organization. Depending on the nature of your Applicant and the Award it seeks, you may also need to select one or more Certifications and Assurances in Categories 03 through 23. Instead of selecting individual Categories of Certifications and Assurances, however, you may make a single selection that will encompass all twenty-three (23) Categories of Certifications and Assurances that apply to our various programs.

FTA, the Applicant, and the Applicant’s Authorized Representative, understand and agree that not every provision of these twenty-three (23) Categories of Certifications and Assurances will apply to every Applicant or every Award or Project included in an Award, even if you make a single selection encompassing all twenty-three (23) Categories. Nor will every provision of each Certification or Assurance within a single Category apply if that provision does not apply to your Applicant or the Award it seeks. The type of Applicant and its application will determine which Certifications and Assurances apply.

Your Applicant is ultimately responsible for compliance with the Certifications and Assurances selected that apply to its Award, itself, any Subrecipient, or any other Third Party Participant in its Award, except as FTA determines otherwise in writing. For this reason, we strongly encourage your Applicant to take appropriate measures, including,
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

but not limited to, obtaining sufficient documentation from each Subrecipient and any other Third Party Participant as necessary to assure your Applicant’s compliance with the applicable Certifications and Assurances selected on its behalf.

Except as FTA determines otherwise in writing, if your Applicant is a team, consortium, joint venture, or partnership, it understands and agrees that you must identify the activities that each member will perform and the extent to which each member will be responsible for compliance with the selected Certifications and Assurances. You also must identify each member’s role in the Award, whether as a Recipient, Subrecipient, Third Party Contractor, or other Third Party Participant.

It is important that you and your Applicant also understand that these Certifications and Assurances are pre-award requirements, generally imposed by federal law or regulation, and do not include all federal requirements that may apply to it or its Award. We expect you to submit your Applicant’s FY 2017 Certifications and Assurances and its applications for federal assistance in FTA’s electronic award and management system, currently the Transit Award Management System (TrAMS). You must be registered in TrAMS to submit your Applicant’s FY 2017 Certifications and Assurances. TrAMS contains fields for selecting among the twenty-three (23) Categories of Certifications and Assurances and a designated field for selecting all twenty-three (23) Categories of Certifications and Assurances. If FTA agrees that you are unable to submit your Applicant’s FY 2017 Certifications and Assurances electronically, you must submit the Signature Pages at the end of this document, as FTA directs, marked to show the Categories of Certifications and Assurances that you are submitting.

Be aware that these Certifications and Assurances have been prepared in light of:

- The Fixing America’s Surface Transportation (FAST) Act, Public Law No. 114-94, December 4, 2015, and other authorizing legislation to be enacted, and
- Appropriations Acts or Continuing Resolutions funding the U.S. Department of Transportation during Fiscal Year 2017.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

CATEGORY 01. REQUIRED CERTIFICATIONS AND ASSURANCES FOR EACH APPLICANT.

Before FTA may provide federal assistance for your Applicant’s Award, you must select the Certifications and Assurances in Category 01 in addition to any other applicable Certifications and Assurances, except as FTA determines otherwise in writing.

Any provision of the Certifications and Assurances in Category 01 that does not apply will not be enforced.

01.A. Certifications and Assurances of Authority of the Applicant and Its Authorized Representative.

You certify and affirm that in signing these Certifications, Assurances, and Agreements, both you, as your Applicant’s Authorized Representative, and your Applicant’s attorney who is authorized to represent your Applicant in legal matters, may undertake the following activities on your Applicant’s behalf, in compliance with applicable state, local, or Indian tribal laws, regulations, and requirements and your Applicant’s by-laws or internal rules:
1. Execute and file its application for federal assistance,
2. Execute and file its Certifications, Assurances, Charter Service Agreement, and School Bus Agreement, as applicable, binding its compliance,
3. Execute its Grant Agreement, Cooperative Agreement, Loan, Loan Guarantee, Line of Credit, Master Credit Agreement, or State Infrastructure Bank (SIB) Cooperative Agreement for which the Applicant is seeking federal assistance from FTA,
4. Comply with applicable federal laws, regulations, and requirements, and
5. Follow applicable federal guidance.

01.B. Standard Assurances.

On behalf of your Applicant, you assure that it understands and agrees to the following:
1. It will comply with all applicable federal laws, regulations, and requirements in implementing its Award,
2. It is under a continuing obligation to comply with the terms and conditions of its Grant Agreement or Cooperative Agreement with FTA for each Award, including the FTA Master Agreement and other documents incorporated by reference and made part of its Grant Agreement or Cooperative Agreement, or latest amendment thereto.
3. It recognizes that federal laws, regulations, and requirements may be amended from time to time and those amendments may affect the implementation of its Award.
4. It understands that Presidential executive orders and federal guidance, including federal policies and program guidance, may be issued concerning matters affecting it or its Award.
5. It agrees that the most recent federal laws, regulations, requirements, and guidance will apply to its Award, except as FTA determines otherwise in writing.
6. Except as FTA determines otherwise in writing, it agrees that requirements for FTA programs may vary depending on the fiscal year for which the federal assistance for those programs was appropriated or made available.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

01.C. Intergovernmental Review Assurance.

(This assurance in this Category 01.C does not apply to an Indian tribe, an Indian organization, or an Indian tribal organization that applies for federal assistance made available under 49 U.S.C. § 5311(c)(1), which authorizes FTA’s Tribal Transit Programs.)

As required by U.S. Department of Transportation (U.S. DOT) regulations, “Intergovernmental Review of Department of Transportation Programs and Activities,” 49 CFR part 17, on behalf of your Applicant, you assure that it has submitted or will submit each application for federal assistance to the appropriate state and local agencies for intergovernmental review.

01.D. Nondiscrimination Assurance.

On behalf of your Applicant, you assure that:

1. It will comply with the following laws, regulations, and requirements so that no person in the United States will be denied the benefits of, or otherwise be subjected to discrimination in, any U.S. DOT or FTA assisted program or activity (particularly in the level and quality of transportation services and transportation-related benefits) on the basis of race, color, national origin, religion, sex, disability, or age including:
   a. Federal transit laws, specifically 49 U.S.C. § 5332 (prohibiting discrimination on the basis of race, color, religion, national origin, sex (including gender identity), disability, age, employment, or business opportunity),
   b. Title VI of the Civil Rights Act of 1964, as amended, 42 U.S.C. § 2000d,
   (prohibiting discrimination on the basis of race, color, religion, sex, (including gender identity and sexual orientation) or national origin),
   d. Executive Order No. 11246, “Equal Employment Opportunity” September 24, 1965, 42 U.S.C. § 2000e note, as amended by any later Executive Order that amends or supersedes it in part and is applicable to federal assistance programs,
   e. Title IX of the Education Amendments of 1972, as amended, 20 U.S.C. § 1681 et seq.,
   f. U.S. DOT regulations, “Nondiscrimination on the Basis of Sex in Education Programs or Activities Receiving Federal Financial Assistance,” 49 C.F.R. part 25,
   i. U.S. DOT regulations, “Nondiscrimination in Federally-Assisted Programs of the Department of Transportation-Effectuation of Title VI of the Civil Rights Act of 1964,” 49 CFR part 21,
   j. U.S. DOT regulations, specifically 49 CFR parts 27, 37, 38, and 39, and
   k. Any other applicable federal statutes that may be signed into law, federal regulations that may be issued, or federal requirements that may be imposed.

2. It will comply with federal guidance implementing federal nondiscrimination laws, regulations, or requirements, except as FTA determines otherwise in writing.

3. As required by 49 CFR § 21.7:
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

   (1) It implements its Award,
   (2) It undertakes property acquisitions, and
   (3) It operates all parts of its facilities, as well as its facilities operated in connection with its Award.

b. This assurance applies to its Award and to all parts of its facilities, as well as its facilities used to implement its Award.

c. It will promptly take the necessary actions to carry out this assurance, including the following:
   (1) Notifying the public that discrimination complaints about transportation-related services or benefits may be filed with U.S. DOT or FTA Headquarters Office of Civil Rights, and
   (2) Submitting information about its compliance with these provisions to U.S. DOT or FTA upon their request.

d. If it transfers U.S. DOT or FTA assisted real property, structures, or improvements to another party, any deeds and instruments recording that transfer will contain a covenant running with the land assuring nondiscrimination:
   (1) While the property is used for the purpose that the federal assistance is extended, or
   (2) While the property is used for another purpose involving the provision of similar services or benefits.

e. The United States has a right to seek judicial enforcement of any matter arising under:
   (1) Title VI of the Civil Rights Act, 42 U.S.C. § 2000d,
   (2) U.S. DOT regulations, 49 CFR part 21, or
   (3) This assurance.

f. It will make any changes in its Title VI implementing procedures, as U.S. DOT or FTA may request, to comply with:
   (1) Title VI of the Civil Rights Act, 42 U.S.C. § 2000d,
   (2) U.S. DOT regulations, 49 CFR part 21, and

g. It will comply with applicable federal guidance issued to implement federal nondiscrimination requirements, except as FTA determines otherwise in writing.

h. It will extend the requirements of 49 U.S.C. § 5332, 42 U.S.C. § 2000d, and 49 CFR part 21 to each Third Party Participant, including any:
   (1) Subrecipient,
   (2) Transferee,
   (3) Third Party Contractor or Subcontractor at any tier,
   (4) Successor in Interest,
   (5) Lessee, or
   (6) Other Participant in its Award, except FTA and the Applicant (and later, the Recipient).

i. It will include adequate provisions to extend the requirements of 49 U.S.C. § 5332, 42 U.S.C. § 2000d, and 49 CFR part 21 to each third party agreement, including each:
   (1) Subagreement at any tier,
   (2) Property transfer agreement,
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

(3) Third party contract or subcontract at any tier,
(4) Lease, or
(5) Participation agreement.

j. The assurances you have made on your Applicant’s behalf remain in effect as long as FTA determines appropriate, including, for example, as long as:
(1) Federal assistance is provided for its Award,
(2) Its property acquired or improved with federal assistance is used for a purpose for which the federal assistance is extended, or for a purpose involving similar services or benefits,
(3) It retains ownership or possession of its property acquired or improved with federal assistance provided for its Award, or
(4) FTA may otherwise determine in writing.

4. As required by U.S. DOT regulations, “Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefiting from Federal Financial Assistance,” 49 CFR part 27, specifically 49 CFR § 27.9, and consistent with 49 U.S.C. § 5332, you assure that:

a. It will comply with the following prohibitions against discrimination on the basis of disability listed below in subsection 4.b of this Category 01.D Assurance, of which compliance is a condition of approval or extension of any FTA assistance awarded to:
   (1) Construct any facility,
   (2) Obtain any rolling stock or other equipment,
   (3) Undertake studies,
   (4) Conduct research, or
   (5) Participate in any benefit or obtain any benefit from any FTA administered program.

b. In any program or activity receiving or benefiting from federal assistance that U.S. DOT administers, no qualified individual with a disability will, because of his or her disability, be:
   (1) Excluded from participation,
   (2) Denied benefits, or
   (3) Otherwise subjected to discrimination.

01.E. Suspension and Debarment, Tax Liability, and Felony Convictions Certifications.

01.E.1 Suspension and Debarment.

On behalf of your Applicant, you certify that:

a. It will comply and facilitate compliance with U.S. DOT regulations, “Nonprocurement Suspension and Debarment,” 2 CFR part 1200, which adopts and supplements the U.S. Office of Management and Budget (U.S. OMB) “Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement),” 2 CFR part 180.

b. To the best of its knowledge and belief, that its Principals and Subrecipients at the first tier:
   (1) Are eligible to participate in covered transactions of any federal department or agency and are not presently:
      (a) Debarred,
      (b) Suspended,
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

(c) Proposed for debarment,
(d) Declared ineligible,
(e) Voluntarily excluded, or
(f) Disqualified.

(2) Within a three-year period preceding its latest application or proposal, its management has not been convicted of or had a civil judgment rendered against any of them for:
(a) Commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction, or contract under a public transaction,
(b) Violation of any federal or state antitrust statute, or
(c) Commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making any false statement, or receiving stolen property.

(3) It is not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses listed in the preceding subsection 2.b of this Certification.

(4) It has not had one or more public transactions (federal, state, or local) terminated for cause or default within a three-year period preceding this Certification.

(5) If, at a later time, it receives any information that contradicts the preceding statements of subsections 2.a – 2.d of this Category 01.E Certification, it will promptly provide that information to FTA.

(6) It will treat each lower tier contract or subcontract under its Award as a covered lower tier contract for purposes of 2 CFR part 1200 and 2 CFR part 180 if it:
(a) Equals or exceeds $25,000,
(b) Is for audit services, or
(c) Requires the consent of a federal official.

(7) It will require that each covered lower tier contractor and subcontractor:
(a) Comply and facilitate compliance with the federal requirements of 2 CFR parts 180 and 1200, and
(b) Assure that each lower tier participant in its Award is not presently declared by any federal department or agency to be:
   1. Debarred from participation in any federally assisted Award,
   2. Suspended from participation in any federally assisted Award,
   3. Proposed for debarment from participation in any federally assisted Award,
   4. Declared ineligible to participate in any federally assisted Award,
   5. Voluntarily excluded from participation in any federally assisted Award, or
   6. Disqualified from participation in any federally assisted Award.

c. It will provide a written explanation if it or any of its principals, including any of its first tier Subrecipients or its Third Party Participants at a lower tier, is unable to certify compliance with the preceding statements in this Category 01.E.1 Certification.

01.E.2. Tax Liability.

If your Applicant is a private corporation, partnership, trust, joint-stock company, sole proprietorship, or other business association, on behalf of your Applicant, you certify that:
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

a. Your Applicant and its prospective Subrecipients have no unpaid federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability.

b. Your Applicant and its Subrecipients will follow applicable U.S. DOT guidance when issued.

01.E.3. Felony Convictions.

If your Applicant is a private corporation, partnership, trust, joint-stock company, sole proprietorship, or other business association, on behalf of your Applicant, you certify that:

a. Your Applicant and its prospective Subrecipients have not been convicted of a felony criminal violation under any federal law within the preceding 24 months.

b. Your Applicant and its Subrecipients will follow applicable U.S. DOT guidance when it is issued.

01.F. U.S. OMB Assurances in SF-424B and SF-424D.

The assurances in this Category 01.F are consistent with the U.S. OMB assurances required in the U.S. OMB SF-424B and SF-424D, and updated as necessary to reflect changes in federal laws, regulations, and requirements.

1. Administrative Activities. On behalf of your Applicant, you assure that:

a. For any application it submits for federal assistance, it has adequate resources to plan, manage, and properly complete the tasks to implement its Award, including:
   (1) The legal authority to apply for federal assistance,
   (2) The institutional capability,
   (3) The managerial capability, and
   (4) The financial capability (including funds sufficient to pay the non-federal share of the cost of incurred under its Award).

b. As required, it will give access and the right to examine materials related to its Award to the following entities or individuals, including, but not limited to:
   (1) FTA,
   (2) The Comptroller General of the United States, and
   (3) The State, through an appropriate authorized representative.

c. It will establish a proper accounting system in accordance with generally accepted accounting standards or FTA guidance.

d. It will establish safeguards to prohibit employees from using their positions for a purpose that results in:
   (1) A personal or organizational conflict of interest or personal gain, or
   (2) An appearance of a personal or organizational conflict of interest or personal gain.

2. Specifics of the Award. On behalf of your Applicant, you assure that:

a. It will begin and complete work within the period of performance that applies following receipt of an FTA Award.

b. For FTA assisted construction Awards:
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

(1) It will comply with FTA provisions concerning the drafting, review, and approval of construction plans and specifications,
(2) It will provide and maintain competent and adequate engineering supervision at the construction site to assure that the completed work conforms to the approved plans and specifications,
(3) It will include a covenant to assure nondiscrimination during the useful life of the real property financed under its Award in its title to that real property,
(4) To the extent FTA requires, it will record the federal interest in the title to FTA assisted real property or interests in real property, and
(5) It will not alter the site of the FTA assisted construction or facilities without permission or instructions from FTA by:
   (a) Disposing of the underlying real property or other interest in the site and facilities,
   (b) Modifying the use of the underlying real property or other interest in the site and facilities, or
   (c) Changing the terms of the underlying real property title or other interest in the site and facilities.

C. It will furnish progress reports and other information as FTA or the state may require.

3. Statutory and Regulatory Requirements. On behalf of your Applicant, you assure that:
   a. Your Applicant will comply with all federal laws, regulations, and requirements relating to nondiscrimination that apply, including, but not limited to:
      (1) The prohibitions against discrimination on the basis of race, color, or national origin, as provided in Title VI of the Civil Rights Act, 42 U.S.C. § 2000d.
      (2) The prohibitions against discrimination on the basis of sex, as provided in:
         (a) Title IX of the Education Amendments of 1972, as amended, 20 U.S.C. §§ 1681 – 1683, and 1685 – 1687, and
         (b) U.S. DOT regulations, “Nondiscrimination on the Basis of Sex in Education Programs or Activities Receiving Federal Financial Assistance,” 49 CFR part 25.
      (3) The prohibitions against discrimination on the basis of age in federally assisted programs, as provided in the Age Discrimination Act of 1975, as amended, 42 U.S.C. §§ 6101 – 6107.
      (4) The prohibitions against discrimination on the basis of disability in federally assisted programs, as provided in section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. § 794.
      (6) The prohibitions against discrimination in the sale, rental, or financing of housing, as provided in Title VIII of the Civil Rights Act, 42 U.S.C. § 3601 et seq.
      (8) The prohibitions against discrimination on the basis of alcohol abuse, as provided in the Comprehensive Alcohol Abuse and Alcoholism Prevention Act of 1970, as amended, 42 U.S.C. § 4541 et seq.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

(9) The confidentiality requirements for records of alcohol and drug abuse patients, as provided in the Public Health Service Act, as amended, 42 U.S.C. § 290dd – 290dd-2.


(11) The nondiscrimination provisions of any other statute(s) that may apply to its Award.

b. As provided by the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (Uniform Relocation Act), 42 U.S.C. § 4601 et seq., and 49 U.S.C. § 5323(b), regardless of whether federal assistance has been provided for any real property acquired or improved for purposes of its Award:

(1) It will provide for fair and equitable treatment of any displaced persons or any persons whose property is acquired or improved as a result of federally assisted programs.

(2) It has the necessary legal authority under state and local laws, regulations, and requirements to comply with:

   (a) The Uniform Relocation Act. 42 U.S.C. § 4601 et seq., as specified by 42 U.S.C. §§ 4630 and 4655, and


(3) It has complied with or will comply with the Uniform Relocation Act and implementing U.S. DOT regulations because:

   (a) It will adequately inform each affected person of the benefits, policies, and procedures provided for in 49 CFR part 24.

   (b) As provided by 42 U.S.C. §§ 4622, 4623, and 4624, and 49 CFR part 24, if its Award results in displacement, it will provide fair and reasonable relocation payments and assistance to:

      1. Displaced families or individuals, and

      2. Displaced corporations, associations, or partnerships.

   (c) As provided by 42 U.S.C. § 4625 and 49 CFR part 24, it will provide relocation assistance programs offering the services described in the U.S. DOT regulations to such:

      1. Displaced families and individuals, and

      2. Displaced corporations, associations, or partnerships.

   (d) As provided by 42 U.S.C. § 4625(c)(3), within a reasonable time before displacement, it will make available comparable replacement dwellings to families and individuals.

   (e) It will do the following:

      1. Carry out the relocation process to provide displaced persons with uniform and consistent services, and

      2. Make available replacement housing in the same range of choices with respect to such housing to all displaced persons regardless of race, color, religion, or national origin.

   (f) It will be guided by the real property acquisition policies of 42 U.S.C. §§ 4651 and 4652.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

(g) It will pay or reimburse property owners for their necessary expenses as specified in 42 U.S.C. §§ 4653 and 4654, understanding that FTA will provide federal assistance for its eligible costs of providing payments for those expenses, as required by 42 U.S.C. § 4631.

(h) It will execute the necessary implementing amendments to FTA assisted third party contracts and subagreements.

(i) It will execute, furnish, and be bound by such additional documents as FTA may determine necessary to effectuate or implement these assurances.

(j) It will incorporate these assurances by reference into and make them a part of any third party contract or subagreement, or any amendments thereto, related to its Award that involves relocation or land acquisition.

(k) It will provide in any affected document that these relocation and land acquisition provisions must supersede any conflicting provisions.

c. It will comply with the Lead-Based Paint Poisoning Prevention Act, specifically 42 U.S.C. § 4831(b), which prohibits the use of lead-based paint in the construction or rehabilitation of residence structures.

d. It will, to the extent applicable, comply with the protections for human subjects involved in research, development, and related activities supported by federal assistance of:
   (1) The National Research Act, as amended, 42 U.S.C. § 289 et seq., and

e. It will, to the extent applicable, comply with the labor standards and protections for federally assisted Awards of:
   (1) The Davis-Bacon Act, as amended, 40 U.S.C. §§ 3141 – 3144, 3146, and 3147,
   (2) Sections 1 and 2 of the Copeland “Anti-Kickback” Act, as amended, 18 U.S.C. § 874, and 40 U.S.C. § 3145, respectively, and

f. It will comply with any applicable environmental standards prescribed to implement federal laws and executive orders, including, but not limited to:
   (2) Following the notification of violating facilities provisions of Executive Order No. 11738, 42 U.S.C. § 7606 note.
   (3) Following the protection of wetlands provisions of Executive Order No. 11990, 42 U.S.C. § 4321 note.
   (5) Complying with the assurance of consistency with the approved state management program developed pursuant to the Coastal Zone Management Act of 1972, as amended, 16 U.S.C. §§ 1451 – 1465.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

(6) Complying with the Conformity of Federal Actions to State (Clean Air) Implementation Plans requirements under section 176(c) of the Clean Air Act of 1970, as amended, 42 U.S.C. §§ 7401 – 7671q.


(9) Complying with the environmental protections for federal transportation programs, including, but not limited to, protections for parks, recreation areas, or wildlife or waterfowl refuges of national, state, or local significance or any land from a historic site of national, state, or local significance to be used in a transportation Award, as required by 49 U.S.C. § 303 (also known as “Section 4f”).

(10) Complying with the protections for national wild and scenic rivers systems, as required under the Wild and Scenic Rivers Act of 1968, as amended, 16 U.S.C. §§ 1271 – 1287.

(11) Complying with and facilitating compliance with:
   (a) Section 106 of the National Historic Preservation Act of 1966, as amended, 54 U.S.C. § 300108,
   (b) The Archaeological and Historic Preservation Act of 1974, as amended, 54 U.S.C. § 312501 et seq., and
   (c) Executive Order No. 11593 (identification and protection of historic properties), 54 U.S.C. § 300101.

g. To the extent applicable, it will comply with the following federal requirements for the care, handling, and treatment of warm-blooded animals held or used for research, teaching, or other activities supported with federal assistance:
   (1) The Animal Welfare Act, as amended, 7 U.S.C. § 2131 et seq., and

h. To the extent applicable, it will obtain a certificate of compliance with the seismic design and construction requirements of U.S. DOT regulations, “Seismic Safety,” 49 CFR part 41, specifically 49 CFR § 41.117(d), before accepting delivery of any FTA assisted buildings.

i. It will comply with and assure that each of its Subrecipients located in special flood hazard areas will comply with section 102(a) of the Flood Disaster Protection Act of 1973, as amended, 42 U.S.C. § 4012a(a), by:
   (1) Participating in the federal flood insurance program, and
   (2) Purchasing flood insurance if the total cost of insurable construction and acquisition is $10,000 or more.

j. It will comply with:
   (1) The Hatch Act, 5 U.S.C. §§ 1501 – 1508, 7324 – 7326, which limits the political activities of state and local agencies and their officers and employees whose primary employment activities are financed in whole or part with federal assistance, including a federal loan, grant agreement, or cooperative agreement, and
   (2) 49 U.S.C. § 5323(l)(2) and 23 U.S.C. § 142(g), which provide an exception from Hatch Act restrictions for a nonsupervisory employee of a public transportation
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

system (or of any other agency or entity performing related functions) receiving federal assistance appropriated or made available under 49 U.S.C. chapter 53 and 23 U.S.C. § 142(a)(2) to whom the Hatch Act does not otherwise apply.

k. It will perform the financial and compliance audits as required by the:
   (3) Most recent applicable U.S. OMB Compliance Supplement, 2 CFR part 200, appendix XI (previously known as the U.S. OMB Circular A-133 Compliance Supplement).

l. It will comply with all other federal laws, regulations, and requirements that apply.

m. It will follow federal guidance governing it and its Award, except as FTA has expressly approved otherwise in writing.

CATEGORY 02. LOBBYING.

Before FTA may provide federal assistance for a grant or cooperative agreement exceeding $100,000 or a loan, line of credit, loan guarantee, or loan insurance exceeding $150,000, you must select the Lobbying Certifications in Category 02, unless your Applicant is an Indian Tribe, Indian organization, or an Indian tribal organization exempt from the requirements of 31 U.S.C. § 1352, and/or except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 02 that does not apply will not be enforced.

On behalf of your Applicant, you certify that:

   a. The lobbying restrictions of this Certification apply to its requests:
      (1) For $100,000 or more in federal assistance for a grant or cooperative agreement, and
      (2) For $150,000 or more in federal assistance for a loan, line of credit, loan guarantee, or loan insurance, and
   b. Your Certification on your Applicant’s behalf applies to the lobbying activities of:
      (1) The Applicant,
      (2) Its Principals, and
      (3) Its Subrecipients at the first tier.

2. To the best of your knowledge and belief:
   a. No federal appropriated funds have been or will be paid by your Applicant or on its behalf to any person to influence or attempt to influence:
      (1) An officer or employee of any federal agency regarding the award of a:
          (a) Federal grant or cooperative agreement, or
          (b) Federal loan, line of credit, loan guarantee, or loan insurance, or
      (2) A Member of Congress, an employee of a member of Congress, or an officer or employee of Congress regarding the award of a:
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

(a) Federal grant or cooperative agreement, or
(b) Federal loan, line of credit, loan guarantee, or loan insurance.

b. Your Applicant will submit a complete OMB Standard Form LLL (Rev. 7-97), “Disclosure of Lobbying Activities,” consistent with the instructions on that form, if any funds other than federal appropriated funds have been or will be paid to any person to influence or attempt to influence:
   (1) An officer or employee of any federal agency regarding the award of a:
       (a) Federal grant or cooperative agreement, or
       (b) Federal loan, line of credit, loan guarantee, or loan insurance,
   (2) A Member of Congress, an employee of a member of Congress, or an officer or employee of Congress regarding the award of a:
       (a) Federal grant or cooperative agreement, or
       (b) Federal loan, line of credit, loan guarantee, or loan insurance.

c. Your Applicant will include the language of this Certification in its Award documents under a federal grant, cooperative agreement, loan, line of credit, or loan insurance including, but not limited to:
   (1) Each third party contract,
   (2) Each third party subcontract,
   (3) Each subagreement, and
   (4) Each third party agreement.

3. Your Applicant understands that:
   a. This Certification is a material representation of fact that the Federal Government relies on, and
   b. It must submit this Certification before the Federal Government may award federal assistance for a transaction covered by 31 U.S.C. § 1352, including a:
      (1) Federal grant or cooperative agreement, or
      (2) Federal loan, line of credit, loan guarantee, or loan insurance.

4. Your Applicant understands that any person who does not file a required Certification will incur a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

CATEGORY 03. PROCUREMENT AND PROCUREMENT SYSTEMS.

We request that you select the Procurement and Procurement Systems Certification in Category 03 on behalf of your Applicant, especially if your Applicant is a state, local, or Indian tribal government with a certified procurement system, as provided in 2 CFR § 200.324(c)(2), incorporated by reference in 2 CFR part 1201 or former 49 CFR § 18.36(g)(3)(ii).

Any provision of the Certification in Category 03 that does not apply will not be enforced.

On behalf of your Applicant, you certify that its procurements and its procurement system will comply with all federal laws, regulations, and requirements in accordance with applicable federal guidance, except as FTA has approved otherwise in writing.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

CATEGORY 04. PRIVATE SECTOR PROTECTIONS.

Before FTA may provide federal assistance for an Award that involves the acquisition of public transportation property or the operation of public transportation facilities or equipment, you must select the Private Property Protections Assurances in Category 04.A and enter into the Agreements in Category 04.B and Category 04.C on behalf of your Applicant, except as FTA determines otherwise in writing.

Any provision of the Assurances and Agreements in Category 04 that does not apply will not be enforced.

04.A. Private Property Protections.

If your Applicant is a state, local government, or Indian tribal government and seeks federal assistance from FTA to acquire the property of a private transit operator or operate public transportation in competition with or in addition to a public transportation operator, the Private Property Protections Assurances in Category 04.A apply to your Applicant, except as FTA determines otherwise in writing.

To facilitate FTA’s ability to make the findings required by 49 U.S.C. § 5323(a)(1), on behalf of your Applicant, you assure that:

1. Your Applicant has or will have:
   a. Determined that the federal assistance it has requested is essential to carrying out its Program of Projects as required by 49 U.S.C. §§ 5303, 5304, and 5306,
   b. Provided for the participation of private companies engaged in public transportation to the maximum extent feasible, and
   c. Paid just compensation under state or local laws to the company for any franchise or property acquired.

2. Your Applicant has completed the actions described in the preceding section 1 of this Category 04.A Certification before:
   a. It acquires the property or an interest in the property of a private provider of public transportation, or
   b. It operates public transportation equipment or facilities:
      (1) In competition with transportation service provided by an existing public transportation operator, or
      (2) In addition to transportation service provided by an existing public transportation operator.

04.B. Charter Service Agreement.

If your Applicant seeks federal assistance from FTA to acquire or operate transit facilities or equipment, the Charter Service Agreement in Category 04.B applies to your Applicant, except as FTA determines otherwise in writing.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

To comply with 49 U.S.C. § 5323(d) and (g) and FTA regulations, “Charter Service, 49 CFR part 604, specifically 49 CFR § 604.4, on behalf of your Applicant, you are entering into the following Charter Service Agreement:

1. FTA’s “Charter Service” regulations apply as follows:
   a. FTA’s Charter Service regulations restrict transportation by charter service using facilities and equipment acquired or improved under an Award derived from:
      (1) Federal transit laws, 49 U.S.C. chapter 53,
      (2) 23 U.S.C. §§ 133 or 142, or
      (3) Any other Act that provides federal public transportation assistance, unless otherwise excepted.
   b. FTA’s charter service restrictions extend to:
      (1) Your Applicant, when it receives federal assistance appropriated or made available for:
         (a) Federal transit laws, 49 U.S.C. chapter 53,
         (b) 23 U.S.C. §§ 133 or 142, or
         (c) Any other Act that provides federal public transportation assistance, unless otherwise excepted.
      (2) Any Third Party Participant that receives federal assistance derived from:
         (a) Federal transit laws, 49 U.S.C. chapter 53,
         (b) 23 U.S.C. §§ 133 or 142, or
         (c) Any other Act that provides federal public transportation assistance, unless otherwise excepted.
   c. A Third Party Participant includes any:
      (1) Subrecipient at any tier,
      (2) Lessee,
      (3) Third Party Contractor or Subcontractor at any tier, and
      (4) Other Third Party Participant in its Award.
   d. You and your Applicant agree that neither it nor any governmental authority or publicly owned operator that receives federal public transportation assistance appropriated or made available for its Award will engage in charter service operations, except as permitted under:
      (1) Federal transit laws, specifically 49 U.S.C. § 5323(d) and (g),
      (2) FTA regulations, “Charter Service,” 49 CFR part 604, to the extent consistent with 49 U.S.C. § 5323(d) and (g),
      (3) Any other federal Charter Service regulations, or
      (4) Federal guidance, except as FTA determines otherwise in writing.
   e. You and your Applicant agree that the latest Charter Service Agreement selected in its latest annual Certifications and Assurances is incorporated by reference and made part of the Underlying Agreement accompanying its Award of federal assistance from FTA.
   f. You and your Applicant agree that:
      (1) FTA may require corrective measures or impose remedies on it or any governmental authority or publicly owned operator that receives federal assistance from FTA that has demonstrated a pattern of violating of FTA’s Charter Service regulations by:
         (a) Conducting charter operations prohibited by federal transit laws and FTA’s Charter Service regulations, or
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

(b) Otherwise violating its Charter Service Agreement selected in its latest annual Certifications and Assurances.

(2) These corrective measures and remedies may include:
   - Barring your Applicant or any Third Party Participant operating public transportation under its Award that has provided prohibited charter service from receiving federal assistance from FTA,
   - Withholding an amount of federal assistance as provided by Appendix D to FTA’s Charter Service regulations, or
   - Any other appropriate remedy that may apply.

2. In addition to the exceptions to the restrictions in FTA’s Charter Service regulations, FTA has established the following additional exceptions to those restrictions:
   - a. FTA’s Charter Service restrictions do not apply to your Applicant if it seeks federal assistance appropriated or made available under 49 U.S.C. §§ 5307 or 5311 to be used for Job Access and Reverse Commute (JARC) activities that would have been eligible for assistance under former 49 U.S.C. § 5316 in effect in FY 2012 or a previous fiscal year, provided that it uses that federal assistance from FTA for those program purposes only.
   - b. FTA’s Charter Service restrictions do not apply to your Applicant if it seeks federal assistance appropriated or made available under 49 U.S.C. § 5310 to be used for New Freedom activities that would have been eligible for assistance under former 49 U.S.C. § 5317 in effect in FY 2012 or a previous fiscal year, provided it uses that federal assistance from FTA for those program purposes only.
   - c. An Applicant for assistance under 49 U.S.C. chapter 53 will not be determined to have violated the FTA Charter Service regulations if that Recipient provides a private intercity or charter transportation operator reasonable access to that Recipient’s federally assisted public transportation facilities, including intermodal facilities, park and ride lots, and bus-only highway lanes, as provided in 49 U.S.C. § 5323(r).

04.C. School Bus Agreement.

If your Applicant seeks federal assistance from FTA to acquire or operate transit facilities or equipment, the School Bus Agreement in Category 04.C applies to your Applicant, except as FTA determines otherwise in writing.

To comply with 49 U.S.C. § 5323(f) and (g) and FTA regulations, “School Bus Operations,” 49 CFR part 605, to the extent consistent with 49 U.S.C. § 5323(f) and (g), your Applicant agrees to enter into the following School Bus Agreement:

1. FTA’s “School Bus Operations” regulations at 49 CFR part 605 restricts school bus operations using facilities and equipment acquired or improved with federal assistance derived from:
   - a. Federal transit laws, 49 U.S.C. chapter 53,
   - b. 23 U.S.C. §§ 133 or 142, or
   - c. Any other Act that provides federal public transportation assistance, unless otherwise excepted.

2. FTA’s school bus operations restrictions extend to:
   - a. Your Applicant, when it receives federal assistance appropriated or made available for:
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

(1) Federal transit laws, 49 U.S.C. chapter 53,
(2) 23 U.S.C. §§ 133 or 142, or
(3) Any other Act that provides federal public transportation assistance, unless otherwise excepted.

b. Any Third Party Participant that receives federal assistance derived from:
   (1) Federal transit laws, 49 U.S.C. chapter 53,
   (2) 23 U.S.C. §§ 133 or 142, or
   (3) Any other Act that provides federal public transportation assistance, unless otherwise excepted.

3. A Third Party Participant includes any:
   a. Subrecipient at any tier,
   b. Lessee,
   c. Third Party Contractor or Subcontractor at any tier, and
   d. Any other Third Party Participant in the Award.

4. You and your Applicant agree, and will obtain the agreement of any Third Party Participant, that it will not engage in school bus operations in competition with private operators of school buses, except as permitted under:
   a. Federal transit laws, specifically 49 U.S.C. § 5323(f) and (g),
   b. FTA regulations, “School Bus Operations,” 49 CFR part 605, to the extent consistent with 49 U.S.C. § 5323(f) and (g),
   c. Any other federal School Bus regulations, or
   d. Federal guidance, except as FTA determines otherwise in writing.

5. You and your Applicant agree that the latest School Bus Agreement selected on its behalf in FTA’s latest annual Certifications and Assurances is incorporated by reference and made part of the Underlying Agreement accompanying its Award of federal assistance.

6. You and your Applicant agree that after it is a Recipient, if it or any Third Party Participant has violated this School Bus Agreement, FTA may:
   a. Bar your Applicant or Third Party Participant from receiving further federal assistance for public transportation, or
   b. Require the Applicant or Third Party Participant to take such remedial measures as FTA considers appropriate.

CATEGORY 05. ROLLING STOCK REVIEWS AND BUS TESTING.

Before FTA may provide federal assistance for an Award to acquire rolling stock for use in revenue service or to acquire a new bus model, you must select the Rolling Stock Reviews and Bus Testing Certifications in Category 05, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 05 that does not apply will not be enforced.

05.A. Rolling Stock Reviews.

If your Applicant seeks federal assistance from FTA to acquire rolling stock for use in revenue service, the Rolling Stock Reviews Certifications in Category 05.A apply to your Applicant, except as FTA determines otherwise in writing.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

On behalf of your Applicant, you certify that, when procuring rolling stock for use in revenue service:
1. Your Applicant will comply with:
   a. Federal transit laws, specifically 49 U.S.C. § 5323(m), and
   b. FTA regulations, “Pre-Award and Post-Delivery Audits of Rolling Stock Purchases,” 49 CFR part 663, and
2. As provided in 49 CFR § 663.7:
   a. Your Applicant will conduct or cause to be conducted the required pre-award and post-delivery reviews of that rolling stock, and
   b. It will maintain on file the Certifications required by 49 CFR part 663, subparts B, C, and D.

05.B. Bus Testing.

If your Applicant seeks federal assistance from FTA to acquire a new bus model, the Bus Testing Certifications in Category 05.B apply to your Applicant, except as FTA determines otherwise in writing.

On behalf of your Applicant, you certify that:
1. FTA’s bus testing requirements apply to all acquisitions of new buses and new bus models that require bus testing as defined in FTA’s Bus Testing regulations, and it will comply with:
   a. 49 U.S.C. § 5318, and
2. As required by 49 CFR § 665.7, when acquiring the first bus of any new bus model or a bus model with a major change in components or configuration, your Applicant will not spend any federal assistance appropriated under 49 U.S.C. chapter 53 to acquire that new bus or new bus model until:
   a. That new bus or new bus model has been tested at FTA’s bus testing facility, and
   b. It has received a copy of the test report prepared for that new bus or new bus model.
3. It will ensure that the new bus or new bus model that is tested has met the performance standards consistent with those regulations, including the:
   a. Performance standards for:
      (1) Maintainability,
      (2) Reliability,
      (3) Performance (including braking performance),
      (4) Structural integrity,
      (5) Fuel economy,
      (6) Emissions, and
      (7) Noise, and
4. After FTA regulations authorized by 49 U.S.C. § 5318(e)(2) are in effect, it will ensure that the new bus or new bus model that is tested has received a passing aggregate test score under the “Pass/Fail” standard established by regulation.

CATEGORY 06. DEMAND RESPONSIVE SERVICE.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

Before FTA may provide federal assistance to a public entity that operates demand responsive service for an Award to acquire a non-rail vehicle that is not accessible, you must select the Demand Responsive Service Certifications in Category 06, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 06 that does not apply will not be enforced.

As required by U.S. DOT regulations, “Transportation Services for Individuals with Disabilities (ADA),” 49 CFR part 37, specifically 49 CFR § 37.77(d), on behalf of your Applicant, you certify that:

1. Your Applicant offers public transportation services equivalent in level and quality of service to:
   a. Individuals with disabilities, including individuals who use wheelchairs, and
   b. Individuals without disabilities.
2. Viewed in its entirety, your Applicant’s service for individuals with disabilities is:
   a. Provided in the most integrated setting feasible, and
   b. Equivalent to the service it offers individuals without disabilities with respect to:
      (1) Response time,
      (2) Fares,
      (3) Geographic service area,
      (4) Hours and days of service,
      (5) Restrictions on priorities based on trip purpose,
      (6) Availability of information and reservation capability, and
      (7) Constraints on capacity or service availability.

CATEGORY 07. INTELLIGENT TRANSPORTATION SYSTEMS.

Before FTA may provide federal assistance for an Award in support of an Intelligent Transportation System (ITS), you must select the Intelligent Transportation Systems Assurances in Category 07, except as FTA determines otherwise in writing.

Any provision of the Assurances in Category 07 that does not apply will not be enforced.

On behalf of your Applicant, you and your Applicant:

1. Understand that, as used in this Assurance, the term Intelligent Transportation System is defined to include technologies or systems of technologies that provide or significantly contribute to the provision of one or more Intelligent Transportation System (ITS) user services as defined in the “National ITS Architecture.”
2. Assure that, as provided in 23 U.S.C. § 517(d), any Award that includes an ITS or related activity financed with appropriations made available from the Highway Trust Fund, including amounts made available to deploy ITS facilities or equipment, will conform to the appropriate regional ITS architecture, applicable standards, and protocols developed under 23 U.S.C. § 517(a) or (c), unless it obtains a waiver as provided in 23 U.S.C. § 517(d)(2).
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

CATEGORY 08. INTEREST AND FINANCING COSTS AND ACQUISITION OF CAPITAL ASSETS BY LEASE.

Before FTA may award federal assistance appropriated or made available under 49 U.S.C. chapter 53 to support the interest, financing, or leasing costs of any Award financed under the Urbanized Area Formula Grants Program, Fixed Guideway Capital Investment Grants Program, any program to which the requirements of 49 U.S.C. § 5307 apply, or any other program as FTA may specify, you must select the Certifications in Category 08, except as FTA may determine otherwise in writing.

Any provision of the Certifications and Assurances in Category 08 that does not apply will not be enforced.

08.A. Interest and Financing Costs.

If your Applicant intends to use federal assistance to support the interest or any other financing costs for an Award financed under the Urbanized Area Formula Grants Program, the Fixed Guideway Capital Investment Grants Program, the New Starts, Small Starts, and Core Capacity Programs, any program that must comply with the requirements of 49 U.S.C. § 5307, or any other program as FTA may specify, the Interest and Financing Costs Certifications in Category 08.A apply to your Applicant, except as FTA determines otherwise in writing.

On behalf of your Applicant, you certify that:
1. It will not seek reimbursement for interest or any other financing costs unless:
   a. It is eligible to receive federal assistance for those costs, and
   b. Its records demonstrate that it has shown reasonable diligence in seeking the most favorable financing terms, as FTA may require.
2. It will comply with the same favorable financing cost provisions for Awards financed under:
   a. The Urbanized Area Formula Grants Program,
   b. A Full Funding Grant Agreement,
   c. An Early Systems Work Agreement,
   d. The Fixed Guideway Capital Investment Program financed by previous FTA enabling legislation,
   e. Any program that must comply with the requirements of 49 U.S.C. § 5307, or
   f. Any other program as FTA may specify.

08.B. Acquisition of Capital Assets by Lease.

If your Applicant seeks federal assistance from FTA to acquire capital assets (other than rolling stock or related equipment) through a lease, the Acquisition of Capital Assets by Lease Certifications and Assurances in Category 08.B apply to your Applicant, except as FTA determines otherwise in writing.

On behalf of your Applicant, you certify and assure that, as required by FTA regulations, “Capital Leases,” 49 CFR part 639, to the extent consistent with the FAST Act, if your Applicant
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

acquires any capital asset (other than rolling stock or related equipment) through a lease financed with federal assistance appropriated or made available under 49 U.S.C. chapter 53, it will not enter into a capital lease for which FTA can provide only incremental federal assistance unless it has adequate financial resources to meet its future lease obligations if federal assistance is not available.

CATEGORY 09. TRANSIT ASSET MANAGEMENT PLAN, PUBLIC TRANSPORTATION AGENCY SAFETY PLAN, AND STATE SAFETY OVERSIGHT REQUIREMENTS.

Before FTA may provide federal assistance appropriated or made available under 49 U.S.C. chapter 53 to support an Award, you must select the Certifications in Category 09, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 09 that does not apply will not be enforced.


If your Applicant applies for funding appropriated or made available for 49 U.S.C. chapter 53, the Transit Asset Management Certifications in Category 09.A apply to your Applicant, except as FTA determines otherwise in writing.

On behalf of your Applicant, you certify that it and each of its Subrecipients will:
1. Comply with FTA regulations, “Transit Asset Management,” 49 CFR part 625, and
2. Follow federal guidance that will implement the regulations at 49 CFR part 625.

09.B. Public Transportation Safety Program.

If your Applicant applies for funding under 49 U.S.C. chapter 53 and it is a State, local government authority, or any other operator of a public transportation system, the particular provisions under the Public Transportation Safety Program in Category 09.B apply to your Applicant, except as FTA determines otherwise in writing.

On behalf of your Applicant, you certify that it will comply with applicable regulations, guidance, and directives that implement the Public Transportation Safety Program provisions of 49 U.S.C. § 5329(b)-(d), except as FTA determines otherwise in writing.

09.C. State Safety Oversight Requirements.

On behalf of your Applicant, depending on how far the Recipient has progressed in developing a State Safety Oversight program fully compliant with 49 U.S.C. § 5329(e) and FTA regulations, “State Safety Oversight,” 49 C.F.R. part 674, your applicant certifies that it will comply as follows:
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

1. States With a Fully Compliant Program. The Recipient agrees that FTA regulations, “State Safety Oversight,” 49 C.F.R. part 674, will apply when its State Safety Oversight program is fully compliant with FTA’s requirements, but

2. States Without a Fully Compliant Program. The Recipient agrees that FTA regulations, “Rail Fixed Guideway Systems; State Safety Oversight,” 49 C.F.R. part 659, will continue to apply to those states that have not yet implemented a fully compliant Public Transportation Safety Program.

CATEGORY 10. ALCOHOL AND CONTROLLED SUBSTANCES TESTING.

If your Applicant must comply with the alcohol and controlled substance testing requirements of 49 U.S.C. § 5331 and its implementing regulations, before FTA may provide federal assistance for an Award, you must select the Certifications in Category 10, except as FTA may determine otherwise in writing.

Any provision of the Certifications in Category 10 that does not apply will not be enforced.

As required by 49 U.S.C. § 5331, and FTA regulations, “Prevention of Alcohol Misuse and Prohibited Drug Use in Transit Operations,” 49 CFR part 655, subpart I, specifically 49 CFR § 655.83, on behalf of your Applicant, including an Applicant that is a state, and on behalf of its Subrecipients and Third Party Contractors, you certify that:

1. Your Applicant, its Subrecipients, and Third Party Contractors to which these testing requirements apply have established and implemented:
   a. An alcohol misuse testing program, and
   b. A controlled substance testing program.

2. Your Applicant, its Subrecipients, and its Third Party Contractors to which these testing requirements apply have complied or will comply with all applicable requirements of 49 CFR part 655 to the extent those regulations are consistent with 49 U.S.C. § 5331.

3. Consistent with U.S. DOT Office of Drug and Alcohol Policy and Compliance Notice, issued October 22, 2009, if your Applicant, its Subrecipients, or its Third Party Contractors to which these testing requirements apply reside in a state that permits marijuana use for medical or recreational purposes, your Applicant, its Subrecipients, and its Third Party Contractors to which these testing requirements apply have complied or will comply with the federal controlled substance testing requirements of 49 CFR part 655.

CATEGORY 11. FIXED GUIDEWAY CAPITAL INVESTMENT GRANTS PROGRAM (NEW STARTS, SMALL STARTS, AND CORE CAPACITY IMPROVEMENT).

Before FTA may provide federal assistance for an Award financed under the New Starts, Small Starts, or Core Capacity Improvement Program authorized under 49 U.S.C. § 5309, you must select the Certifications in Category 11, except as FTA may determine otherwise in writing.

Any provision of the Certifications in Category 11 that does not apply will not be enforced.

Except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award,

2. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.

3. It will maintain its equipment and facilities acquired or improved under its Award in accordance with its transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625,

4. It will comply with:
   a. The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
   b. The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304, and


CATEGORY 12. STATE OF GOOD REPAIR PROGRAM.

Before FTA may provide federal assistance for an Award financed under the State of Good Repair Program authorized under 49 U.S.C. § 5337, you must select the Certifications in Category 12, except as FTA determines otherwise in writing.

Any provision of the Assurance in Category 12 that does not apply will not be enforced.

On behalf of your Applicant, you certify that:

1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award,

2. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award,

3. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient’s transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625, and

4. It will comply with:
   a. The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
   b. The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304.

CATEGORY 13. GRANTS FOR BUSES AND BUS FACILITIES AND LOW OR NO EMISSION VEHICLE DEPLOYMENT GRANT PROGRAMS

Before FTA may provide federal assistance for an Award under the Buses and Bus Facilities Program authorized under 49 U.S.C. § 5339, as amended by the FAST Act, which authorizes grants for formula and competitive Bus and Bus Facilities Grants and Low or No Emission buses or an award under the Low or No Emission Vehicle Development Program authorized under former 49 U.S.C. § 5312(d)(5), you must select the Certifications in Category 13, except as FTA determines otherwise in writing.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

Any provision of the Certifications in Category 13 that does not apply will not be enforced.

13.A. Grants for Buses and Bus Facilities Program

The following Certifications for the Grants for Buses and Bus Facilities Program are required by 49 U.S.C. § 5339, as amended by the FAST Act, which provides that the requirements of 49 U.S.C. § 5307 shall apply to recipients of grants made in urbanized areas and the requirements of 49 U.S.C. § 5311 shall apply to recipients of grants made in rural areas. Therefore:

1. If your Applicant is in an urbanized area, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:
   a. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
   b. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
   c. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient’s transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625.
   d. When using or involving a facility or equipment acquired or improved with federal assistance under 49 U.S.C. § 5339 during non-peak hours for transportation, recipients in an urbanized area will charge a fare not exceeding fifty (50) percent of the peak hour fare to the following individuals:
      (1) Any senior,
      (2) Any individual who, because of illness, injury, age, congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), is unable to use a public transportation service or a public transportation facility effectively without special facilities, planning, or design,
      (3) Any individual presenting a Medicare card issued to that individual under title II of the Social Security Act (42 U.S.C. § 401 et seq.), and
      (4) Any individual presenting a Medicare card issued to that individual under title XVIII of the Social Security Act (42 U.S.C. § 1395 et seq.).
   e. When carrying out a procurement under 49 U.S.C. § 5339, it will comply with:
      (1) The applicable general provisions of 49 U.S.C. § 5323, and
   f. It has complied with or will comply with 49 U.S.C. § 5307(b).
   g. As required by 49 U.S.C. § 5307(d):
      (1) It has or will have the amount of funds required for the non-federal share,
      (2) It will provide the non-federal share from sources approved by FTA, and
      (3) It will provide the non-federal share when needed.
   h. It will comply with:
      (1) The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
      (2) The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

i. It has a locally developed process to solicit and consider public comment before:
   (1) Raising a fare, or
   (2) Implementing a major reduction of public transportation service.

j. It will comply with applicable regulations, guidance, and directives that implement the
   Public Transportation Safety Program provisions of 49 U.S.C. § 5329(b)-(d), except as
   FTA determines otherwise in writing.

2. Except as FTA determines otherwise in writing, if your Applicant is in a rural area, you
   certify, on behalf of your Applicant, that:
   a. It has or will have and require each Subrecipient to have the legal, financial, and
      technical capacity to carry out its Award, including the safety and security aspects of that
      Award.
   b. It has or will have and require each Subrecipient to have satisfactory continuing control
      over the use of its equipment and facilities acquired or improved under its Award.
   c. It will maintain and require each Subrecipient to maintain its equipment and facilities
      acquired or improved under its Award, in accordance with the recipient’s transit asset
      management plan and consistent with FTA regulations, “Transit Asset Management,” 49
      CFR part 625.
   d. Its state program has provided for a fair distribution of federal assistance appropriated or
      made available under 49 U.S.C. § 5311(b) within the state to eligible entities, including
      Indian reservations.
   e. Its program provides or will provide the maximum feasible coordination of federal
      assistance for public transportation service with transportation service financed by other
      federal sources.
   f. Its Awards and Subawards in its Formula Grants for Rural Areas Program are included in:
      (1) The statewide transportation improvement program, and
      (2) To the extent applicable, a metropolitan transportation improvement program.
   g. With respect to the non-federal share:
      (1) It has or will have and, as necessary, will require each Subrecipient to have the
          amount of funds required for the non-federal share, as required by 49 U.S.C.
          § 5311(g),
      (2) It will provide and, as necessary, will require each Subrecipient to provide the non-
          federal share from sources approved by FTA, and
      (3) It will provide and, as necessary, will require each Subrecipient to provide the non-
          federal share when needed.
   h. It may transfer a facility or equipment acquired or improved under its Award to any other
      Recipient eligible to receive assistance under 49 U.S.C. chapter 53, if:
      (1) The Recipient possessing the facility or equipment consents to the transfer, and
      (2) The facility or equipment will continue to be used as required under 49 U.S.C.
          § 5311.

13.B. Low or No Emission Vehicle Deployment.

If your Applicant seeks federal assistance from FTA for an Award financed under the Low or No
Emission Vehicle Development Program authorized under former 49 U.S.C. § 5312(d)(5), the
Certifications and Assurances in Category 13.B apply to your Applicant, except as FTA determines otherwise in writing.

Former section 5312(d)(5)(C)(i) of title 49, United States Code, requires the following Certifications for Low or No Emission Vehicle Deployment Program before awarding federal assistance appropriated or made available under MAP-21. Therefore, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify and assure that:

1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award.
3. It will maintain its equipment and facilities acquired or improved under its Award in accordance with the Recipient’s transit management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625.
4. When using or involving a facility or equipment acquired or improved with federal assistance under former 49 U.S.C. § 5312(d)(5) during non-peak hours for transportation, it will charge a fare not exceeding fifty (50) percent of the peak hour to the following individuals:
   a. Any senior,
   b. Any individual who, because of illness, injury, age, a congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or who has semi-ambulatory capability) and is unable to use a public transportation service or a public transportation facility effectively without special facilities, special planning, or special design,
   c. Any individual presenting a Medicare card issued to that individual under title II of the Social Security Act (42 U.S.C. § 401 et seq.), and
   d. Any individual presenting a Medicare card issued to that individual under title XVIII of the Social Security Act (42 U.S.C. § 1395 et seq.).
5. When carrying out a procurement under this Program, it will comply with:
   a. The applicable general provisions of 49 U.S.C. § 5323, and
6. It has complied with or will comply with 49 U.S.C. § 5307(b) because:
   a. It has informed or will inform the public of the amounts of its federal assistance available under this Program,
   b. It has developed or will develop, in consultation with interested parties including private transportation providers, its proposed Program of Projects for activities to be financed,
   c. It has published or will publish its proposed Program of Projects in a way that affected individuals, private transportation providers, and local elected officials will have an opportunity to examine and submit comments on the proposed Projects and its performance as an Applicant,
   d. It has provided or will provide an opportunity for a public hearing to obtain the views of individuals on its proposed Program of Projects,
   e. It has assured or will assure that its proposed Program of Projects provides for coordination of public transportation services assisted under 49 U.S.C. § 5336, as amended by the FAST Act, with federally assisted transportation services supported by other federal sources,
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

f. It has considered or will consider the comments and views received, especially those of private transportation providers, in preparing its final list of Projects, and
g. It has made or will make the final list of Projects for which an Award is sought available to the public.

7. With respect to the non-federal share:
   a. It has or will have the amount of funds required for the non-federal share,
   b. It will provide the non-federal share from sources approved by FTA, and
   c. It will provide the non-federal share when needed.

8. It will comply with:
   a. The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
   b. The statewide and nonmetropolitan planning requirements of 49 U.S.C. § 5304.

9. It has a locally developed process to solicit and consider public comment before:
   a. Raising a fare, or
   b. Implementing a major reduction of public transportation service.

10. It will comply with applicable regulations, guidance, and directives that implement the Public Transportation Safety Program provisions of 49 U.S.C. § 5329(b)-(d), except as FTA determines otherwise in writing.

CATEGORY 14. URBANIZED AREA FORMULA GRANTS PROGRAMS AND PASSENGER FERRY GRANT PROGRAM.

Before FTA may provide federal assistance for an Award financed under the Urbanized Area Formula Grants Program authorized under 49 U.S.C. § 5307, as amended by the FAST Act, which authorizes federal assistance for Job Access and Reverse Commute (JARC) activities, and the Passenger Ferry Grant Program authorized under 49 U.S.C. § 5307(h), you must select the Certifications in Category 14, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 14 that does not apply will not be enforced.

14.A. Urbanized Area Formula Grants Program under the FAST Act.

If your Applicant seeks federal assistance from FTA for an Award financed under the Urbanized Area Formula Grants Program authorized under 49 U.S.C. § 5307, as amended by the FAST Act, the Certifications in Category 14.A apply to your Applicant, except as FTA determines otherwise in writing.

The following Certifications for the Urbanized Area Formula Grants Program under 49 U.S.C. § 5307, as amended by the FAST Act, are required by 49 U.S.C. § 5307(c)(1). Therefore, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:
1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

3. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient’s transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625,

4. When using or involving a facility or equipment acquired or improved with federal assistance under 49 U.S.C. § 5307 during non-peak hours for transportation, it will charge a fare not exceeding fifty (50) percent of the peak hour fare to the following individuals:
   a. Any senior,
   b. Any individual who, because of illness, injury, age, congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), is unable to use a public transportation service or a public transportation facility effectively without special facilities, planning, or design,
   c. Any individual presenting a Medicare card issued to that individual under title II of the Social Security Act (42 U.S.C. § 401 et seq.), and
   d. Any individual presenting a Medicare card issued to that individual under title XVIII of the Social Security Act (42 U.S.C. § 1395 et seq.).

5. When carrying out a procurement under 49 U.S.C. § 5307, it will comply with:
   a. The applicable general provisions of 49 U.S.C. § 5323, and

6. It has complied with or will comply with 49 U.S.C. § 5307(b) because:
   a. It has made or will make available to the public information on the amounts of federal assistance available to it under 49 U.S.C. § 5307,
   b. It has developed or will develop, in consultation with interested parties including private transportation providers, its proposed Program of Projects for activities for which federal assistance is sought,
   c. It has published or will publish its proposed Program of Projects in a way that affected individuals, private transportation providers, and local elected officials will have an opportunity to examine and submit comments on its proposed Program of Projects and its performance as an Applicant or Recipient,
   d. It has provided or will provide an opportunity for a public hearing to obtain the views of individuals on its proposed Program of Projects,
   e. It has ensured or will ensure that its proposed Program of Projects provides for coordination of transportation services financed by FTA under 49 U.S.C. § 5336, as amended by the FAST Act, with transportation services supported by other Federal Government sources,
   f. It has considered or will consider the comments and views received, especially those of private transportation providers, in preparing its final Program of Projects, and
   g. It has made or will make its final Program of Projects available to the public.

7. As required by 49 U.S.C. § 5307(d):
   a. It has or will have the amount of funds required for the non-federal share,
   b. It will provide the non-federal share from sources approved by FTA, and
   c. It will provide the non-federal share when needed.

8. As required by 49 U.S.C. § 5307(c)(1)(H), it will comply with:
   a. The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
b. The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304.

9. As required by 49 U.S.C. § 5307(c)(1)(I), it has a locally developed process to solicit and consider public comment before:
   a. Raising a fare, or
   b. Implementing a major reduction of public transportation.

10. Each fiscal year:
   a. It will assure that at least one (1) percent of the amount of federal assistance under 49 U.S.C. § 5307 apportioned to its urbanized area must be expended for Public Transportation Security activities as described in 49 U.S.C. § 5307(c)(1)(J)(i) including:
      (1) Increased lighting in or adjacent to a public transportation system (including bus stops, subway stations, parking lots, and garages),
      (2) Increased camera surveillance of an area in or adjacent to that system,
      (3) Emergency telephone line or lines to contact law enforcement or security personnel in an area in or adjacent to that system, and
      (4) Any other activity intended to increase the security and safety of an existing or planned public transportation system, or
   b. The Designated Recipients in its urbanized area certify that such expenditures for Public Transportation Security activities are not necessary.

11. If it serves an urbanized area with a population of at least 200,000 individuals, as determined by the Bureau of the Census:
   a. It will provide a report by the end of the fourth quarter of the preceding federal fiscal year that lists projects carried out in the preceding fiscal year under this section for associated transit improvements as defined in 49 U.S.C. § 5302, and
   b. The report of its Associated Transit Improvements or related activities is or will be incorporated by reference and made part of its Certifications and Assurances.

12. It will comply with applicable regulations, guidance, and directives that implement the Public Transportation Safety Program provisions of 49 U.S.C. § 5329(b)-(d), except as FTA determines otherwise in writing.

14.B. Passenger Ferry Grant Program.

If your Applicant seeks federal assistance from FTA for an Award financed under the Passenger Ferry Grant Program authorized under 49 U.S.C. § 5307(h), as amended by the FAST Act, the Certifications in Category 14.B apply to your Applicant, except as FTA determines otherwise in writing.

The following Certifications for the Passenger Ferry Grant Program are required by 49 U.S.C. § 5307(c)(1) or (h). Therefore, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:
1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

3. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient’s transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625.

4. When using or involving a facility or equipment acquired or improved with federal assistance under 49 U.S.C. § 5307(h) during non-peak hours for transportation, it will charge a fare not exceeding fifty (50) percent of the peak hour fare to the following individuals:
   a. Any senior,
   b. Any individual who, because of illness, injury, age, congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), is unable to use a public transportation service or a public transportation facility effectively without special facilities, planning, or design,
   c. Any individual presenting a Medicare card issued to that individual under title II of the Social Security Act (42 U.S.C. § 401 et seq.), and
   d. Any individual presenting a Medicare card issued to that individual under title XVIII of the Social Security Act (42 U.S.C. § 1395 et seq.).

5. When carrying out a procurement under 49 U.S.C. § 5307(h), it will comply with:
   a. The applicable general provisions of 49 U.S.C. § 5323, and

6. As required by 49 U.S.C. § 5307(d):
   a. It has or will have the amount of funds required for the non-federal share,
   b. It will provide the non-federal share from sources approved by FTA, and
   c. It will provide the non-federal share when needed.

7. As required by 49 U.S.C. § 5307(c)(1)(H), it will comply with:
   a. The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
   b. The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304.

8. As required by 49 U.S.C. § 5307(c)(1)(I), it has a locally developed process to solicit and consider public comment before:
   a. Raising a fare, or
   b. Implementing a major reduction of public transportation service.

9. It will comply with applicable regulations, guidance, and directives that implement the Public Transportation Safety Program provisions of 49 U.S.C. § 5329(b)-(d), except as FTA determines otherwise in writing.

CATEGORY 15. ENHANCED MOBILITY OF SENIORS AND INDIVIDUALS WITH DISABILITIES PROGRAMS.

Before FTA may provide federal assistance for an Award financed under the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program authorized under 49 U.S.C. § 5310, as amended by the FAST Act, or the Pilot Program for Innovated Access and Mobility under Section 3006(b) of the FAST Act, you must select the Certifications in Category 15, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 15 that does not apply will not be enforced.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCE

1. The following Certifications for the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program are required by 49 U.S.C. § 5310. Therefore, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:
   a. Each Subrecipient is:
      (1) A private nonprofit organization, or
      (2) A state or local governmental authority that:
         (a) Is approved by a state to coordinate services for seniors and individuals with disabilities, or
         (b) Certifies that there are no private nonprofit organizations readily available in the area to provide the services authorized for support under the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program.
   b. Your Applicant will comply with the following selection and planning requirements:
      (1) The Projects it has selected or will select for an Award or Subaward of federal assistance appropriated or made available under 49 U.S.C. § 5310 are included in a public transit-human services transportation plan that has been:
         (a) Locally developed, and
         (b) Coordinated.
      (2) The public transit-human services transportation plan was developed and approved through a process that included participation by:
         (a) Seniors,
         (b) Individuals with disabilities,
         (c) Representatives of public, private, and nonprofit transportation providers,
         (d) Representatives of public, private, and nonprofit human services providers, and
         (e) Other members of the public.
      (3) Within its Award, the Projects selected to receive federal assistance will assist in providing transportation services for seniors and individuals with disabilities are included in its Program of Projects submitted to FTA annually.
      (4) To the maximum extent feasible, the services financed by 49 U.S.C. § 5310 will be coordinated with transportation services financed by other federal departments and agencies, including any transportation activities carried out by a recipient of federal assistance from the Department of Health and Human Services.
   c. As required by 49 U.S.C. § 5310(e)(2)(B), it certifies that if it allocates federal assistance received under 49 U.S.C. § 5310 to any Subrecipient, it will have allocated that federal assistance on a fair and equitable basis.
   d. It will not transfer a facility or equipment acquired or improved with federal assistance appropriated or made available for a grant under 49 U.S.C. § 5310 to any other recipient eligible to receive assistance under 49 U.S.C. chapter 53, unless:
      (1) The recipient possessing the facility or equipment consents to the transfer, and
      (2) The facility or equipment will continue to be used as required under 49 U.S.C. § 5310.
   e. As required by 49 U.S.C. § 5310(b)(2), it will use at least fifty-five (55) percent of the federal assistance it receives for Capital Projects to meet the special needs of seniors and individuals with disabilities.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

f. The requirements of 49 U.S.C. § 5307, as determined by FTA, will apply to the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program authorized by 49 U.S.C. § 5310.

2. FTA has determined that certain requirements of 49 U.S.C. § 5307 are appropriate for the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program, some of which require Certifications. Therefore, as specified under 49 U.S.C. § 5307(c)(1), your Applicant certifies that:

a. It has or will have and will require each Subrecipient to have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.

b. It has or will have and will require each Subrecipient to have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award or Subaward.

c. It will maintain and will require each Subrecipient to maintain its equipment and facilities acquired or improved under its Award or Subaward, in accordance with the recipient’s transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625.

d. When carrying out a procurement under the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program, it will require each Subrecipient to comply with:
   (1) The applicable general provisions of 49 U.S.C. § 5323, and

e. With respect to the non-federal share:
   (1) It has or will have and, as necessary, will require each Subrecipient to have the amount of funds required for the non-federal share, as required by 49 U.S.C. § 5310.
   (2) It will provide and, as necessary, will require each Subrecipient to provide the non-federal share from sources approved by FTA, and
   (3) It will provide and, as necessary, will require each Subrecipient to provide the non-federal share when needed.

f. It has complied or will comply and will require each Subrecipient to comply with:
   (1) The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
   (2) The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304.

g. To the extent applicable, it will and will require its Subrecipients to comply with applicable regulations, guidance, and directives that implement the Public Transportation Safety Program provisions of 49 U.S.C. § 5329(b)-(d), except as FTA determines otherwise in writing.

CATEGORY 16. RURAL AREAS AND APPALACHIAN DEVELOPMENT PROGRAMS.

Before FTA may provide federal assistance for an Award financed under the Formula Grants for Rural Areas Program authorized under 49 U.S.C. § 5311(b), as amended by FAST Act, and the Appalachian Development Public Transportation Assistance Program authorized under
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

49 U.S.C. § 5311(c)(2), as amended by FAST, you must select the Certifications in Category 16, except as FTA determines otherwise in writing.

Any provision of the Certifications and Assurances in Category 16 that does not apply will not be enforced.


If your Applicant seeks federal assistance from FTA for an Award financed under the Formula Grants for Rural Areas Program authorized under 49 U.S.C. § 5311, as amended by FAST Act, the Certifications in Category 16.A apply to your Applicant, except as FTA determines otherwise in writing.

The following Certifications apply to each state or state organization serving as your Applicant for federal assistance appropriated or made available for the Rural Areas Formula Program financed under 49 U.S.C. § 5311(b), as amended by FAST Act. On its behalf, you certify and assure that:

1. It has or will have and require each Subrecipient to have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have and require each Subrecipient to have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
3. It will maintain and require each Subrecipient to maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient’s transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625.
4. It will and will require each Subrecipient to comply with applicable regulations and guidance that implement the Public Transportation Safety Program provisions of 49 U.S.C. § 5329(b)-(d), except as FTA determines otherwise in writing.
5. Its state program has provided for a fair distribution of federal assistance appropriated or made available under 49 U.S.C. § 5311(b) within the state to eligible entities, including Indian reservations.
6. Its program provides or will provide the maximum feasible coordination of federal assistance for public transportation service authorized by 49 U.S.C. § 5311(b) with transportation service financed by other federal sources.
7. Its Awards and Subawards in its Formula Grants for Rural Areas Program are included in:
   a. The statewide transportation improvement program, and
   b. To the extent applicable, a metropolitan transportation improvement program.
8. With respect to the non-federal share:
   a. It has or will have and, as necessary, will require each Subrecipient to have the amount of funds required for the non-federal share, as required by former 49 U.S.C. § 5311(g),
   b. It will provide and, as necessary, will require each Subrecipient to provide the non-federal share from sources approved by FTA, and
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

c. It will provide and, as necessary, will require each Subrecipient to provide the non-federal share when needed.

9. It may transfer a facility or equipment acquired or improved under its Award to any other Recipient eligible to receive assistance under 49 U.S.C. chapter 53, if:
   a. The Recipient possessing the facility or equipment consents to the transfer, and
   b. The facility or equipment will continue to be used as required under 49 U.S.C. § 5311.

10. Each fiscal year:
   a. It will spend at least fifteen (15) percent of its federal assistance authorized under 49 U.S.C. § 5311 and available that fiscal year for eligible activities to develop and support intercity bus transportation within the state including:
      (1) Planning and marketing for intercity bus transportation,
      (2) Capital grants for intercity bus facilities,
      (3) Joint-use facilities,
      (4) Operating grants through purchase-of-service agreements, user-side subsidies, and demonstration projects, and
      (5) Coordinating rural connections between small public transportation operations and intercity bus carriers, or
   b. It will provide to FTA a Certification from the governor of the state that:
      (1) It has consulted with the affected intercity bus service providers about the intercity bus needs of the state, and
      (2) The state’s intercity bus service needs are being met adequately.

16.B. Appalachian Development Public Transportation Assistance Program.

   If your Applicant seeks federal assistance from FTA for an Award financed under the Appalachian Development Public Transportation Assistance Program authorized under 49 U.S.C. § 5311(c)(2), the Certifications in Category 16.B apply to your Applicant, except as FTA determines otherwise in writing.

   On behalf of your Applicant, you certify and assure that, if it is unable to use its federal assistance made available or appropriated for public transportation operating assistance, in accordance with 49 U.S.C. § 5311(c)(2)(D), it may use the federal assistance for a Highway Project only after:
   1. It provides notice and an opportunity for comment and appeal to affected public transportation providers,
   2. It approves such use in writing, and
   3. In approving the use, it determines that local transit needs are being addressed.
   4. It complies or will comply, to the extent applicable, with the recipient’s transit asset management plan consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625, and
   5. It complies or will comply, to the extent applicable, with applicable regulations, guidance, and directives that implement the Public Transportation Safety Program provisions of 49 U.S.C. § 5329(b)-(d), except as FTA determines otherwise in writing.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

CATEGORY 17. TRIBAL TRANSIT PROGRAMS
(PUBLIC TRANSPORTATION ON INDIAN RESERVATIONS PROGRAMS).

Before FTA may provide federal assistance for an Award financed under either the Public Transportation on Indian Reservations Formula or Discretionary Program authorized under 49 U.S.C. § 5311(c)(1), as amended by the FAST Act, (Tribal Transit Programs), you must select the Certifications in Category 17, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 17 that does not apply will not be enforced.

FTA has established terms and conditions for Tribal Transit Program grants financed with federal assistance appropriated or made available under 49 U.S.C. § 5311(c)(1). On behalf of your Applicant, you certify and assure that:

1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
3. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient’s transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625.4. Its Award will achieve maximum feasible coordination with transportation service financed by other federal sources.
4. With respect to its procurement system:
   a. It will have a procurement system that complies with U.S. DOT regulations, “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards,” 2 CFR part 1201, which incorporates by reference U.S. OMB regulatory guidance, “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards,” 2 CFR part 200, for Awards made on or after December 26, 2014,
   b. It will have a procurement system that complies with U.S. DOT regulations, “Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments,” 49 CFR part 18, specifically former 49 CFR § 18.36, for Awards made before December 26, 2014, or
   c. It will inform FTA promptly if its procurement system does not comply with either of those U.S. DOT regulations.
5. It will comply with the Certifications, Assurances, and Agreements in:
   a. Category 03.B and 03.C (Charter Service Agreement and School Bus Agreement),
   b. Category 05.B (Bus Testing),
   c. Category 06 (Demand Responsive Service),
   d. Category 07 (Intelligent Transportation Systems), and
   e. Category 10 (Alcohol and Controlled Substances Testing).
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

CATEGORY 18. STATE SAFETY OVERSIGHT GRANT PROGRAM.

Before FTA may provide federal assistance for an Award financed under the State Safety Oversight Grant Program authorized under 49 U.S.C. § 5329(e)(6), you must select the Certifications in Category 18, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 18 that does not apply will not be enforced.

On behalf of your Applicant, you certify that:
1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award.
3. It will maintain its equipment and facilities acquired or improved under its Award in accordance with the Recipient’s transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625.
4. When carrying out a procurement under its Award, it will comply with:
   a. The applicable general provisions of 49 U.S.C. § 5323, and
5. As required by 49 U.S.C. § 5329(e)(6)(C):
   a. It has or will have the amount of funds required for the non-federal share,
   b. It will provide the non-federal share only from sources approved by FTA, and will not be met by:
      (1) Any federal assistance,
      (2) Any funds received from a public transportation agency, or
      (3) Any revenues earned by a public transportation agency, and
   c. Will provide the non-federal share when needed.
6. Depending on how far the Recipient has progressed in developing a State Safety Oversight program fully compliant with 49 C.F.R. part 674, the following FTA regulations will apply:
   a. States With a Fully Compliant Program. The Recipient agrees that FTA regulations, “State Safety Oversight,” 49 C.F.R. part 674, will apply when its State Safety Oversight program is fully compliant with FTA’s requirements;
   b. States Without a Fully Compliant Program. The Recipient agrees that FTA regulations, “Rail Fixed Guideway Systems; State Safety Oversight,” 49 C.F.R. part 659, will continue to apply to those states that have not yet implemented a fully compliant Public Transportation Safety Program.

CATEGORY 19. PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM.

Before FTA may provide federal assistance for an Award financed under the Public Transportation Emergency Relief Program authorized under 49 U.S.C. § 5324, you must select the Certifications in Category 19, except as FTA determines otherwise in writing.

Any provision of the Assurance in Category 19 that does not apply will not be enforced.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

As required by 49 U.S.C. § 5324(d), on behalf of your Applicant, you assure that it will:
1. Comply with the requirements of the Certifications and Assurances as FTA determines will apply to an Applicant for federal assistance appropriated or made available for the Public Transportation Emergency Relief Program, and

CATEGORY 20. EXPEDITED PROJECT DELIVERY PILOT PROGRAM.

Before FTA may provide federal assistance for an Award financed under the Expedited Project Delivery Pilot Program authorized under section 3005(b) of the FAST Act, you must select the Certifications in Category 20, except as FTA determines otherwise in writing.

To the extent that any Certification in Category 20 does not apply, it will not be enforced.

As required by section 3005(b)(3)(B) of the FAST Act, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:
1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
3. It will maintain its equipment and facilities acquired or improved under its Award in accordance with the recipient’s transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625.
4. It will comply with:
   a. The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
   b. The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304.

CATEGORY 21. INFRASTRUCTURE FINANCE PROGRAMS.

Before FTA may provide credit assistance for an Award that also is or will be financed under the Transportation Infrastructure Finance and Innovation Act (TIFIA) Program authorized under 23 U.S.C. §§ 601 – 609, or the State Infrastructure Banks (SIB) Program authorized under 23 U.S.C. § 610, you must select the Certifications in Category 21.

If the Applicant does not receive credit assistance under the TIFIA or SIB programs, the Certifications and Assurances in Category 21 will not be enforced.

21.A. Transportation Infrastructure Finance and Innovation Act (TIFIA) Program.

If your Applicant seeks federal assistance from FTA for an Award that also is or will be financed under the TIFIA Program authorized under 23 U.S.C. §§ 601 – 609 the Certifications and Assurances in Category 21.A apply to your Applicant. In administering this Program, the FAST Act cross-cutting requirements supersede inconsistent former requirements.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES


1. To comply with 49 U.S.C. §5307, specifically 49 U.S.C. § 5307(c)(1), on your Applicant’s behalf, you certify that:
   a. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
   b. It has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award.
   c. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient’s transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625.
   d. For transportation during non-peak hours and using or involving a facility or equipment of an Award financed using 49 U.S.C. § 5307 funds, it will charge a fare not exceeding fifty (50) percent of the peak hour fare to the following individuals:
      (1) Any senior,
      (2) Any individual who, because of illness, injury, age, congenital malfunction, or other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), is unable to use a public transportation service or a public transportation facility effectively without special facilities, planning, or design,
      (3) Any individual presenting a Medicare card issued to that individual under title II of the Social Security Act (42 U.S.C. § 401 et seq.), and
      (4) Any individual presenting a Medicare card issued to that individual under title XVIII of the Social Security Act (42 U.S.C. § 1395 et seq.).
   e. When carrying out a TIFIA-financed procurement, the Applicant will comply with:
      (1) The applicable provisions of 49 U.S.C. § 5323, and
   f. It has complied with or will comply with 49 U.S.C. § 5307(b).
   g. (1) It has or will have no more than 80 percent of the Total Award Budget as the sum of all federal grants and any TIFIA-financed awards,
      (2) It will provide the non-federal share from sources approved by FTA, and
      (3) It will provide the non-federal share when needed.
   h. It will comply with:
      (1) The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
      (2) The statewide and nonmetropolitan planning requirements of 49 U.S.C. § 5304.
   i. It has a locally developed process to solicit and consider public comment before:
      (1) Raising a fare, or
      (2) Implementing a major reduction of public transportation.
   j. It will comply with applicable regulations, guidance, and directives that implement the Public Transportation Safety Program provisions of 49 U.S.C. § 5329(b)-(d), except as FTA determines otherwise in writing.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

2. To comply with the interest and financing costs restrictions of 49 U.S.C. chapter 53, it agrees that it will not seek reimbursement for interest or any other financing costs incurred in connection with its Award that must be in compliance with those requirements unless:
   a. It is eligible to receive federal assistance for those expenses, and
   b. Its records demonstrate that it has used reasonable diligence in seeking the most favorable financing terms underlying those costs, to the extent FTA may require.

3. It will comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq.).

4. Pursuant to the National Environmental Policy Act of 1969 (NEPA), 42 U.S.C. § 5321 et seq., the Project will qualify for an environmental categorical exclusion or receive a finding of no significant impact or a record of decision under NEPA before the Applicant undertakes activities for which it expects to receive federal assistance.

5. It agrees that it will adopt a transit asset management plan that complies with regulations implementing 49 U.S.C. § 5326(d).

21.B. State Infrastructure Banks (SIB) Program.

If your Applicant is a state and seeks federal assistance from FTA for a project that also is or will be financed under the SIB Program authorized under 23 U.S.C. § 610, the Certifications and Assurances in Category 21.B apply to your state and its Award, except as the Secretary determines in writing. In administering this Program, the FAST Act cross-cutting requirements supersede inconsistent former requirements.

On behalf of the state Applicant for federal assistance for its SIB Program, you certify and assure that:

1. It will comply with the following applicable federal laws establishing the various SIB Programs since 1995:
   a. 23 U.S.C. § 610,
   b. Section 1511 of TEA-21, 23 U.S.C. § 181 note, or

2. It will comply with or follow the Grant Agreement between it and FTA that provides federal assistance to the SIB, including the FTA Master Agreement, which is incorporated by reference into the Grant Agreement, except that, unless FTA determines otherwise in writing, a provision of the FTA Master Agreement incorporated by reference into that Grant Agreement will not apply if it conflicts with any provision of:
   a. 23 U.S.C. § 610, as amended by the FAST Act,
   b. 23 U.S.C. § 610 or its predecessor before the FAST Act was signed into law,
   d. Federal guidance pertaining to the SIB Program,
   e. The SIB Cooperative Agreement establishing the state’s SIB Program,
   f. The Grant Agreement with FTA.

FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

under 49 U.S.C. chapter 53 that receives SIB support or financing under title 23, United States Code.

   a. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
   b. It has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award.
   c. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient’s transit asset management plan and consistent with FTA regulations, “Transit Asset Management,” 49 CFR part 625.
   d. When using or involving a facility or equipment acquired or improved with federal assistance under a SIB-financed Award during non-peak hours for transportation, it will charge a fare not exceeding fifty (50) percent of the peak hour fare to the following individuals:
      (1) Any senior,
      (2) Any individual who, because of illness, injury, age, congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), is unable to use a public transportation service or a public transportation facility effectively without special facilities, planning, or design,
      (3) Any individual presenting a Medicare card issued to that individual under title II of the Social Security Act (42 U.S.C. § 401 et seq.), and
      (4) Any individual presenting a Medicare card issued to that individual under title XVIII of the Social Security Act (42 U.S.C. § 1395 et seq.).
   e. When carrying out a procurement under a SIB-financed Award, it will comply with:
      (1) The applicable general provisions of 49 U.S.C. § 5323, and
   f. It has complied with or will comply with 49 U.S.C. § 5307(b).
   g. It has or will have or provide:
      (1) The amount of funds required for the non-federal share by the SIB Program, but not less than twenty-five (25) percent of each capitalization grant,
      (2) The non-federal share from sources approved by FTA, and
      (3) The non-federal share when needed.
   h. It will comply with:
      (1) The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
      (2) The statewide and nonmetropolitan planning requirements of 49 U.S.C. § 5304.
   i. It has a locally developed process to solicit and consider public comment before:
      (1) Raising a fare, or
      (2) Implementing a major reduction of public transportation.
   j. It will comply with applicable regulations, guidance, and directives that implement the Public Transportation Safety Program provisions of § 5329(b)-(d), except as FTA determines otherwise in writing.

5. As required by 49 U.S.C. chapter 53, it certifies that it will not seek reimbursement for interest or any other financing costs incurred in connection with its Award unless:
   a. It is eligible to receive federal assistance for those expenses, and
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

b. Its records demonstrate that it has used reasonable diligence in seeking the most favorable financing terms underlying those costs, as FTA may require.

6. It agrees that it will adopt a transit asset management plan that complies with FTA regulations, “Transit Asset Management,” 49 CFR part 625.

CATEGORY 22. PAUL S. SARBANES TRANSIT IN PARKS PROGRAM

Before FTA may provide federal assistance for an Award financed under the Paul S. Sarbanes Transit in Parks Program authorized under former 49 U.S.C. § 5320, in effect in FY 2012 or a previous fiscal year, except as superseded by FAST Act requirements, you must select the Certifications in Category 22, except as FTA determines otherwise in writing.

Any provision of the Certifications and Assurances in Category 22 that does not apply will not be enforced.

1. Except as superseded by the FAST Act cross-cutting requirements, the following Certifications and Assurances for the Paul S. Sarbanes Transit in Parks Program (Parks Program) are required by former 49 U.S.C. § 5320, in effect in FY 2012 or a previous fiscal year. Therefore, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:
   a. It will consult with the appropriate federal land management agency during the planning process, and
   b. The requirements of former 49 U.S.C. § 5307, as determined by FTA, will apply to the Parks Program authorized by former 49 U.S.C. § 5320.

2. FTA has determined certain requirements of former 49 U.S.C. § 5307 to be appropriate for the Parks Program, of which some require Certifications. Therefore, as specified under former 49 U.S.C. § 5307(d)(1), except as superseded by the FAST Act cross-cutting requirements that apply, you certify that your Applicant:
   a. Has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
   b. Has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award.
   c. Will maintain its equipment and facilities acquired or improved under its Award.
   d. When carrying out a procurement under former 49 U.S.C. § 5320, it will comply and will require each Subrecipient to comply with the following provisions:
      (1) Competitive procurement (as defined or approved by FTA) requirements of 49 U.S.C. § 5325(a),
      (2) The prohibition against exclusionary or discriminatory specifications in its procurements under 49 U.S.C. § 5323(h),
      (3) “Buy America” requirements under 49 U.S.C. § 5323(j), as amended by the FAST Act, and FTA regulations, “Buy America Requirements,” 49 CFR part 661,
      (4) Applicable pre-award and post-delivery requirements of 49 U.S.C. § 5323(m),
      (5) Applicable railcar option restrictions of 49 U.S.C. § 5325(e), and
   e. It will comply with other applicable requirements under 49 U.S.C. § 5323 and § 5325.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

f. It has complied or will comply with the requirements of former 49 U.S.C. § 5307(c), and specifically:
   (1) It has made or will make available to the public information on the amounts available for the Parks Program, former 49 U.S.C. § 5320, and the Projects it proposes to implement under its Award,
   (2) It has developed or will develop, in consultation with interested parties including private transportation providers, Projects to be financed under its Award,
   (3) It has published or will publish a list of proposed Projects in a way that affected citizens, private transportation providers, and local elected officials have the opportunity to examine the proposed Projects and submit comments on the proposed Projects and its performance,
   (4) It has provided or will provide an opportunity for a public hearing to obtain the views of citizens on the proposed Projects,
   (5) It has considered or will consider the comments and views received, especially those of private transportation providers, in preparing its final list of Projects, and
   (6) It has made or will make the final list of Projects for which an Award is sought available to the public.

g. With respect to the non-federal share:
   (1) It has or will have and, as necessary, will require each Subrecipient to have the amount of funds required for the non-federal share, as required by 49 U.S.C. § 5320,
   (2) It will provide the non-federal share from sources approved by FTA, and
   (3) It will provide the non-federal share when needed.

h. It has complied or will comply with and will require each Subrecipient to comply with:
   (1) The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
   (2) The statewide and nonmetropolitan planning requirements of 49 U.S.C. § 5304.

i. It has a locally developed process to solicit and consider public comment before:
   (1) Raising a fare, or
   (2) Implementing a major reduction of public transportation.

CATEGORY 23. CONSTRUCTION HIRING PREFERENCES.

Before FTA may provide federal assistance for a third party contract for construction hiring financed under title 49 U.S.C. or title 23 U.S.C. using a geographic, economic, or any other hiring preference not otherwise authorized by federal law or regulation, you must select the Certifications in Category 23 on behalf of your Applicant, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 23 that does not apply will not be enforced.

As provided by section 192 of division L, title I of the Consolidated Appropriations Act, 2017, Public Law No. 114-113, on behalf of your Applicant, you certify that if, in connection with any third party contract for construction hiring financed under title 49 U.S.C. or title 23 U.S.C., it uses a geographic, economic, or any other hiring preference not otherwise authorized by law or prohibited under 2 CFR § 200.319(b):
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

1. Except with respect to apprentices or trainees, a pool of readily available but unemployed individuals possessing the knowledge, skill, and ability to perform the work that the third party contract requires resides in the jurisdiction where the work will be performed.,
2. It will include appropriate provisions in its bid document ensuring that its third party contractor(s) do not displace any of its existing employees in order to satisfy such hiring preference, and
3. That any increase in the cost of labor, training, or delays resulting from the use of such hiring preference does not delay or displace any transportation project in the applicable Statewide Transportation Improvement Program or Transportation Improvement Program.

Selection and Signature Page(s) follow.
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

FEDERAL FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES FOR FEDERAL TRANSIT ADMINISTRATION ASSISTANCE PROGRAMS  
(Signature pages alternative to providing Certifications and Assurances in TrAMS)

Name of Applicant: ____________________________________________________________  City of Reddick

The Applicant agrees to comply with applicable provisions of (Categories 01 – 23. ______ OR
The Applicant agrees to comply with applicable provisions of the Categories it has selected:

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01.</td>
<td>Required Certifications and Assurances for Each Applicant.</td>
</tr>
<tr>
<td>02.</td>
<td>Lobbying.</td>
</tr>
<tr>
<td>03.</td>
<td>Procurement and Procurement Systems.</td>
</tr>
<tr>
<td>04.</td>
<td>Private Sector Protections.</td>
</tr>
<tr>
<td>05.</td>
<td>Rolling Stock Reviews and Bus Testing.</td>
</tr>
<tr>
<td>06.</td>
<td>Demand Responsive Service.</td>
</tr>
<tr>
<td>07.</td>
<td>Intelligent Transportation Systems.</td>
</tr>
<tr>
<td>08.</td>
<td>Interest and Financing Costs and Acquisition of Capital Assets by Lease.</td>
</tr>
<tr>
<td>10.</td>
<td>Alcohol and Controlled Substances Testing.</td>
</tr>
<tr>
<td>12.</td>
<td>State of Good Repair Program.</td>
</tr>
<tr>
<td>13.</td>
<td>Grants for Buses and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs.</td>
</tr>
<tr>
<td>14.</td>
<td>Urbanized Area Formula Grants Programs and Passenger Ferry Grant Program.</td>
</tr>
<tr>
<td>15.</td>
<td>Enhanced Mobility of Seniors and Individuals with Disabilities Programs.</td>
</tr>
<tr>
<td>16.</td>
<td>Rural Areas and Appalachian Development Programs.</td>
</tr>
<tr>
<td>17.</td>
<td>Tribal Transit Programs (Public Transportation on Indian Reservations Programs).</td>
</tr>
<tr>
<td>18.</td>
<td>State Safety Oversight Grant Program.</td>
</tr>
<tr>
<td>19.</td>
<td>Public Transportation Emergency Relief Program.</td>
</tr>
<tr>
<td>20.</td>
<td>Expedited Project Delivery Pilot Program.</td>
</tr>
<tr>
<td>21.</td>
<td>Infrastructure Finance Programs.</td>
</tr>
<tr>
<td>22.</td>
<td>Paul S. Sarbanes Transit in Parks Program.</td>
</tr>
<tr>
<td>23.</td>
<td>Construction Hiring Preferences.</td>
</tr>
</tbody>
</table>
FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

FEDERAL FISCAL YEAR 2017 FTA CERTIFICATIONS AND ASSURANCES SIGNATURE PAGE
(Required of all Applicants for federal assistance to be awarded by FTA and all FTA Grantees with an active Capital or Formula Award)

AFFIRMATION OF APPLICANT

Name of the Applicant: 
City of Reddick

Name and Relationship of the Authorized Representative: 
Katy Bryant, Mayor

BY SIGNING BELOW, on behalf of the Applicant, I declare that it has duly authorized me to make these Certifications and Assurances and bind its compliance. Thus, it agrees to comply with all federal laws, regulations, and requirements, follow applicable federal guidance, and comply with the Certifications and Assurances as indicated on the foregoing page applicable to each application its Authorized Representative makes to the Federal Transit Administration (FTA) in federal fiscal year 2017, irrespective of whether the individual that acted on his or her Applicant’s behalf continues to represent it.

FTA intends that the Certifications and Assurances the Applicant selects on the other side of this document should apply to each Award for which it now seeks, or may later seek federal assistance to be awarded during federal fiscal year 2017.

The Applicant affirms the truthfulness and accuracy of the Certifications and Assurances it has selected in the statements submitted with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 et seq., and implementing U.S. DOT regulations, “Program Fraud Civil Remedies,” 49 CFR part 31, apply to any certification, assurance or submission made to FTA. The criminal provisions of 18 U.S.C. § 1001 apply to any certification, assurance, or submission made in connection with a federal public transportation program authorized by 49 U.S.C. chapter 53 or any other statute

In signing this document, I declare under penalties of perjury that the foregoing Certifications and Assurances, and any other statements made by me on behalf of the Applicant are true and accurate.

Signature 
Katy Bryant

Date: 
November 11, 2017

Name 
Katy Bryant, Mayor

Authorized Representative of Applicant

AFFIRMATION OF APPLICANT’S ATTORNEY

For (Name of Applicant): 
City of Reddick

As the undersigned Attorney for the above named Applicant, I hereby affirm to the Applicant that it has authority under state, local, or tribal government law, as applicable, to make and comply with the Certifications and Assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the Certifications and Assurances have been legally made and constitute legal and binding obligations on it.

I further affirm that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances, or of the performance of its FTA assisted Award.

Signature 
Pete Huffleman, Esq.

Date: 
11.12.2017

Name 
Pete Huffleman, Esq.

Attorney for Applicant

Each Applicant for federal assistance to be awarded by FTA and each FTA Recipient with an active Capital or Formula Project or Award must provide an Affirmation of Applicant’s Attorney pertaining to the Applicant’s legal capacity. The Applicant may enter its electronic signature in lieu of the Attorney’s signature within FTA’s electronic award and management system, provided the Applicant has on file and uploaded to FTA’s electronic award and management system this hard-copy Affirmation, signed by the attorney and dated this federal fiscal year.
### APPENDIX A
FY 2019 SECTION 5307 GRANT APPLICATION CHECKLIST

<table>
<thead>
<tr>
<th>Part</th>
<th>Name/Description of Item</th>
<th>Completed? (yes or no)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Introduction</td>
<td>NA</td>
</tr>
<tr>
<td>B</td>
<td>Grant Application Information Profile</td>
<td></td>
</tr>
<tr>
<td>C</td>
<td>Transmittal Letter</td>
<td></td>
</tr>
<tr>
<td>D</td>
<td>Authorizing Resolution</td>
<td></td>
</tr>
<tr>
<td>E</td>
<td>Status of Current FTA-Funded Assets</td>
<td></td>
</tr>
<tr>
<td>F</td>
<td>Capital Budget and Justification</td>
<td></td>
</tr>
<tr>
<td>G</td>
<td>Planning Assistance Funding</td>
<td></td>
</tr>
<tr>
<td>H</td>
<td>Operating Assistance Budget and Justification</td>
<td></td>
</tr>
<tr>
<td>I</td>
<td>Title VI Data Collection and Reporting</td>
<td></td>
</tr>
<tr>
<td>J</td>
<td>FY 2017 FTA Certifications and Assurances</td>
<td></td>
</tr>
</tbody>
</table>

**Reviewed By:**

- **GDOT Transit Planner/Project Manager**
  
- **GDOT Transit Program Manager**
  
- **GDOT Asst. Division Director**
## Part F-1 - Capital Budget
FTA Section 5307 – FY 2019 Application

### Organization Name:
City of Reddick

General Instructions: Please do not enter any data in the SHADED or COLORED CELLS. Follow FTA Scope and ALI Tree. If you do not plan to budget for any pre-populated item below, leave it blank.

### 111-00 - Rolling-Stock

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Activity Line Code (ALI)</th>
<th>Funding Type (A or S)</th>
<th>Cost Per Unit</th>
<th># of Units</th>
<th>Total Cost</th>
<th>Cost Federal</th>
<th>Cost State</th>
<th>Cost Local</th>
<th>% FED</th>
<th>% STATE</th>
<th>% LOCAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Buy Replacement</td>
<td>Bus, 310 - 40 Ft</td>
<td>11.12.01</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Buy Replacement</td>
<td>Bus, 310 - 35 Ft</td>
<td>11.12.02</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Buy Replacement</td>
<td>Bus, 310 - 30 Ft</td>
<td>11.12.03</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Buy Replacement</td>
<td>Bus, 310 - 28 Ft</td>
<td>11.12.04</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Buy Replacement</td>
<td>Bus, 310 - 20 Ft</td>
<td>11.12.05</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Buy Replacement</td>
<td>Bus/Station Wagon</td>
<td>11.12.16</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Buy Expansion</td>
<td>Bus, 310 - 40 Ft</td>
<td>11.13.01</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Buy Expansion</td>
<td>Bus, 310 - 35 Ft</td>
<td>11.13.02</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Buy Expansion</td>
<td>Bus, 310 - 30 Ft</td>
<td>11.13.03</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Buy Expansion</td>
<td>Bus, 310 - 28 Ft</td>
<td>11.13.04</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Buy Expansion</td>
<td>Bus/Station Wagon</td>
<td>11.13.16</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Stationary Fare Collection Equip.</td>
<td>11.12.40</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Surveillance/Security Equipment</td>
<td>11.12.07</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Bus Route Signage</td>
<td>11.12.09</td>
<td>A</td>
<td>$10,000.00</td>
<td>1</td>
<td>$10,000.00</td>
<td>$10,000.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Bus Passenger Shelters</td>
<td>11.12.10</td>
<td>A</td>
<td>$10,000.00</td>
<td>1</td>
<td>$10,000.00</td>
<td>$10,000.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Rehabilitation</td>
<td>Acquisition Bus Station Equip.</td>
<td>11.13.02</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Rehabilitation</td>
<td>Construction</td>
<td>11.13.04</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>Rehabilitation</td>
<td>Other</td>
<td>11.13.05</td>
<td>A</td>
<td>$50,000.00</td>
<td>1</td>
<td>$50,000.00</td>
<td>$50,000.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>100.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td><strong>TOTALS</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### 113-00 - Bus Stations - Stops - Terminals

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Activity Line Code (ALI)</th>
<th>Funding Type (A or S)</th>
<th>Cost Per Unit</th>
<th># of Months</th>
<th>Cost Per Month</th>
<th># of Units</th>
<th>Total Cost</th>
<th>Cost Federal</th>
<th>Cost State</th>
<th>Cost Local</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acquisition</td>
<td>Stationary Fare Collection Equip.</td>
<td>11.32.06</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Surveillance/Security Equipment</td>
<td>11.32.07</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Bus Route Signage</td>
<td>11.32.09</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Bus Passenger Shelters</td>
<td>11.32.10</td>
<td>A</td>
<td>$10,000.00</td>
<td>1</td>
<td>$10,000.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>Rehabilitation</td>
<td>Acquisition Bus Station Equip.</td>
<td>11.32.11</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>Rehabilitation</td>
<td>Construction</td>
<td>11.32.12</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>Rehabilitation</td>
<td>Other</td>
<td>11.32.13</td>
<td>A</td>
<td>$50,000.00</td>
<td>1</td>
<td>$50,000.00</td>
<td>0</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td><strong>TOTALS</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### 114-00 - Bus Support Equipment - Facilities

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Line Code (ALI) Type (A or S)</th>
<th>Month</th>
<th>Months</th>
<th>Unit</th>
<th>Total Cost</th>
<th>% Federal</th>
<th>% State</th>
<th>% Local</th>
<th>TOTALS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rehab/Renovation</td>
<td>Admin Building</td>
<td>11.44.01 A</td>
<td>0</td>
<td>$22,500.00</td>
<td>1</td>
<td>$22,500.00</td>
<td>80.00%</td>
<td>$18,000.00</td>
<td>10.00%</td>
<td>$2,250.00</td>
</tr>
<tr>
<td>Rehab/Renovation</td>
<td>Maintenance Facility</td>
<td>11.44.02 A</td>
<td>0</td>
<td>$10,000.00</td>
<td>3</td>
<td>$30,000.00</td>
<td>80.00%</td>
<td>$24,000.00</td>
<td>10.00%</td>
<td>$6,000.00</td>
</tr>
<tr>
<td>Rehab/Renovation</td>
<td>Yards and Shops</td>
<td>11.44.05 A</td>
<td>0</td>
<td>$10,000.00</td>
<td>1</td>
<td>$10,000.00</td>
<td>80.00%</td>
<td>$8,000.00</td>
<td>10.00%</td>
<td>$2,000.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Shop Equipment</td>
<td>11.42.06 A</td>
<td>$1,700.00</td>
<td>12</td>
<td>$20,400.00</td>
<td>80.00%</td>
<td>$16,320.00</td>
<td>10.00%</td>
<td>$2,040.00</td>
<td>$20,400.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>ADP Hardware</td>
<td>11.42.07 A</td>
<td>0</td>
<td>$1,000.00</td>
<td>2</td>
<td>$2,000.00</td>
<td>80.00%</td>
<td>$1,600.00</td>
<td>10.00%</td>
<td>$200.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>ADP Software</td>
<td>11.42.08 A</td>
<td>0</td>
<td>$20,000.00</td>
<td>1</td>
<td>$20,000.00</td>
<td>80.00%</td>
<td>$16,000.00</td>
<td>10.00%</td>
<td>$2,000.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>ADP Software</td>
<td>11.42.08 S</td>
<td>0</td>
<td>$500.00</td>
<td>8</td>
<td>$4,000.00</td>
<td>80.00%</td>
<td>$3,200.00</td>
<td>10.00%</td>
<td>$400.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Surveillance - Security (Bus)</td>
<td>11.42.09 A</td>
<td>0</td>
<td>$1,000.00</td>
<td>4</td>
<td>$4,000.00</td>
<td>80.00%</td>
<td>$3,200.00</td>
<td>10.00%</td>
<td>$800.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Support Vehicles</td>
<td>11.42.11 A</td>
<td>0</td>
<td>$1,000.00</td>
<td>4</td>
<td>$4,000.00</td>
<td>80.00%</td>
<td>$3,200.00</td>
<td>10.00%</td>
<td>$800.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>ADJ Vehicle Equipment</td>
<td>11.42.43 A</td>
<td>0</td>
<td>$1,000.00</td>
<td>4</td>
<td>$4,000.00</td>
<td>80.00%</td>
<td>$3,200.00</td>
<td>10.00%</td>
<td>$800.00</td>
</tr>
<tr>
<td>Construction</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>Lease</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>Lease</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>TOTALS</td>
<td>$211,400.00</td>
<td>$169,120.00</td>
<td>$21,140.00</td>
<td>$21,140.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### 116-00 - Signal & Communication Equip - Bus

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Line Code (ALI) Type (A or S)</th>
<th>Month</th>
<th>Months</th>
<th>Unit</th>
<th>Total Cost</th>
<th>% Federal</th>
<th>% State</th>
<th>% Local</th>
<th>TOTALS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acquisition</td>
<td>Communications systems</td>
<td>11.62.02 A</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Telephones</td>
<td>11.62.03 A</td>
<td>0</td>
<td>$400.00</td>
<td>4</td>
<td>$1,600.00</td>
<td>80.00%</td>
<td>$1,280.00</td>
<td>10.00%</td>
<td>$400.00</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Non-Communications Equip</td>
<td>11.62.20 A</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
</tr>
<tr>
<td>Construction</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
<td></td>
</tr>
<tr>
<td>Lease</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
<td></td>
</tr>
<tr>
<td>Lease</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
<td></td>
</tr>
<tr>
<td>TOTALS</td>
<td>$1,600.00</td>
<td>$1,280.00</td>
<td>$400.00</td>
<td>$1,600.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Complete either column 4&5 or columns 6&7
### 117-00 - Other Capital Items (Bus)

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Line Code (ALI)</th>
<th>Type (A or S)</th>
<th>Month</th>
<th>Months</th>
<th>Unit</th>
<th>Units</th>
<th>Cost</th>
<th>Federal</th>
<th>10%</th>
<th>State</th>
<th>10%</th>
<th>Local</th>
<th>10%</th>
</tr>
</thead>
<tbody>
<tr>
<td>3rd Party Contracts</td>
<td>Other</td>
<td>11.71-11</td>
<td></td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td></td>
</tr>
<tr>
<td>3rd Party Contracts</td>
<td>Capital Costs of Contracting (TPOs)</td>
<td>11.71-12</td>
<td>A</td>
<td>$0.00</td>
<td>0</td>
<td>$180,000.00</td>
<td>0</td>
<td>$144,000.00</td>
<td>80.00%</td>
<td>$115,200.00</td>
<td>10.00%</td>
<td>$18,000.00</td>
<td>10.00%</td>
<td></td>
</tr>
<tr>
<td>3rd Party Contracts</td>
<td>Capital Costs of Contracting (Other)</td>
<td>11.71-12</td>
<td></td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td></td>
</tr>
<tr>
<td>Other Capital Items (Bus)</td>
<td>Preventive Maintenance</td>
<td>11.74-00</td>
<td></td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td></td>
</tr>
<tr>
<td>Other Capital Items (Bus)</td>
<td>Safety Management</td>
<td>11.74-00</td>
<td></td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td></td>
</tr>
<tr>
<td>Training - Capital Bus</td>
<td>Employee Education / Training</td>
<td>11.7D-02</td>
<td>A</td>
<td>$550.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$6,600.00</td>
<td>80.00%</td>
<td>$5,280.00</td>
<td>10.00%</td>
<td>$660.00</td>
<td>10.00%</td>
<td></td>
</tr>
<tr>
<td>Training - Capital Bus</td>
<td>Employee Education / Training</td>
<td>11.7D-02</td>
<td>S</td>
<td>$600.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$7,200.00</td>
<td>80.00%</td>
<td>$5,760.00</td>
<td>10.00%</td>
<td>$720.00</td>
<td>10.00%</td>
<td></td>
</tr>
</tbody>
</table>

**TOTALS**

<table>
<thead>
<tr>
<th>Cost</th>
<th>Federal</th>
<th>10%</th>
<th>State</th>
<th>10%</th>
<th>Local</th>
<th>10%</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
</tbody>
</table>

**TOTALS**

<table>
<thead>
<tr>
<th>Cost</th>
<th>Federal</th>
<th>10%</th>
<th>State</th>
<th>10%</th>
<th>Local</th>
<th>10%</th>
</tr>
</thead>
<tbody>
<tr>
<td>$394,800.00</td>
<td>$315,840.00</td>
<td>80.00%</td>
<td>$39,480.00</td>
<td>10.00%</td>
<td>$3,948.00</td>
<td>10.00%</td>
</tr>
</tbody>
</table>

### 119-00 - Bus Associated Transit Enhancements

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Line Code (ALI)</th>
<th>Type (A or S)</th>
<th>Month</th>
<th>Months</th>
<th>Unit</th>
<th>Units</th>
<th>Cost</th>
<th>Federal</th>
<th>10%</th>
<th>State</th>
<th>10%</th>
<th>Local</th>
<th>10%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Construction</td>
<td>Ped. Access / Walkways</td>
<td>11.93-05</td>
<td></td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Pedestrian Access, Facilities, Equipment on Buses</td>
<td>11.92-06</td>
<td></td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>80.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td>$0.00</td>
<td>10.00%</td>
<td></td>
</tr>
</tbody>
</table>

**TOTALS**

<table>
<thead>
<tr>
<th>Cost</th>
<th>Federal</th>
<th>10%</th>
<th>State</th>
<th>10%</th>
<th>Local</th>
<th>10%</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
</tbody>
</table>

**TOTALS**

<table>
<thead>
<tr>
<th>Cost</th>
<th>Federal</th>
<th>10%</th>
<th>State</th>
<th>10%</th>
<th>Local</th>
<th>10%</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0.00</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
<td>$0.00</td>
<td>0%</td>
</tr>
</tbody>
</table>
### Part F-2 - Project Milestones and Implementation Schedule

**Organization Name:**

**City of Reddick**

General instructions: Please do not enter any data in the **SHADED or COLORED CELLS**. Rolling Stock items MUST have 5 milestones, all others must have 2 OR 3 milestones depending on the "Contractor Question". You answer the question with "Yes (Y)" if the product or service you procure is created or manufactured by a third party. You answer "No (N)" if you are able to procure the finished product directly on your own.

#### 111-00 - Rolling-Stock

**All Rolling Stock Items MUST Have 5 Milestones**

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Activity Line Code (ALI)</th>
<th>Contractor</th>
<th>3rd Party Contractor</th>
<th>Milestone #1</th>
<th>Milestone #2</th>
<th>Milestone #3</th>
<th>Milestone #4</th>
<th>Milestone #5</th>
<th>End of Work</th>
</tr>
</thead>
<tbody>
<tr>
<td>Buy Replacement</td>
<td>Bus (STD, 40 Ft) Revenue Vehicle</td>
<td>11.12.01</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Buy Replacement</td>
<td>Bus (STD, 35 Ft) Revenue Vehicle</td>
<td>11.12.02</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Buy Replacement</td>
<td>Bus (STD, 30 or 32Ft) Revenue Vehicle</td>
<td>11.12.03</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Buy Replacement</td>
<td>Bus (STD, 1-30 Ft) Revenue Vehicle</td>
<td>11.12.04</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Buy Replacement</td>
<td>Van</td>
<td>11.12.15</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Buy Replacement</td>
<td>Sedan/Station Wagon Revenue Vehicle</td>
<td>11.12.16</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Buy Expansion</td>
<td>Bus (STD, 40 Ft) Revenue Vehicle</td>
<td>11.13.01</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Buy Expansion</td>
<td>Bus (STD, 35 Ft) Revenue Vehicle</td>
<td>11.13.02</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Buy Expansion</td>
<td>Bus (STD, 30 or 32Ft) Revenue Vehicle</td>
<td>11.13.03</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Buy Expansion</td>
<td>Bus (STD, 1-30 Ft) Revenue Vehicle</td>
<td>11.13.04</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Buy Expansion</td>
<td>Van</td>
<td>11.13.15</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Buy Expansion</td>
<td>Sedan/Station Wagon Revenue Vehicle</td>
<td>11.13.16</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mid-Life Rebuild</td>
<td>Parts</td>
<td>11.12.40</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Rehabilitation Parts / Assist Equip - Maintenance Items</td>
<td>11.12.40</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### 113-00 - Bus Stations - Stops - Terminals

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Activity Line Code (ALI)</th>
<th>Contractor</th>
<th>3rd Party Contractor</th>
<th>Milestone #1</th>
<th>Milestone #2</th>
<th>Milestone #3</th>
<th>Milestone #4</th>
<th>Milestone #5</th>
<th>End of Work</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acquisition</td>
<td>Miscellaneous Revenue Equip</td>
<td>11.32.01</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Stationary Fare Collection Equip</td>
<td>11.32.02</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Surveillance/Security Equipment</td>
<td>11.32.03</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Miscellaneous</td>
<td>11.32.04</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Bus Route Signage</td>
<td>11.32.05</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Bus Passenger Windows</td>
<td>11.32.10</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Acoustics/Miscellaneous Bus Station Equip</td>
<td>11.32.15</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Repair / Restoration</td>
<td>Stationary Fare Collection Equip</td>
<td>11.32.20</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Repair / Restoration</td>
<td>Miscellaneous Revenue Equip</td>
<td>11.32.25</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

*EXAMPLE ONLY*
### 114-00 - Bus Support Equipment - Facilities

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Line Code (ALI)</th>
<th>Contractor</th>
<th>Begin Work</th>
<th>Mid-Point Check-In</th>
<th>End of Work</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rehab/Renovation</td>
<td>Admin Building</td>
<td>11.44.01</td>
<td>Y</td>
<td>7/1/2018</td>
<td>7/1/2019</td>
<td>6/30/2020</td>
</tr>
<tr>
<td>Rehab/Renovation</td>
<td>Maintenance Facility</td>
<td>11.44.02</td>
<td>Y</td>
<td>7/1/2018</td>
<td>7/1/2019</td>
<td>6/30/2020</td>
</tr>
<tr>
<td>Rehab/Renovation</td>
<td>Facilities</td>
<td>11.44.03</td>
<td>Y</td>
<td>7/1/2018</td>
<td>7/1/2019</td>
<td>6/30/2020</td>
</tr>
<tr>
<td>Acquisition</td>
<td>Shop Equipment</td>
<td>11.44.04</td>
<td>Y</td>
<td>7/1/2018</td>
<td>7/1/2019</td>
<td>6/30/2020</td>
</tr>
<tr>
<td>Acquisition</td>
<td>MPO Management</td>
<td>11.42.07</td>
<td>N</td>
<td>7/1/2018</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>MPO Software</td>
<td>11.42.08</td>
<td>N</td>
<td>7/1/2018</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Surveillance Security, Bus</td>
<td>11.62.09</td>
<td>N</td>
<td>7/1/2018</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Cards Collection, Facilities</td>
<td>11.62.10</td>
<td>N</td>
<td>7/1/2018</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Support Equipment</td>
<td>11.62.11</td>
<td>N</td>
<td>7/1/2018</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>ADA Vehicle Equipment</td>
<td>11.62.43</td>
<td>N</td>
<td>7/1/2018</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### 116-00 - Signal & Communication Equip - Bus

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Line Code (ALI)</th>
<th>Contractor</th>
<th>Begin Work</th>
<th>Mid-Point Check-In</th>
<th>End of Work</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acquisition</td>
<td>Communications Systems</td>
<td>11.62.01</td>
<td>N</td>
<td>7/1/2018</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Radios</td>
<td>11.62.03</td>
<td>Y</td>
<td>7/1/2018</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acquisition</td>
<td>Internal Communication Equipment</td>
<td>11.62.30</td>
<td>N</td>
<td>7/1/2018</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rehab / Renovation</td>
<td>Construction</td>
<td>Y</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lease</td>
<td></td>
<td>Y</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**TOTALS**
### 117-00 - Other Capital Items (Bus)

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Line Code (ALI)</th>
<th>3rd Party Contractor</th>
<th>Milestone #1</th>
<th>Milestone #2</th>
<th>Milestone #3</th>
</tr>
</thead>
<tbody>
<tr>
<td>3rd Party Contracts</td>
<td>Other</td>
<td>11.71.11</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3rd Party Contracts</td>
<td>Capital Cost of Contracting (TPOs)</td>
<td>11.71.12</td>
<td>Y</td>
<td>7/1/2018</td>
<td>1/1/2019</td>
<td>6/30/2019</td>
</tr>
<tr>
<td>3rd Party Contracts</td>
<td>Capital Cost of Contracting (ticket)</td>
<td>11.71.13</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other Capital Items (Bus)</td>
<td>Vehicle Maintenance</td>
<td>11.7A.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other Capital Items (Bus)</td>
<td>Vehicle Maintenance</td>
<td>11.7A.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Training - Capital Bus</td>
<td>Employee Education - Training</td>
<td>11.7D.02</td>
<td>N</td>
<td>7/1/2018</td>
<td>6/30/2019</td>
<td></td>
</tr>
</tbody>
</table>

### 119-00 - Bus Associated Transit Enhancements

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Line Code (ALI)</th>
<th>3rd Party Contractor</th>
<th>Milestone #1</th>
<th>Milestone #2</th>
<th>Milestone #3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Construction</td>
<td>Ped. Access / Walkways</td>
<td>11.93.05</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bicycle Access, Facilities, Equipment on Buses</td>
<td>11.92.06</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### 300-00 - Operating Assistance

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Line Code (ALI)</th>
<th>3rd Party Contractor</th>
<th>Milestone #1</th>
<th>Milestone #2</th>
<th>Milestone #3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operating Assistance</td>
<td>Operating Assistance - 50% Fed Share</td>
<td>30.09.01</td>
<td>N</td>
<td>7/1/2018</td>
<td></td>
<td>6/30/2019</td>
</tr>
</tbody>
</table>

### 442-00 - Planning

<table>
<thead>
<tr>
<th>Activity Type</th>
<th>ALI Description</th>
<th>Line Code (ALI)</th>
<th>3rd Party Contractor</th>
<th>Milestone #1</th>
<th>Milestone #2</th>
<th>Milestone #3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Statewide Planning</td>
<td>Long-term Trans Plan - System Size</td>
<td>44.23.01</td>
<td>Y</td>
<td>7/1/2018</td>
<td>1/1/2019</td>
<td>6/30/2019</td>
</tr>
<tr>
<td>Statewide Planning</td>
<td>Long-term Trans Plan - Project Size</td>
<td>44.23.02</td>
<td>N</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Statewide Planning</td>
<td>Short Range Transportation Planning</td>
<td>44.24.00</td>
<td>N</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Statewide Planning</td>
<td>Other Activities</td>
<td>44.27.00</td>
<td>N</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

TOTALS
### Part G-1 - Planning Assistance Budget
FTA Section 5307 - FY 2019 Application

**Organization Name:**
City of Reddick

General Instructions: Please do not enter any data in the **SHARED or COLORED CELLS.** Follow FTA Scope and ALI Tree. If you do not plan to budget for any pre-populated item below, leave it blank.

<table>
<thead>
<tr>
<th>441-00 - Planning</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Activity Type</strong></td>
</tr>
<tr>
<td><strong>Statewide Planning</strong></td>
</tr>
<tr>
<td><strong>TOTALS</strong></td>
</tr>
<tr>
<td>Employee Class</td>
</tr>
<tr>
<td>------------------------</td>
</tr>
<tr>
<td>Drivers - Supervisor</td>
</tr>
<tr>
<td>Drivers - Lead</td>
</tr>
<tr>
<td>Drivers - FT</td>
</tr>
<tr>
<td>Maintenance - Supervisor</td>
</tr>
<tr>
<td>Maintenance - Lead</td>
</tr>
<tr>
<td>Maintenance - FT</td>
</tr>
<tr>
<td>Maintenance - PT</td>
</tr>
<tr>
<td>Dispatchers - FT</td>
</tr>
<tr>
<td>Dispatchers - PT</td>
</tr>
<tr>
<td>Transit Director</td>
</tr>
<tr>
<td>Asst. Transit Director</td>
</tr>
<tr>
<td>Finance Director</td>
</tr>
<tr>
<td>HR/Compliance Manager</td>
</tr>
<tr>
<td>Operations Manager</td>
</tr>
<tr>
<td>Safety Manager</td>
</tr>
<tr>
<td>Maintenance Manager</td>
</tr>
<tr>
<td>Administrative Assistants - PT</td>
</tr>
<tr>
<td>Administrative Assistants - PT</td>
</tr>
<tr>
<td>Administrative Assistants - PT</td>
</tr>
<tr>
<td>Administrative Assistants - PT</td>
</tr>
<tr>
<td>Administrative Assistants - PT</td>
</tr>
</tbody>
</table>

**TOTAL PERSONNEL**: $895,830.00
### B. Operations and Maintenance

<table>
<thead>
<tr>
<th>FY19</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th>M&amp;S VEHICLES TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>Budget Category</td>
<td>Item Description</td>
<td>Cost/ Month</td>
<td># of Months</td>
<td>Cost/ Unit</td>
<td># of Units</td>
<td></td>
</tr>
<tr>
<td>Fuel</td>
<td>Diesel Fuel</td>
<td>$6,000.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$72,000.00</td>
</tr>
<tr>
<td>Fuel</td>
<td>Gasoline</td>
<td>$4,000.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$48,000.00</td>
</tr>
<tr>
<td>Lubricants</td>
<td>Oil and Grease</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
</tr>
<tr>
<td>Tools</td>
<td>Small Tools/Minor Eq.</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
</tr>
<tr>
<td>Contracts</td>
<td>Maint. Contracts</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
</tr>
<tr>
<td>Maintenance</td>
<td>Cleaning Supplies</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
</tr>
<tr>
<td>Maintenance</td>
<td>Other</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
</tr>
<tr>
<td>Environmental</td>
<td>Oil Recycling</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
</tr>
</tbody>
</table>
| C. Materials and Supplies

<table>
<thead>
<tr>
<th>FY19</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th>M&amp;S OPERATING TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>Budget Category</td>
<td>Item Description</td>
<td>Cost/ Month</td>
<td># of Months</td>
<td>Cost/ Unit</td>
<td># of Units</td>
<td></td>
</tr>
<tr>
<td>Uniforms</td>
<td></td>
<td>$420.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$5,040.00</td>
</tr>
<tr>
<td>Office Supplies</td>
<td></td>
<td>$100.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$1,200.00</td>
</tr>
<tr>
<td>Postage</td>
<td></td>
<td>0</td>
<td>12</td>
<td>$800.00</td>
<td>1</td>
<td>$800.00</td>
</tr>
<tr>
<td>Freight</td>
<td></td>
<td>$75.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$900.00</td>
</tr>
<tr>
<td>Building Maint.</td>
<td></td>
<td>$200.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$2,400.00</td>
</tr>
<tr>
<td>General Operating</td>
<td></td>
<td>$400.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$4,800.00</td>
</tr>
<tr>
<td>Telephone</td>
<td></td>
<td>$400.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$4,800.00</td>
</tr>
<tr>
<td>Cell Phone and Paging Services</td>
<td></td>
<td>$200.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$2,400.00</td>
</tr>
</tbody>
</table>
| C. Materials and Supplies

TOTAL M&S - VEHICLES $120,000.00

TOTAL M&S - OPS $22,460.00
### D. Space

<table>
<thead>
<tr>
<th>Budget Category</th>
<th>Item Description</th>
<th>Cost/ # of Months</th>
<th>Cost/ # of Unit</th>
<th>SPACE TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Facility Lease</td>
<td>Maintenance</td>
<td>$0.00 0</td>
<td>$0.00 0</td>
<td>$0.00</td>
</tr>
<tr>
<td>Facility Lease</td>
<td>Passenger</td>
<td>$0.00 0</td>
<td>$0.00 0</td>
<td>$0.00</td>
</tr>
<tr>
<td>Electricity</td>
<td></td>
<td>$750.00 12</td>
<td>$0.00 0</td>
<td>$9,000.00</td>
</tr>
<tr>
<td>Natural Gas</td>
<td></td>
<td>$290.00 12</td>
<td>$0.00 0</td>
<td>$3,480.00</td>
</tr>
<tr>
<td>Water and Sewer</td>
<td></td>
<td>$900.00 12</td>
<td>$0.00 0</td>
<td>$10,800.00</td>
</tr>
<tr>
<td>Trash Collection</td>
<td></td>
<td>$150.00 12</td>
<td>$0.00 0</td>
<td>$1,800.00</td>
</tr>
</tbody>
</table>

**TOTAL SPACE $24,780.00**

### E. Insurance and Compliance

<table>
<thead>
<tr>
<th>Budget Category</th>
<th>Item Description</th>
<th>Cost/ # of Months</th>
<th>Cost/ # of Unit</th>
<th>INS &amp; COMPLIANCE TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Insurance</td>
<td>Public Liability</td>
<td>$0.00 0</td>
<td>$23,000.00</td>
<td>$23,000.00</td>
</tr>
<tr>
<td>Insurance</td>
<td>Public Damage</td>
<td>$0.00 0</td>
<td>$24,000.00</td>
<td>$24,000.00</td>
</tr>
<tr>
<td>Insurance</td>
<td>Fire and Extended</td>
<td>$0.00 0</td>
<td>$6,000.00</td>
<td>$6,000.00</td>
</tr>
<tr>
<td>Insurance</td>
<td>Claims and Damage</td>
<td>$0.00 0</td>
<td>$10,000.00</td>
<td>$10,000.00</td>
</tr>
<tr>
<td>Insurance</td>
<td>Other</td>
<td>$0.00 0</td>
<td>$0.00 0</td>
<td>$0.00</td>
</tr>
<tr>
<td>Compliance</td>
<td>Drug/Alcohol Testing</td>
<td>$0.00 0</td>
<td>$25.00 200</td>
<td>$5,000.00</td>
</tr>
<tr>
<td>Compliance</td>
<td>ADA</td>
<td>$100.00 12</td>
<td>$0.00</td>
<td>$1,200.00</td>
</tr>
<tr>
<td>Compliance</td>
<td>Public Hearings</td>
<td>$0.00 0</td>
<td>$1,000.00</td>
<td>$1,000.00</td>
</tr>
<tr>
<td>Compliance</td>
<td>Other Compliance</td>
<td>$0.00 0</td>
<td>$10,000.00</td>
<td>$10,000.00</td>
</tr>
<tr>
<td>Compliance</td>
<td>TAM Compliance</td>
<td>$0.00 0</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>Annual Audit</td>
<td>Financial Audit</td>
<td>$0.00 0</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
</tbody>
</table>

**TOTAL INS & COMPL $80,200.00**
### F. Miscellaneous

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Budget Item</th>
<th>Cost/ Month</th>
<th># of Months</th>
<th>Cost/ Unit</th>
<th># of Units</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Dues and Subscriptions</td>
<td>$0.00</td>
<td>0</td>
<td>$25.00</td>
<td>10</td>
<td>$250.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Business Travel</td>
<td>$200.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$2,400.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Legal Advertising</td>
<td>$50.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$600.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Printing</td>
<td>$100.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$1,200.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Training and Educ.</td>
<td>$200.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$2,400.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Legal</td>
<td>$1,000.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$12,000.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Professional/IT Srvcs</td>
<td>$800.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$9,600.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Marketing</td>
<td>$1,000.00</td>
<td>12</td>
<td>$0.00</td>
<td>0</td>
<td>$12,000.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>INDIRECT COSTS (See Guidance) (Only if Already Approved)</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td>0</td>
<td>$0.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>OPERATING PORTION OF TPO</td>
<td>$0.00</td>
<td>0</td>
<td>$401,594.00</td>
<td>1</td>
<td>$401,594.00</td>
<td></td>
</tr>
<tr>
<td></td>
<td>TOTAL MISCELLANEOUS</td>
<td>$442,044.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**GRAND TOTAL OPERATING EXPENSES** $1,586,314.00
**Part H-2 - Third Party Operator (TPO) Budget Disclosure**

**FTA Section 5307 - FY 2019 Application**

---

**Organization Name:**
City of Reddick

**TPO Name:**
Southern GA Fleet Management

**General Instructions:** Please do not enter any data in the SHADeD or COLORED CELLS. Most of this information will come from your contract or from the procurement documents you should have on file. If not, you will need to reach out to the TPO for assistance. Please, see the Guidance Document for more directions on preparing this spreadsheet.

---

### A. Personnel

<table>
<thead>
<tr>
<th>Employee Position</th>
<th>Employee Name</th>
<th>TOTAL Annual Salary from All Sources</th>
<th>Percent Attributable to this Contract</th>
<th>Total Salary under this Contract</th>
</tr>
</thead>
<tbody>
<tr>
<td>CEO</td>
<td>John Tucker</td>
<td>$150,000.00</td>
<td>2.50%</td>
<td>$3,750.00</td>
</tr>
<tr>
<td>CFO</td>
<td>Amy Willard</td>
<td>$120,000.00</td>
<td>2.50%</td>
<td>$3,000.00</td>
</tr>
<tr>
<td>Maint. Compliance Mgr</td>
<td>Charles Brown</td>
<td>$80,000.00</td>
<td>5.50%</td>
<td>$4,400.00</td>
</tr>
<tr>
<td>Sr. Agent - Civil</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sr. Agent - Opgr</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Maintenance Spec</td>
<td>Adam Kroll</td>
<td>$50,000.00</td>
<td>100.00%</td>
<td>$50,000.00</td>
</tr>
<tr>
<td>Maintenance 1 - Leased</td>
<td>Mario Vasquez</td>
<td>$43,000.00</td>
<td>100.00%</td>
<td>$43,000.00</td>
</tr>
<tr>
<td>Maintenance 2 - Leased</td>
<td>James Subber</td>
<td>$38,000.00</td>
<td>100.00%</td>
<td>$38,000.00</td>
</tr>
<tr>
<td>Maintenance 3 - Leased</td>
<td>Troy Reid</td>
<td>$36,000.00</td>
<td>100.00%</td>
<td>$36,000.00</td>
</tr>
<tr>
<td>Maintenance 4 - Andrew Blanchette</td>
<td></td>
<td>$35,000.00</td>
<td>100.00%</td>
<td>$35,000.00</td>
</tr>
<tr>
<td>Maintenance 5 - Andrew Blanchette</td>
<td></td>
<td>$36,000.00</td>
<td>100.00%</td>
<td>$36,000.00</td>
</tr>
</tbody>
</table>

### B. Operations and Maintenance

<table>
<thead>
<tr>
<th>Budget Category</th>
<th>Item Description</th>
<th>Total Ops &amp; Maint. this Contract</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fuel</td>
<td></td>
<td>$0.00</td>
</tr>
<tr>
<td>Maintenance</td>
<td></td>
<td>$0.00</td>
</tr>
<tr>
<td>Utilities</td>
<td></td>
<td>$0.00</td>
</tr>
<tr>
<td>Office Supplies</td>
<td></td>
<td>$0.00</td>
</tr>
<tr>
<td>Rent and Freight</td>
<td></td>
<td>$0.00</td>
</tr>
<tr>
<td>Building*</td>
<td></td>
<td>$0.00</td>
</tr>
<tr>
<td>General Advertising</td>
<td>Miscpattens</td>
<td>$0.00</td>
</tr>
<tr>
<td>Telephone/Comm</td>
<td></td>
<td>$0.00</td>
</tr>
<tr>
<td>TOTAL OPS &amp; MAN</td>
<td></td>
<td>$21,916.00</td>
</tr>
</tbody>
</table>

### C. Materials and Supplies

<table>
<thead>
<tr>
<th>Budget Category</th>
<th>Item Description</th>
<th>Total Mat &amp; Supplies this Contract</th>
</tr>
</thead>
<tbody>
<tr>
<td>Uniforms</td>
<td></td>
<td>$2,500.00</td>
</tr>
<tr>
<td>Office Supplies</td>
<td></td>
<td>$0.00</td>
</tr>
<tr>
<td>Supplies</td>
<td></td>
<td>$0.00</td>
</tr>
<tr>
<td>TOTAL FRINGE</td>
<td></td>
<td>$250.00</td>
</tr>
</tbody>
</table>

### E. Space

<table>
<thead>
<tr>
<th>Budget Category</th>
<th>Item Description</th>
<th>Total Space &amp; Utility this Contract</th>
</tr>
</thead>
<tbody>
<tr>
<td>Facility Lease (if any)</td>
<td>Maintenance</td>
<td>$0.00</td>
</tr>
<tr>
<td>Facility Lease (if any)</td>
<td>Electricity</td>
<td>$0.00</td>
</tr>
<tr>
<td>Facility Lease (if any)</td>
<td>Natural Gas</td>
<td>$0.00</td>
</tr>
<tr>
<td>Facility Lease (if any)</td>
<td>Water and Sewer</td>
<td>$0.00</td>
</tr>
<tr>
<td>Facility Lease (if any)</td>
<td>Trash Collection</td>
<td>$0.00</td>
</tr>
<tr>
<td>TOTAL SPACE</td>
<td></td>
<td>$0.00</td>
</tr>
</tbody>
</table>

---

**Supplemental Information**

- Who is responsible for the Vehicle Insurance Policy? (Check only one box)
- Governmental Authority? [ ]
- Third Party Operator (TPO)? [ ]

---

**Does the Contract Include an Escalation Clause?**
If so, what is the annual increase?
**Yes** 3%

---

**One-Way Passenger Trip Information**

<table>
<thead>
<tr>
<th>Period</th>
<th>Trips</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prior Fiscal Year Jul 1, 2016 to Jun 30, 2017</td>
<td>2888</td>
</tr>
<tr>
<td>Current Fiscal Year Jul 1, 2017 to Jun 30, 2018</td>
<td>2889</td>
</tr>
<tr>
<td>Projected Next Fiscal Year Jul 1, 2018 to Jun 30, 2019</td>
<td>14000</td>
</tr>
</tbody>
</table>

---

**F. Insurance and Compliance**

- Insurance Public Liability: $10,000.00
- Insurance Fire and Extended: $2,000.00
- Insurance Other: $0.00
- Compliance Drug/Alcohol Testing: $2,000.00
- Compliance ADA: $0.00
- Compliance ADA Testing: $0.00

**G. Miscellaneous**

- Uniforms Staff uniforms: $2,500.00
- Office Supplies: $0.00
- Postage and Freight: $0.00
- Dues and Subscrip.: $0.00
- Building Maint.: $0.00
- Business Travel Mileage for manager: $600.00
- General Operating: $0.00
- Legal Advertising: $0.00
- Telephone and Comm.: $0.00
- Printing: $0.00
- Training and Educ.: $3,000.00

**H. Profit Margin**

- Profit Margin: 12%
- TOTAL MISCELLAN.: $180,000.00

---

**GRAND TOTAL TPO CONTRACT - OPERATING**

$401,594.00

**GRAND TOTAL TPO CONTRACT - CAPITAL**

$180,000.00

---

**GRAND TOTAL TPO CONTRACT**

$581,594.00
### Part H-3 - Operating Request Summary
FTA Section 5307 - FY 2019 Application

**Organization Name:**
**City of Reddick**

General Instructions: Please do not enter any data in the **SHADE**D or **COLORED** CELLS. Follow FTA Scope and ALI Tree. If you do not plan to budget for any pre-populated item below, leave it blank.

<table>
<thead>
<tr>
<th></th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total Expenses from Operating Budget - System (G-1)</td>
<td>1,586,314.00</td>
</tr>
<tr>
<td>2</td>
<td>TOTAL OPS EXPENSES</td>
<td>1,586,314.00</td>
</tr>
</tbody>
</table>

Revenues:

<table>
<thead>
<tr>
<th></th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>Farebox Recovery</td>
<td>186,500.00</td>
</tr>
<tr>
<td>4</td>
<td>Advertising</td>
<td>25,000.00</td>
</tr>
<tr>
<td>5</td>
<td>Other Non-Federal Revenues</td>
<td>50,000.00</td>
</tr>
<tr>
<td>6</td>
<td>Other Non-Federal Revenues</td>
<td>0.00</td>
</tr>
<tr>
<td>7</td>
<td>Other Non-Federal Revenues</td>
<td>0.00</td>
</tr>
</tbody>
</table>

|   | Total Revenues | 261,500.00 |
|---|----------------|
| 9 | Total Expenses Less Revenues | 1,324,814.00 |

|   | FEDERAL SHARE at 50% | 662,407.00 |
|---|---------------------|
| 11 | LOCAL SHARE at 50% | 662,407.00 |

|   | TOTAL FEDERAL FUNDS REQUEST FY19 | 662,407.00 |
### Part H-7 - TOTAL Request and 1% Sec.
FTA Section 5307 - FY 2019 Application

**Organization Name:**

City of Reddick

General Instructions: Please do not enter any data in the SHADED or COLORED CELLS. Follow FTA Scope and ALI Tree. If you do not plan to budget for any pre-populated item below, leave it blank.

#### H-7A: Validation of Apportioned Total Compared to FTA Apportionment

<table>
<thead>
<tr>
<th>Type of Project Assistance</th>
<th>Federal Share of Funding</th>
<th>State Share of Funding</th>
<th>Local Share of Funding</th>
<th>Total Funding</th>
</tr>
</thead>
<tbody>
<tr>
<td>Capital - Tier 1 (All Capital Items)</td>
<td>$332,544.00</td>
<td>$41,568.00</td>
<td>$41,568.00</td>
<td>$415,680.00</td>
</tr>
<tr>
<td>Operating</td>
<td>$662,407.00</td>
<td></td>
<td>$662,407.00</td>
<td>$1,324,814.00</td>
</tr>
<tr>
<td>Planning</td>
<td>$52,000.00</td>
<td>$6,500.00</td>
<td></td>
<td>$65,500.00</td>
</tr>
</tbody>
</table>

**TOTAL APPORTIONED SPENDING:** $1,046,951.00 $48,068.00 $710,475.00 $1,805,494.00

- Insert your system’s FTA Apportionment from Page 2 of the Application Narrative Document.

$\boxed{1},047,071.00$ - $\boxed{120.00}$

This dollar figure represents the amount by which your system’s Federal assistance needs for Apportioned Funding items is greater than (shown in Red Highlight) or less than (shown in Green Highlight) the amount of FTA apportionment to your System. **CONTINUE TO ADJUST YOUR APPORTIONED FUNDING BUDGET ITEMS UNTIL THE \boxed{1} CELL IS GREEN.**

**TOTAL SUPPLEMENTAL NEEDS SPENDING:** $32,960.00 $4,120.00 $4,120.00 $41,200.00
### H-7B: Summary of Total Project Funding Assistance

<table>
<thead>
<tr>
<th>Type of Project Assistance</th>
<th>Federal Share of Funding</th>
<th>State Share of Funding</th>
<th>Local Share of Funding</th>
<th>Total Funding</th>
</tr>
</thead>
<tbody>
<tr>
<td>Capital - Vehicles</td>
<td>$365,504.00</td>
<td>$45,688.00</td>
<td>$45,688.00</td>
<td>$461,880.00</td>
</tr>
<tr>
<td>Capital - All Other Items</td>
<td>$662,407.00</td>
<td>$662,407.00</td>
<td></td>
<td>$1,324,814.00</td>
</tr>
<tr>
<td>Operating</td>
<td>$52,000.00</td>
<td>$6,500.00</td>
<td>$6,500.00</td>
<td>$65,000.00</td>
</tr>
<tr>
<td>TOTAL</td>
<td>$1,079,911.00</td>
<td>$52,188.00</td>
<td>$714,595.00</td>
<td>$1,846,694.00</td>
</tr>
</tbody>
</table>

### H-7C: Sources of Local Matching Funds and Amounts

- Local government general revenues: $714,595.00
- Other: please specify
- Other: please specify
- Other: please specify

**TOTAL LOCAL MATCHING FUNDS:** $714,595.00

### H-7D: One Percent (1%) Safety and Security Projects

Target 1% Security Expenditure is: $10,799.00

<table>
<thead>
<tr>
<th>Scope No.</th>
<th>All No.</th>
<th>Item Description</th>
<th>Federal Fund Amount</th>
<th>State Fund Amount</th>
<th>Local Fund Amount</th>
<th>Total Funding</th>
</tr>
</thead>
<tbody>
<tr>
<td>113-00</td>
<td>11.32.07</td>
<td>Replacement Cameras in buses</td>
<td>$4,064.00</td>
<td>$508.00</td>
<td>$508.00</td>
<td>$5,080.00</td>
</tr>
<tr>
<td>114-00</td>
<td>11.44.05</td>
<td>New Fence and Auto Gates</td>
<td>$9,600.00</td>
<td>$1,200.00</td>
<td>$1,200.00</td>
<td>$12,000.00</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Fed Difference to Target</td>
<td>$2,865.00</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**TOTALS:** $13,664.00 $1,708.00 $17,080.00

Fed Difference to Target: $2,865.00