

GEORGIA DEPARTMENT OF TRANSPORTATION
THE I-285 SR 400 RECONSTRUCTION PROJECT REQUEST FOR QUALIFICATIONS P3-484-110314DBF
P.I. No. 0000784 and P.I. No. 721850-
CLARIFICATION QUESTIONS & RESPONSES
11/21/2014

Respondents should note that the Clarification Questions and Responses listed below are not binding to the procurement process and does not constitute an official addendum to the RFQ. The Clarification Questions and Responses are intended only to clarify questions submitted to GDOT pursuant to the terms of the RFQ. GDOT reserves the right to issue an official addendum to the RFQ at any time before the SOQ Due Date.

No.	Section Number	Comment(s)	GDOT Response
1	2.6 - Project Funding	The use of a TIFIA loan in the Northwest Corridor was successful. We recommend that GDOT/SRTA requests TIFIA funds to obtain an allocation, to be available to all bidders, before proposal (response to RFP) submission	The Department will take this under advisement, but at this time a TIFIA loan is not anticipated.
2	2.7 - Right-of- Way Acquisition	What is the status/timeframe for GDOT's acquisition of the ROW parcels in the 285/400 Interchange area?	GDOT is performing early-acquisition of a number of parcels at in the core interchange area for PI 0000784. These early acquisitions are anticipated to be completed by December 2016. Funding for the balance of acquisition is \$50 million in FY2016 and \$50 million in FY2017.
3	2.7 - Right-of- Way Acquisition	What is the status/timeframe for GDOT's acquisition of the ROW parcels along SR 400?	Approximately 70% of the parcels required have been acquired. GDOT will acquire the balance of the parcels by June 2016.
4	E.2.1 - Pass/Fail and References	Section 5.2 and Form A list Form G as one of the pass/fail items while Exhibit E.2.1 and Exhibit F.1 (by reference) do not. Please clarify if Form G is a pass fail item and its location in the SOQ	The inclusion of Form G and financial information overall is a pass/fail item. The substance of the response provided on Form G is evaluated per Exh. E, Section E.2.3. Form G is to be included in both Volume 1 (Pass/Fail) and Volume 3 (Financial).
5	E.2.3 - Volume 3 - Financial	In the response requirements for the financial volume, please confirm the "Respondent's Team" refers to any members that comprise the Proposer / Respondent but not all participants on the team (i.e., lead engineering firm, subcontractors or subconsultants). If this is the case, and the Lead Contractor differs from the Respondent, should the Lead Contractor provide the statements/forms/certifications required in section E.2.3?	Sections E.2.3.1 through E.2.3.4 apply to the Lead Contractor and any Guarantors. This will be reflected in an addendum. The term Respondent encompasses a team in general and includes the firms that comprise the Lead Contractor and any Guarantors.
6	E.2.2.1.e - Form D - Personnel References	The section appears to require that two of the three key personnel references be from the projects contained on Form C-1 or Form C-2. While one reference from at least one of the projects on Form C-1 / Form C-2 is reasonable, requiring two is very limiting, and might force the selection of projects from C-1 /C-2 based on the key personnel and not the projects that best satisfy Form C-1/ Form C-2 item c.	Forms C-1 and C-2 allow for up to 6 projects. The requirements will be modified so that a minimum of one of the references on Form D for the lead engineer for the Lead Engineering Firm shall be from projects listed on Form C-1, and a minimum of one of the references on Form D for the project manager for the Lead Contractor and a minimum of one of the references on Form D for the superintendent for the Lead Contractor shall be from projects listed on Form C-2.
7	FORM F - Financing Experience of the Lead Contractor	Given bullet point (a), where it is stated that financing experience of an affiliate of the Lead Contractor or a member of the Lead Contractor can be used, please confirm that to satisfy bullet points (b)(iii) and (c), the experience of the affiliate will be acceptable.	Confirmed.

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8	FORM F - Financing Experience of the Lead Contractor	<p>With regards to the table, please confirm that if the experience of an affiliate is used:</p> <p>(1) - Column 3, NAME OF AND RELATIONSHIP TO ENTITY WHOSE EXPERIENCE IS CITED, will contain the name of the affiliate of the entity listed in column 1, LEAD CONTRACTOR MEMBER NAME</p> <p>(2)Also, please confirm that the affiliate is not limited to guarantor status and that a, for example, parent company or an organization under common ownership (sister company) will be acceptable.</p> <p>(3) - Column 5, ROLE(S) on PROJECT, refers to the role the entity listed in Column 3 had in the referenced project.</p> <p>(4) - Column 9, INTEREST IN BORROWER (% AND TYPE), refers to the participation the entity listed in Column 3 had in the referenced project.</p>	<p>(1) Confirmed (2) Confirmed; provided that the conditions required in Footnote (a) of Form F are met. (3) Confirmed (4) Confirmed</p>
9		<p>It is industry practice that a Lead Contractor is not directly involved as a borrower in a project finance transaction, given the non-recourse nature of the debt. Relative project financing experience of any Respondent team member can be provided by affiliated entities of the Lead Contractor, project specific special purpose entities that focus on finance and project development. Please update Form F and Exhibit E.2.1 to request financing experience from the "Respondent members" rather than "Lead Contractor" so that Respondents may accurately represent the project financing experience of Respondent members.</p>	<p>Footnote (a) of Form F states that Lead Contractors may include the financing experience of affiliates if they provide certain certifications.</p>
10	E.2.3.4(a)(ii)	<p>Exhibit E, Section E.2.3.4 – Section E.2.3.1(a)(ii) states that if providing financial statements for a Guarantor "if the Guarantor is the parent company of the relevant entity, provide only financial statements on a consolidated basis for the parent company". Please confirm that if consolidated financial statements are provided for a Guarantor that is a parent company on behalf of a Respondent member whether a separate CFO Certificate is still required for that Respondent team member under Section E.2.3.4 in addition to the CFO Certificate submitted by the Guarantor parent company, or if the CFO Certificate provided by the Guarantor parent company is sufficient to satisfy the requirement on behalf of it and any of its subsidiary Respondent team members.</p>	<p>A separate CFO Certificate must also be provided for the subsidiary firm.</p>
11	E.2.3.1	<p>Exhibit E, Section E.2.3.1 Financial Strength – In a situation where the Lead Engineering firm or Guarantor of the Lead Engineering firm is not involved in project financing, should the SOQ response include financial statements for the Lead Engineering Firm and/or Guarantor of the Lead Engineering Firm?</p>	<p>It is not necessary to provide financial statements for the Lead Engineering firm or its guarantors. This will be reflected in an addendum.</p>

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12	3.4 Prequalification Requirements	Do we need to provide pre-qualification certificates for lead designer and design subconsultants in the Statement of Qualifications?	Prequalification statements for the Lead Engineering Firm and any design subconsultants are not required to be submitted with the SOQ response. Prequalification for the Lead Engineering Firm for shortlisted Proposers will be required before the (RFP) Proposal due date per Section 3.4 of the RFQ, and prequalification for any design subconsultants will be required per Section 3.4 of the RFQ.
13 & 14	3.6 Procurement Schedule	Request a SOQ submittal date extension to January 15, 2015	GDOT is considering extending the SOQ Due Date. Any such extension will be posted via an addendum to the RFQ on the Georgia Procurement Registry and the project Website as identified in the RFQ.