

DBE GOAL SETTING

EQUAL EMPLOYMENT OPPORTUNITY OFFICE

METHODOLOGY AND APPLICATION



WHY HAVE DBE GOALS?

- **The Disadvantaged Business Enterprise (DBE) overall goal setting methodology is a requirement set forth in the U.S. DOT DBE regulations at 49 CFR Part 26.45**
- **The DBE regulations require U.S. DOT recipients to set an overall goal for DBE participation in federally funded projects.**
- **Absent federal funds, there is no DBE goal attached to a project.**

HOW IS THE DBE GOAL SET?

- **GDOT'S overall goal is based on demonstrable evidence of the availability of ready, willing and able DBEs relative to all businesses, within the State of Georgia, ready, willing and able to participate on DOT-assisted contracts.**
- **The overall goal is based upon the Agency's disparity study and reflects our determination of the level of DBE participation that can be expected absent the effects of discrimination.**

HOW IS A DBE GOAL SET ?

(CON'T)

- **GDOT'S goal provides for participation by all certified DBE firms. There is no group specific goal.**
- **The overall goal contains both a race conscious and a race neutral component.**
- **Race conscious means there is a consideration for participation of DBEs on the project. It does not refer to ethnicity specifically.**

HOW IS A DBE GOAL SET? (CONT')

- **Race Neutral means there is no consideration of the firm's status as a DBE in the award of a project as a subcontractor.**
- **Any award to a DBE as a Prime is considered race neutral. This is because the selection was made not on the basis of DBE status but on the basis of strictly lowest bid or otherwise best qualified in a QBS setting.**

PROJECT GOAL SETTING

- **The overall GDOT DBE goal, based on the 2012 Disparity Study is 15%.**
- **The goal is properly expressed as a 10% race conscious goal and a 5% race neutral goal.**
- **The overall goal represents the Agency's compliance with its federal regulatory directive.**
- **However, individual project goals are also set to more specifically reflect availability considerations on said project(s).**

PROJECT GOAL SETTING

- **Project specific goals consider the local market areas, project type and dollar value of project.**
- **The local market area, based upon the Georgia Regional Commission's designation of geographic areas of the State into specific regions, refers to those geographic locations where contractors and subcontractors have communicated a willingness to work.**
- **The contractors and subcontractors are also identified by their work codes and**

PROJECT GOAL SETTING (CON'T)

- **Registration and prequalification status.**
- **Project type refers to construction projects and the type of work anticipated thereon.**
- **Construction projects are identified as general or specialty construction.**
- **Finally, the dollar value of the contract is factored into the goal consideration.**

PROJECT GOAL SETTING (CON'T)

- **The location, dollar value and project type are specific to each project and as such the goal set is specific to each project.**
- **This per project goal setting lends greater oversight and credibility to the goal as it is uniquely applicable only to that project and demonstrates that the goal was appropriately considered.**

MEANS USED TO MEET THE GOALS

- **Providing technical assistance and other services.**
- **Carrying out information and communications programs on contracting procedures and specific contract opportunities. (ensuring inclusion of DBEs on mailing lists and in disseminating project information.**
- **Implementing a supportive services program and using same to identify DBE needs.**

GOAL REPORTING

- **Goals are reported to our federal operating authority twice annually in June and December.**
- **The goal attainment is identified by the contract dollar amount awarded/committed to DBE firms versus the total contract dollar amount available for the Agency's expenditure.**
- **The goal attainment is reviewed in terms of total DBE award/commitment and award/commitment by ethnicity and gender.**
- **13.5 % goal attainment was reported June 1.**

GOAL REPORTING

UNIFORM REPORT OF DBE AWARDS OR COMMITMENTS AND PAYMENTS										
Please refer to the instructions sheet for directions on filling out this form										
1. Submitted to (check only one): <input type="checkbox"/> FHWA <input type="checkbox"/> FAA <input type="checkbox"/> FTA—Vendor Number _____										
2. AIP Numbers (FAA Recipients Only):										
3. Federal fiscal year in which reporting period falls: FY _____					4. Date This Report Submitted:					
5. Reporting Period Check box <input type="checkbox"/> Report due June 1 (for period Oct. 1-Mar. 31) <input type="checkbox"/> Report due Dec. 1 (for period April 1-Sept. 30)										
6. Name of Recipient: Please fill this out										
7. Annual DBE Goals:										
	Race Conscious Goal		%		Race Neutral Goal		%		OVERALL Goal	
	A	B	C	D	E	F	G	H	I	
AWARDS/COMMITMENTS MADE DURING THIS REPORTING PERIOD <small>(total contracts and subcontracts awarded or committed during this reporting period)</small>	Total Dollars	Total Number	Total to DBEs (dollars)	Total to DBEs (number)	Total to DBEs /Race Conscious	Total to DBEs/Race Conscious (number)	Total to DBEs/Race Neutral (dollars)	Total to DBEs/Race Neutral (number)	Percentage of total dollars to DBEs	
8. Prime contracts awarded this period	\$ Prime	#Prime	\$DBE	#DBE	0	0	=\$8C	#=8D	8C÷8A	
9. Subcontracts awarded/committed this period	\$ Sub	#Sub	\$DBE	#DBE	\$ w/goals	#w/goals	\$w/o goals	#w/o goals	9C÷9A	
TOTAL			8C + 9C	8D + 9D	=9E	=9E	8G + 9G	8H + 9H	(8C+9C)÷8A	
	A	B	C	D	E	F	G	H	I	
DBE AWARDS/COMMITMENTS THIS REPORTING PERIOD—BREAKDOWN BY ETHNICITY & GENDER	Black American	Hispanic American	Native American	Subcont. Asian American	Asian-Pacific American	Non-Minority Women	Other (i.e. not of any other group listed here)	TOTALS (for this reporting period only)	Year-End TOTALS	
10. Total Number of Contracts (Prime and Sub)	#	#	#	#	#	#	#App. E	Add 10 A-G	Only for Dec.	
11. Total Dollar Value	\$	\$	\$	\$	\$	\$	\$App. E	Add 10 A-G	Only for Dec.	
	A		B		C		D		E	
ACTUAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD	Number of Prime Contracts Completed		Total Dollar Value of Prime Contracts Completed		DBE Participation Needed to Meet Goal (Dollars)		Total DBE Participation (Dollars)		Percentage of Total DBE Participation	
12. Race Conscious	Contracts with goals		Contracts with Goals		Commitments on contracts		Actual dollars paid		12D÷12B	
13. Race Neutral	Contracts w/o goals		Contracts w/o goals				Actual dollars paid		13D÷13B	
14. Totals	12A+13A		12B+13B=14B				12D+13D=14D		14D÷14B	
15. Submitted by (Print Name of Authorized Representative)					16. Signature of Authorized Representative					
17. Phone Number:					18. Fax Number:					